Research Performance Progress Report

RPPR

Research Funding Services
Mini Brown Bag
March 27, 2013
Tammy LeBlanc, CRA, Grant Administrator II
Pam Harney, Grant Administrator I
RPPR

Mandated effective May 2013
Replaces eSNAP Progress Reports
Option to use it now for all Progress Reports
Must initiate either RPPR or eSNAP in the Commons
Applicable to all SNAP awards
Not available to Complex Awards and Training Grants

Additional information at:
http://grants.nih.gov/grants/rppr/
RPPR

The RPPR and eSNAP modules have a number of similarities: Substance of the RPPR is not significantly different from an eSNAP.

• Grantee will be asked to describe progress, study results, the significance of the findings, and any significant changes.

• Information is pre-populated from NIH systems for the grantee, including PD/PI information, grant number, project title and period, performance sites, and personnel.

• Publications in PD/PI’s MyNCBI account will be displayed for easy association with the progress report.

• SNAP awards using the RPPR format will not be required to submit a detailed budget.

• RPPR will address policies covering such areas as human subjects education, inclusion enrollment reporting, and use of human embryonic stem cells.
RPPR

The RPPR and eSNAP have a number of differences:

The RPPR will have separate screens for each of the following:

A. Cover Page  
B. Accomplishments  
C. Products  
D. Participants  
E. Impact  
F. Changes  
G. Special Reporting Requirements  
H. Budget [Not currently available]

When implemented for non-SNAP awards the Budget component will be an SF424(R&R) Budget.

Format of the report will be new. Users will answer questions by using a checkbox, entering text or uploading a PDF, or selecting “Nothing to Report.”

Effort on All Personnel report will be rounded to nearest whole person month.
A. Cover Page

Grant Information

Grant Number: 5K23HD123456-03
Project Title: A New Model for the Delivery of Well-Child Care

Program Director/Principal Investigator (PD/PI) Information

Name: JEFFERSON, THOMAS
E-mail: Jefferson@email.com
Phone: (703) 555-1776

Is there a change of contact PD/PI on a multiple-PI award? ☐ N/A ☐ Yes ☐ No
If yes, provide the eRA Commons ID of the new contact PD/PI

A.4 Recipient Organization Information

Organization Name: PRESIDENTIAL UNIVERSITY
Address: PRESIDENTIAL UNIVERSITY
Office of Research Administration
7777 University Drive
Our Town, MD 88785

DUNS: 012345678
EII: 1234567890A1
Recipient ID: ☐

Project/Grant Period

Start Date: 07/01/2010 End Date: 05/30/2015

A.2 Signing Official Information

Name: WASHINGTON, GEORGE
E-mail: Washington@email.com
Phone: (202) 555-1111

A.3 Administrative Official Information

Name: WASHINGTON, GEORGE
E-mail: Washington@email.com
Phone: (202) 555-1111

Reporting Period

Start Date: 07/01/2012 End Date: 06/30/2013

Requested Budget Period

Start Date: 07/01/2013 End Date: 08/30/2014
Report Frequency: ☐ Annual ☐ Other Frequency:
New information provided by grantees through the RPPR includes:

- Foreign component information
- Dollars spent in foreign country(ies) [through first-tier subawards]
- Organizational affiliation of personnel at foreign sites

Other features of the RPPR include:

- Specific location to report on competitive revisions/administrative supplements associated with the award
- Public Access compliance status will be displayed
- Other support will only be required if there has been a change
- Notice of Award link
- Streamlined reporting of ClinicalTrials.gov information
Only the PD/PI or the PD/PI delegate may initiate an RPPR. When there are multiple PIs (MPI), only the Contact PI or the PD/PI delegate of the Contact PI may initiate the report.

To initiate, the user can choose from one of two ways to access the RPPR functionality:

Access RPPR from Status:

a. Select the Status tab from the Commons menu options.

b. Select the List of Applications/Grants link from the Status screen or from the menu options.

c. From the Status Result – List of Applications/Grants screen, locate the application and select the eSNAP link from the Action column for the specific application.
## Status Result - List of Applications/Grants

**Notes & Tips:**

- **Important:** The NIH provides the JIT (Just in Time) link in the Commons for applications receiving a percentile of less than 30 or for applications receiving a priority score of between 10 and 90 if no percentile is provided. Please await instructions from the NIH on whether to complete this information. Furthermore, there is a system problem with the Commons, which shows the JIT link for NRSA applications (Fellowships and Training applications). Please do not submit the JIT information for these types of applications through the Commons. Please submit JIT information for training grants and fellowships through email or fax. Finally, JIT requires a Signing Official (SO) at your institution to send the request to the NIH. Thank you for your cooperation.

