ARRA Funding Opportunities and Administrative Requirements

General Observations

- ARRA – American Recovery & Reinvestment Act of 2009
- NIH Institutes/Centers (IC) are able to implement plans to meet NIH Recovery Act goals as they see fit
- IC may have different application requirements/instructions for Recovery Act funding opportunities
- All opportunities encourage consultation with IC staff referenced or Program Official
- All opportunities reference IC website for Recovery Act funds. These websites differ for each opportunity and are included in this presentation.

Challenge Grants – RC1

- RFA-OD-09-003
- Up to 2 years of funding
- Up to $500,000 per year or $1,000,000 for 2-year period
  - Detail budgets required
  - Includes direct and indirect costs
- Period of Support, Budget and Budget Justification will be considered by reviewers for reasonableness and sufficiency.
Challenge Grants – RC1

- Must choose specific Challenge Area
- Project Summary
  - Limited to 1 page
  - Must state the broad Challenge Area and the specific Challenge Topic that this application addresses
- “This application addresses broad Challenge Area XX (state area) and specific Challenge Topic XX (state area)”

Challenge Grants – RC1

- New and Early Stage Investigators are invited to apply
  - Awards are considered substantial and future applications will not be “New Investigator” proposals
- Multi-PI applications are allowable
  - Multi-PI Leadership plan required
- All applications require a brief 1 paragraph description of sharing of final research data or explanation why sharing isn’t possible
- Applications must include description of plan for model organism sharing or GWAS data submission if applicable

Challenge Grants – RC1

- Project Summary – Abstract
  - Limited to 1 page
- Bibliography and Literature Cited
  - Limited to 1 page
- BioSketches
  - Limited to 2 pages
  - Publications limited to 10 or fewer – cite those most relevant and that highlight significant past accomplishments
- No Appendices
  - No supplemental/update information will be accepted
Challenge Grants – RC1

- Research Plan
  - No Introduction, Background & Significance, or Preliminary Studies/Progress Report
  - Specific Aims - 1 page maximum
  - Research Design and Methods consists of 4 elements and limited to 12 pages
    - Research Area - Statement of the Challenge Area and Specific Challenge Topic (Include Title from Face Page)
    - The Challenge and Potential Impact
    - The Approach
    - Timeline and Milestones

Challenge Grants – RC1

  - Website for IC information for Challenge Grant applications.

Grand Opportunities (GO) – RC2

- RFA-OD-09-004
- Specific scope and requirements in RFA
  - Consultation with NIH IC staff encouraged
  - NEI and NIDDK not participating
- Only projects with scientific scope requiring an annual budget greater than $500,000 are expected to be considered
- Projects must demonstrate benefit from significant influx of stimulus funding and be well defined and ready for implementation
Grand Opportunities (GO) – RC2

- Special Instructions for sections of proposal
- Bibliography and Literature Cited
  - Limited to 1 page
- Biosketches
  - Limited to 2 pages
  - Publications limited to 10 or fewer of most relevant and or highlight significance of past accomplishments
- No supplemental/update information will be accepted

Grand Opportunities (GO) – RC2

- Research Plan
  - No Introduction, Background & Significance, or Preliminary Studies/Progress Report
  - Specific Aims: 1 page maximum
  - Research Design and Methods consists of 5 elements and limited to 12 pages
    - Research Area - Statement of the Challenge Area and Specific Challenge Topic (Include Title from Face Page)
    - Opportunity and Potential Impact
    - Approach
    - Timeline, Milestones, Expected Measurable Outcomes and Deliverables
    - Long Term Sustainability Plan

Grand Opportunities (GO) – RC2

- Detail budget *required*
- Period of Support, Budget and Budget Justification will be considered by reviewers for reasonableness and sufficiency.
- Project Summary/Abstract, Appendices should follow standard instructions from SF424 (R&R) Application Guide
ARRA Supplements

- Administrative, Revision, and Summer Students
- Each IC can participate/implement as they choose – must know IC specific requirements
- Review website at http://grants.nih.gov/recovery/ic_suppp.html for institute participation and specific requirements

Competitive Revision Applications

- NOT-OD-09-058
- Require active NIH-supported research project grants
- Support significant expansion of the scope or research protocol of approved and funded projects - new research objectives and aims that are outside the scope of parent grant.
- Research proposed in revision must be accomplished within the current competitive segment

Competitive Revision Applications

- Up to 2-year proposed budgets
- Submission method for Revisions must follow the submission method for activity code.
- For electronic submission, use same FOA as parent application or Parent FOA for activity code.
Summer Research Experiences Supplement

- NOT-OD-09-060
- To encourage students to seriously pursue research careers in the health related sciences
- To provide elementary, middle school, and high school teachers, community college faculty, and faculty from non-research intensive institutions with short term research experiences in NIH-funded laboratories.

- Scope must include the activities included in the parent grant that encompass those proposed in the supplemental request
- No need to repeat information previously submitted for Key Personnel
- Not necessary to provide identities of participating students/educators in request

- Institute/Centers (IC) may have specific due dates/requirements for supplemental requests
- See website at http://grants.nih.gov/recovery/ic_supp.html for specific information
Administrative Supplements

- NOT-OD-09-056
- Parent grant must be active and the research/scientific activities proposed in the supplement must be accomplished within the current competitive segment.
- Activities proposed must be within the general scope of the peer-reviewed activities and aims approved within the parent grant.
- Proposed activities cannot add animal or human subjects to a project that did not include animal or human subjects at the time of award.

Applications include:
- Specific forms and requirements
- Are sent to IC, not Center for Scientific Review
- ICs have different instructions/requirements for submission
- Some ICs have opted to not participate in certain supplemental opportunities
- See the IC website at http://grants.nih.gov/recovery/ic_supp.html

Additional Opportunities

- Recovery Act opportunities continue to be developed.
- New opportunities in “Heterogeneity in Autism Spectrum Disorders” posted recently.
- Keep on top of available opportunities at http://grants.nih.gov/recovery/.
Administrative Requirements

At the time of proposal:
- New opportunities require the usual timeframe and submission components for review and approval.
- Revision supplements are treated as new proposals.
- Administrative supplements require new ARRA Cover Sheet found at http://www.umassmed.edu/uploadedFiles/ARRA%20Admin.pdf

At the time of award:
- New proposals in response to ARRA opportunities will receive new award and project/grant numbers.
- New proposals in response to ARRA Revision supplements will receive new award and project/grant numbers.
- Administrative supplements will receive a new award and project grant number.

Reporting Requirements:
- Annual 2590 (Progress Report) required for 2-year projects.
- Other reporting requirements may be included in the terms – final terms will be known when awards made.
- Additional reporting for Select Agent use will be included.
- Financial reporting requirements will be handled by Grant Accounting UNLESS financial reporting requirements are added to 2590 Progress Report.
- Employees hired