The following list of applications/grants represents a result of the search by Grants.gov Tracking # or a complete list of all your applications/grants. If you do not see a complete list of your applications/grants, please click List of Applications/Grants menu tab again.

<table>
<thead>
<tr>
<th>Application ID</th>
<th>Grants.gov Tracking #</th>
<th>Proposal Title</th>
<th>PI/PI Name</th>
<th>Submission Status</th>
<th>Current Application Status</th>
<th>Status Date</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>5K23HD123456-02</td>
<td>GRANT123456789F</td>
<td>A New Model for the Delivery of Well-Child Care</td>
<td>JEFFERSON, THOMAS</td>
<td>Submission Complete</td>
<td>Awarded. Non-fellowships only</td>
<td>08/17/2011</td>
<td>Transmittal Sheet</td>
</tr>
<tr>
<td>1K23HD123456-01A1</td>
<td>GRANT123456789F</td>
<td>A New Model for the Delivery of Well-Child Care</td>
<td>JEFFERSON, THOMAS</td>
<td>Submission Complete</td>
<td>Awarded. Non-fellowships only</td>
<td>07/13/2010</td>
<td>Transmittal Sheet</td>
</tr>
<tr>
<td>1K23HD123456-01</td>
<td>GRANT123456789F</td>
<td>A New Model for the Delivery of Well-Child Care</td>
<td>JEFFERSON, THOMAS</td>
<td>Submission Complete</td>
<td>Withdrawn by IC - Other Version Encumbered</td>
<td>07/13/2010</td>
<td>Transmittal Sheet</td>
</tr>
<tr>
<td>5K23HD123456-03</td>
<td>GRANT123456789F</td>
<td>A New Model for the Delivery of Well-Child Care</td>
<td>JEFFERSON, THOMAS</td>
<td>Submission Complete</td>
<td>Pending</td>
<td>08/17/2011</td>
<td>08SNAP</td>
</tr>
</tbody>
</table>

- [Export to Excel](#)
- [Show Query](#)
- [Print Hitlist](#)
Either an RPPR or an eSNAP may be selected, but not both. Please contact your AO or SO before selecting the RPPR format.

Select:
- eSNAP
- RPPR
- Cancel & Return

RPPR Menu

Application Information

- Grant Number: 5K23HD123456-03
- Institution: PRESIDENTIAL UNIVERSITY
- PD/PI Name: Jefferson, Thomas
- Project Title: A New Model for the Delivery of Well-Child Care
- Due Date: 05/15/2012
- Current Reviewer:
- Status: Not Started

Buttons:
- Initiate
- Edit
- Check for Errors
- View
- View Routing History
- Route
- Recall
- Submit
- Cancel
# A. Cover Page

## A.1 Grant Information

<table>
<thead>
<tr>
<th>Grant Number</th>
<th>5k33HD123456-33</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project Title</td>
<td>A New Model for the Delivery of Well-Child Care</td>
</tr>
</tbody>
</table>

**Program Director/Principal Investigator (PD/PI) Information**

- **Name:** JEFFERSON, THOMAS
- **Email:** Jefferson@email.com
- **Phone:** (703) 565-1778

**Is there a change of contact PD/PI on a multiple PI award?** N/A Yes No

If yes, provide the eRA Commons ID of the new contact PD/PI: [ID]

## A.2 Signing Official Information

**Name:** WASHINGTON, GEORGE

**Email:** Washington@email.com

**Phone:** (202) 555-1111

## A.3 Administrative Official Information

**Name:** WASHINGTON, GEORGE

**Email:** Washington@email.com

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## A.4 Recipient Organization Information

<table>
<thead>
<tr>
<th>Organization Name</th>
<th>PRESIDENTIAL UNIVERSITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address</td>
<td>PRESIDENTIAL UNIVERSITY Office of Research Administration 7777 University Drive Our Town, MD 98765</td>
</tr>
<tr>
<td>DUNS</td>
<td>0123456789</td>
</tr>
<tr>
<td>EIN</td>
<td>1234567890A1</td>
</tr>
</tbody>
</table>

**Recipient ID:** [ID]

## Project/Grant Period

- **Start Date:** 07/01/2010
- **End Date:** 09/30/2015

## Reporting Period

- **Start Date:** 07/01/2012
- **End Date:** 06/30/2013

## Requested Budget Period

- **Start Date:** 07/01/2013
- **End Date:** 06/30/2014

- **Report Frequency:** Annual

- **Other Frequency:** [ID]
RPPR – Accomplishments
B.1 & B.1.a

B. Accomplishments

B.1 What are the major goals of the project?

List the major goals of the project as stated in the approved application or as approved by the agency. If the application lists milestones/target dates for important activities or phases of the project, identify these dates and show actual completion dates or the percentage of completion.

Generally, the goals will not change from one reporting period to the next. However, if the awarding agency approved changes to the goals during the reporting period, list the revised goals and objectives. Also explain any significant changes in approach or methods from the agency approved application or plan.

*Goals* are equivalent to "specific aims." Significant changes in objectives and scope require prior approval of the agency (e.g., NIH Grants Policy Statement, 8.1.2).

List the major goals below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.

B.1.a Have the major goals changed since the initial competing award or previous report? ○ Yes ○ No

If yes, list the revised major goals below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.
RPPR – Accomplishment B.2

B.2 What was accomplished under these goals?

For this reporting period describe: 1) major activities; 2) specific objectives; 3) significant results, including major findings, developments, or conclusions (both positive and negative); and 4) key outcomes or other achievements. Include a discussion of stated goals not met. As the project progresses, the emphasis in reporting in this section should shift from reporting activities to reporting accomplishments.

- “Goals” are equivalent to “specific aims.” In the response, emphasize the significance of the findings to the scientific field.
- Response should not exceed 2 pages.

Upload accomplishments

Add Attachment
Delete Attachment
View Attachment

B.3 Competitive Revisions/Administrative Supplements

For this reporting period, is there one or more Revision/Supplement associated with this award for which reporting is required? Yes No

If yes, identify the Revision(s)/Supplement(s) by grant number (e.g. 3R01CA099765-01S1) or title and describe the specific aims and accomplishments for each Revision/Supplement funded during this reporting period. Include any supplements to promote diversity or re-entry, or other similar supplements to support addition of an individual or a discrete project.

Revision/Supplement #

or Revision/Supplement Title

Total remaining allowed limit is 255 characters.

Describe the specific aims for this Revision/Supplement below (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

Describe the accomplishments for this Revision/Supplement below (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

No items found.

Add/New
Clear

Nothing found to display.
RPPR – Accomplishment B.4.

B.4 What opportunities for training and professional development has the project provided?

If the research is not intended to provide training and professional development opportunities or there is nothing significant to report during this reporting period, state "Nothing to Report."

Describe opportunities for training and professional development provided to anyone who worked on the project or anyone who was involved in the activities supported by the project. "Training" activities are those in which individuals with advanced professional skills and experience assist others in attaining greater proficiency. Training activities may include, for example, courses or one-on-one work with a mentor. "Professional development" activities result in increased knowledge or skill in one's area of expertise and may include workshops, conferences, seminars, study groups, and individual study. Include participation in conferences, workshops, and seminars not listed under major activities.

For T, F, K, R25, R13, D43 and other awards or award components designed to provide training and professional development opportunities, a response is required. Do not reiterate what is reported under Accomplishments. Limit the response to this reporting period.

Response required for F, K, R25, R13, D43 and in the future when available through RPPR- T awards
RPPR – Accomplishment B.5.

B.5 How have the results been disseminated to communities of interest?

Describe how the results have been disseminated to communities of interest. Include any outreach activities that have been undertaken to reach members of communities who are not usually aware of these research activities, for the purpose of enhancing public understanding and increasing interest in learning and careers in science, technology, and the humanities.

Reporting the routine dissemination of information (e.g., websites, press releases) is not required. For awards not designed to disseminate information to the public or conduct similar outreach activities, a response is not required and the grantee should select "Nothing to Report". A detailed response is only required for awards or award components that are designed to disseminate information to the public or conduct similar outreach activities. Note that scientific publications and the sharing of research sources will be reported under Products.

☐ Nothing to Report

or enter response below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.
### B.6 What do you plan to do during the next reporting period to accomplish the goals?

Describe briefly what you plan to do during the next reporting period to accomplish the goals and objectives.

- Remember that significant changes in objectives and scope require prior approval of the agency (e.g., NIH Grants Policy Statement, 8.1.2.).
- Include any important modifications to the original plans. Provide a scientific justification for any changes involving research with human subjects or vertebrate animals. A detailed description of such changes must be provided under Changes.

Enter response below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.
### C.1 Publications

Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award?  
*Yes  No*

If yes, select from the table below to affiliate publications with this progress report. If you need to login to My NCBI account please use this link: My NCBI.

#### All publications associated with this project in My NCBI

<table>
<thead>
<tr>
<th>Associate with this RPPR</th>
<th>NIH Public Access Compliance</th>
<th>Citation</th>
</tr>
</thead>
</table>

#### Publications not associated with this project in My NCBI

<table>
<thead>
<tr>
<th>Associate with this RPPR</th>
<th>NIH Public Access Compliance</th>
<th>Citation</th>
</tr>
</thead>
</table>

#### Publications previously reported for this project

20 items found, displaying all items.

<table>
<thead>
<tr>
<th>NIH Public Access Compliance</th>
<th>Citation</th>
</tr>
</thead>
</table>
C.2 Website(s) or other Internet site(s)
List the URL for any Internet site(s) that disseminates the results of the research activities. A short description of each site should be provided. It is not necessary to include the publications already specified above.

For awards not designed to create or maintain one or more websites select “Nothing to Report”. A description is only required for awards designed to create or maintain one or more websites. Limit the response to this reporting period.

☐ Nothing to Report
or list URL(s) for Internet site(s) and provide description(s) below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.

C.3 Technologies or techniques
Identify technologies or techniques that have resulted from the research activities. Describe the technologies or techniques and how they are being shared. Limit the response to this reporting period.

☐ Nothing to Report
or identify and describe technologies or techniques below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.
### C.4. & C.5.a. & b.

#### C.4. Inventions, patent applications, and/or licenses

- Have inventions, patent applications and/or licenses resulted from the award during this reporting period?  
  - Yes  
  - No
- If yes, has this information been previously provided to the PHS or to the official responsible for patent matters at the grantee organization?  
  - Yes  
  - No
- Reporting of inventions through iEdison is strongly encouraged: [iEdison](#)

#### C.5. Other products and resource sharing

##### C.5.a. Other Products

- Identify any other significant products that were developed under this project.
- Describe the product and how it is available to be shared with the research community. Do not repeat information provided above. Limit the response to this reporting period.

Examples of other products are: audio or video products; data and research materials (e.g., cell lines, DNA probes, animal models); databases; educational aids or curricula; instruments or equipment; models; protocols; and software or netware.

- [Nothing to Report](#)

- **or upload Response**

- [Add Attachment](#)
- [Delete Attachment](#)
- [View Attachment](#)

##### C.5.b. Resource sharing

- If the initial research plan addressed, or the terms of award require, a formal plan for sharing final research data, model organisms, Genome Wide Association Studies data, or other such project-specific data, describe the progress in implementing that plan. For sharing model organisms, include information on the number of requests received and number of requests fulfilled during this reporting period. If the sharing plan is fully implemented, provide a final statement on data sharing.

- [Nothing to Report](#)

- **or upload Response**

- [Add Attachment](#)
- [Delete Attachment](#)
- [View Attachment](#)
RPPR – Participants D.1.

D. Participants

D.1 What individuals have worked on the project?

Provide or update the following information for (1) program director(s)/principal investigator(s) (PDs/PIs); and (2) each person who has worked at least one person month per year on the project during the reporting period, regardless of the source of compensation (a person month equals approximately 170 hours or 8.3% of annualized effort). Provide the name and identify the role the person played in the project, indicates the nearest whole person month (Calendar, Academic, Summer) that the individual worked on the project. Show the most senior role in which the person has worked on the project for any significant length of time. For example, if an undergraduate student graduates, enters graduate school, and continues to work on the project, show that person as a graduate student.

Instructions

- An individual’s Commons user ID may be used to partially populate his or her information.
- A Commons ID is required for all individuals with a postdoctoral role.
- Individuals with a postdoctoral-like role should be identified as “Postdoctoral (scholar, fellow, or other postdoctoral position).”
- Do not include Other Significant Contributors who are not committing any specified measurable effort to this project.
- Do not report personnel for whom a PHS 2271 Appointment form has been submitted through xTRAIN.
- Required fields are marked with an *.

eRA Commons User ID

*First Name

*Middle Name

*Last Name

*Senior/Key Personnel? Yes No

*Last 4 digits of Social Security Number

DoB (MM/YYYY)

Degree(s)

*Project Role

Please select a role

Supplement Support (SS)

Not Applicable

*Person Months

Calendar Academic Summer

*Is the individual's primary affiliation with a foreign organization? Yes No

Check “no” if the individual’s primary affiliation is with a foreign organization but the individual is working on this award solely while in the U.S.

If yes, provide the name of the organization and country

Organization Name

Country

Add/New Clear

List of Participants

<table>
<thead>
<tr>
<th>Commons ID</th>
<th>S/K</th>
<th>Name</th>
<th>SSN</th>
<th>DOB</th>
<th>Degree(s)</th>
<th>Role</th>
<th>Person Months</th>
<th>Foreign Affiliation</th>
<th>SS</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>TJEFFERSON</td>
<td>Y</td>
<td>JEFFERSON, THOMAS</td>
<td>2800</td>
<td>03/1974</td>
<td>BS,BA,MA,PHD</td>
<td>PDI/PI</td>
<td>0</td>
<td>0 0 0</td>
<td></td>
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</tr>
<tr>
<td>OWASHINGTON</td>
<td>N</td>
<td>Washington, George</td>
<td>1776</td>
<td>09/1 971</td>
<td>BS,BA,MA,PHD</td>
<td>Statistician</td>
<td>12</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

[Add more list items]
RPPR – Participants D.2.a. & b.

D.2.a Level of Effort

Will there be, in the next budget period, either (1) a reduction of 25% or more in the level of effort from what was approved by the agency for the PD/PI(s) or other senior/key personnel designated in the Notice of Award, or (2) a reduction in the level of effort below the minimum amount of effort required by the Notice of Award?

☐ Yes  ☐ No

Reductions are cumulative, i.e., the 25% threshold may be reached by two or more successive reductions that total 25% or more. Once agency approval has been given for a significant change in the level of effort, then all subsequent reductions are measured against the approved adjusted level. Selecting "yes" constitutes a prior approval request to the agency and the issuance of a subsequent year of funding constitutes agency approval of the request.

If yes, provide an explanation below. (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

D.2.b New Senior/Key Personnel

Are there, or will there be, new senior/key personnel?  ☐ Yes  ☐ No

Senior/key personnel are those identified by the grantee institution as individuals who contribute in a substantive measurable way to the scientific development or execution of the project, whether or not salaries are requested. Typically these individuals have doctoral or other professional degrees, although individuals at the masters or baccalaureate level may be considered senior/key personnel if their involvement meets this definition. Consultants may be considered senior/key personnel if they meet this definition. "Zero percent" effort or "as needed" is not an acceptable level of involvement for senior/key personnel.

If yes, upload biosketches and other support for all new senior/key personnel
RPPR – Participants D.2.c., d. & e.

D.2.c Changes in Other Support

Has there been a change in the active other support of senior/key personnel since the last reporting period?  ○ Yes  ○ No

If yes, upload active other support for senior/key personnel whose support has changed and indicate what the change has been.

D.2.d New Other Significant Contributors

Are there, or will there be, new other significant contributors?  ○ Yes  ○ No

Other significant contributors are individuals who have committed to contribute to the scientific development or execution of the project, but are not committing any specified measurable effort (i.e., person months) to the project.

If yes, upload biosketches for all new other significant contributors

D.2.e Multi-PI (MPI) Leadership Plan

Will there be a change in the MPI Leadership Plan for the next budget period?  ○ N/A  ○ Yes  ○ No

Change in status of PD/PI requires prior approval of the agency (e.g., NIH Grants Policy Statement, 8.1.2.6).

If yes, upload a revised MPI Leadership Plan that includes a description of the change(s)

E.1 Not Applicable

E.2 What is the impact on physical, institutional, or information resources that form infrastructure?

Describe ways, if any, in which the project made an impact, or is likely to make an impact, on physical, institutional, and information resources that form infrastructure, including:

- physical resources (such as facilities, laboratories, or instruments);
- institutional resources (such as establishment or sustenance of societies or organizations); or
- information resources, electronic means for accessing such resources or for scientific communication, or the like.

If the award or award component(s) is not intended to support physical, institutional, or information resources that form infrastructure, select "Nothing to Report".

☐ Nothing to Report

or describe impact on physical, institutional, or information resources below (NH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.

E.3 Not Applicable

E.4 What dollar amount of the award's budget is being spent in foreign country(ies)?

For domestic awardees provide the dollar amount obligated to first-tier subawards to foreign entities for this reporting period. For foreign awardees provide the dollar amount of the award, excluding all first-tier subawards to U.S. entities, for this reporting period. Dollars provided should reflect total costs.

If more than one foreign country, identify the distribution between the foreign countries.

☐ Nothing to Report (zero dollars)

or provide the following for each foreign country: Dollar Amount __________________ Country Please select a Country
### F. Changes

<table>
<thead>
<tr>
<th>F.1 Not Applicable</th>
</tr>
</thead>
</table>

| F.2 Actual or anticipated challenges or delays and actions or plans to resolve them |

Describe challenges or delays encountered during the reporting period and actions or plans to resolve them.

- [ ] Describe only significant challenges that may impede the research (e.g., accrual of patients, hiring of personnel, need for resources or research tools) and emphasize their resolution.

- [ ] Nothing to Report

or describe challenges or delays and plans to resolve them below *(NH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)*

Total remaining allowed limit is 8000 characters.
RPPR – Changes F.3. a.-d.

**F.3 Significant changes to Human Subjects, Vertebrate Animals, Biohazards, and/or Select Agents**

Describe significant deviations, unexpected outcomes, or changes in approved protocols for human subjects, vertebrate animals, biohazards, and/or select agents during this reporting period.

Remember that significant changes in objectives and scope require prior approval of the agency (e.g., NIH Grants Policy Statement 8.1.2). If there are changes in any of the following areas, check the appropriate box and provide a description of the changes.

**F.3.a Human Subjects**

If human subject protocols are or will be different from the previous submission, include a description and explanation of how the protocols differ and provide a new or revised Protection of Human Subjects Section as described in the competing application instructions.

- **No Change**

**or upload description of change**

[Add Attachment] [Delete Attachment] [View Attachment]

**F.3.b Vertebrate Animals**

If there are or will be significant changes to the usage of vertebrate animals from the previous submission, provide a description of the changes. Examples of changes considered to be significant include, but are not limited to, changing animal species, changing from noninvasive to invasive procedures, new project/performer site(s) where animals will be used, etc. If studies involving live vertebrate animals are planned and were not part of the originally proposed research design, provide a new or revised Vertebrate Animal Section as described in the competing application instructions.

- **No Change**

**or upload description of change**

[Add Attachment] [Delete Attachment] [View Attachment]

**F.3.c Biohazards**

If the use of biohazards is or will be different from the previous submission, provide a description and explanation of the difference(s).

- **No Change**

**or upload description of change**

[Add Attachment] [Delete Attachment] [View Attachment]

**F.3.d Select Agents**

If the possession, use, or transfer of Select Agents is or will be different from that proposed in the previous submission, including any change in the select agent research location and/or the required level of biocontainment, provide a description and explanation of the differences. If the use of Select Agents was proposed in the previous submission but has not been approved by regulatory authorities, provide an explanation. If studies involving Select Agents are planned and were not part of the originally proposed research design, provide a description of the proposed use, possession, transfer, and research location as described in the competing application instructions.


- **No Change**

**or upload description of change**

[Add Attachment] [Delete Attachment] [View Attachment]
RPPR – Special Reporting Requirements G.1.

<table>
<thead>
<tr>
<th>G. Special Reporting Requirements</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>G.1 Special Notice of Award Terms and Funding Opportunity Announcement Reporting Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address any special reporting requirements specified in the award terms and conditions in the Notice of Award (NoA) or Funding Opportunity Announcement (FOA).</td>
</tr>
</tbody>
</table>

- [ ] Nothing to Report
- or upload file(s) [Add Attachment]

<table>
<thead>
<tr>
<th>G.2 Not Applicable</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>G.3 Not Applicable</th>
</tr>
</thead>
</table>
RPPR – Special Reporting Requirements  G.4. a.-c.

6.4 Human Subjects

6.4.a Does the project involve human subjects?  Yes  No

Is the research exempt from Federal regulations?  Yes  No
If yes, check appropriate exemption number(s).

Does this project involve a clinical trial?  Yes  No
If yes, is this an NIH-defined Phase III Clinical Trial?  Yes  No

6.4.b Inclusion Enrollment Data

Please review the box below to determine if this project meets the definition of clinical research and requires the reporting of cumulative enrollment of subjects and the distribution of sex/gender, ethnicity and race. Click here for complete instructions about this requirement. Please contact the NIH Program Official [First Name] [Last Name] at email@email.com with any questions.

Inclusion Enrollment

This project does not require Inclusion Enrollment Reports. Please contact the NIH Program Official with questions.

6.4.c ClinicalTrials.gov  Yes  No

Does this project include one or more applicable clinical trials that must be registered in ClinicalTrials.gov under FDAAA?

If yes, provide the ClinicalTrials.gov identifier, NCT number (e.g., NCT00654321) for those trials.
RPPR – Special Reporting Requirements G.5. – G.7.

G.5 Human Subjects Education Requirement

Are there personnel on this project who are or will be newly involved in the design or conduct of human subjects research?

☐ Yes ☐ No

If yes, provide the following in the text box below (Limit is 1300 characters or approximately 1/2 of a page.)

- names of individuals,
- title of the education program completed by each individual, and
- a one sentence description of the program

Total remaining allowed limit is 1300 characters.

G.6 Human Embryonic Stem Cells (hESCs)

Does this project involve human embryonic stem cells? ☐ Yes ☐ No

Only hESC lines listed as approved in the NIH Registry may be used in NIH funded research.

If yes, identify the hESC Registration number(s) from the NIH Registry

If there is a change in the use of hESCs provide an explanation below (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

G.7 Vertebrate Animals

Does the project involve vertebrate animals? ☐ Yes ☐ No
**RPPR – Special Reporting Requirements G.8.**

### G.8 Project/Performance Sites

If there are changes to the project/performance site(s) displayed below, edit as appropriate.

*Required field(s)*

- **Organization Name**
- **DUNS or DUNS+4**
- **Address 1**
- **Address 2**
- **City**
- **State**
  - Please select a state
- **Province**
  - Please select a province
- **County**
- **Country**
  - UNITED STATES
- **Zip Code**
- **Congressional District**
  - (e.g. MD-08 for Maryland, 8th District)

*Is this the primary Project/Performance Site? ○ Yes ○ No

[Add/New] [Clear]

### Project/Performance Sites

<table>
<thead>
<tr>
<th>Organization Names</th>
<th>DUNS</th>
<th>Congressional District</th>
<th>Address</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primary: PRESIDENTIAL UNIVERSITY</td>
<td>012345678-0000</td>
<td>30</td>
<td>PRESIDENTIAL UNIVERSITY Office of Research Administration, 7777 University Drive, Our Town, MD 98765</td>
<td>Edit Delete</td>
</tr>
<tr>
<td>CENTRAL MEDICAL CENTER</td>
<td>012312312-0000</td>
<td>60</td>
<td>CENTRAL MEDICAL CENTER, 4444 Circular Center Drive, Cincinnati, OH 55555</td>
<td>Edit Delete</td>
</tr>
</tbody>
</table>
G.9 Foreign Component

"Foreign component" is defined as significant scientific activity that was performed outside of the United States, either by the grantee or by a researcher employed by a foreign organization, whether or not grant funds were expended. The following grant-related activities are significant and must be reported:

- involvement of human subjects or research with live vertebrate animals;
- extensive foreign travel by grantee project staff to collect data, or conduct surveys or sampling activities; or
- any grantee activity that may have an impact on U.S. foreign policy.

Examples of other grant-related activities that may be significant are:

- collaborations with investigators at a foreign site anticipated to result in co-authorship;
- use of facilities or instrumentation at a foreign site; or
- receipt of financial support or resources from a foreign entity.

Foreign travel for consultation does not meet the definition of foreign component.

☐ No foreign component

or provide the organization name, country, and description of each foreign component

Organization Name: [ ] Country: [ ]

Description of Foreign Component (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

Add/New  Clear
RPPR – Special Reporting Requirements G.10.-G.12.

G.10 Estimated Unobligated Balance

G.10.a Is it anticipated that an estimated unobligated balance (including prior year carryover) will be greater than 25% of the current year’s total approved budget?  ○ Yes  ○ No

The “total approved budget” equals the current fiscal year award authorization plus any approved carryover of funds from a prior year(s). The numerator equals the total amount available for carryover and the denominator equals the current year’s total approved budget.

If yes, provide the estimated unobligated balance.

G.10.b Provide an explanation for unobligated balance below (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

G.10.c If authorized to carryover the balance, provide a general description of how it is anticipated that the funds will be spent. To determine carryover authorization, see the Notice of Award (Limit is 1300 characters or approximately 1/2 of a page.)

Total remaining allowed limit is 1300 characters.

G.11 Program Income

Is program income anticipated during the next budget period?  ○ Yes  ○ No

If yes, use the format below to reflect the amount and source(s)

<table>
<thead>
<tr>
<th>Anticipated Amount</th>
<th>Source(s)</th>
</tr>
</thead>
</table>

Add/New  Clear

G.12 F&A Costs

Is there a change in performance sites that will affect F&A costs?  ○ Yes  ○ No

If yes, provide an explanation below (Limit is 1300 characters or approximately 1/2 of a page.)

Total remaining allowed limit is 1300 characters.
# Errors

## Error Messages

**Section B. Accomplishments:** (ID: 201315)
- B.1. An answer is required. (ID: 201238)
- B.2. An answer is required. (ID: 201240)
- B.3. An answer is required. (ID: 201241)
- B.4. An answer is required: select **Nothing to Report** or enter/upload response. (ID: 201243)
- B.5. An answer is required: select **Nothing to Report** or enter/upload response. (ID: 201244)
- B.6. An answer is required: select **Nothing to Report** or enter/upload response. (ID: 201245)

**Section C. Products:** (ID: 201316)
- C.1. An answer is required. (ID: 201246)
- C.4. An answer is required: select **Nothing to Report** or enter/upload response. (ID: 201249)
- C.5.A. An answer is required: select **Nothing to Report** or enter/upload response. (ID: 201250)
- C.5.B. An answer is required: select **Nothing to Report** or enter/upload response. (ID: 201251)

**Section G. Special Reporting Requirements:** (ID: 201320)
- G.1. An answer is required: select **Nothing to Report** or enter/upload response. (ID: 201275)
- G.4.a. An answer is required. (ID: 201278)
- G.5. An answer is required. (ID: 201280)
- G.6. An answer is required. (ID: 201281)
- G.8. A required field is missing. (ID: 201282)
- G.9. An answer is required: select **No Foreign Component** or enter/upload response. (ID: 201283)
- G.10. An answer is required. (ID: 201285)
RPPR- Supplemental Instructions

There are Supplemental Instructions for:

- Individual Career Development (K) Awards
- Fellowship (F) Awards
- SBIR/STTR Awards
- Education Awards (D42, K30, R13, R25)

Please refer to instructions starting on page 60 of the NIH RPPR Instruction Guide.
RPPR – Coming Soon

The following awards are not available under RPPR Reporting at this time:
- Training Awards
- Complex Awards
These awards will require Section H- Budget completed

The following agencies will be implementing RPPR in the near future:
- AHRQ
- CDC
- FDA

NSF has the RPPR available through Research.gov
Questions????????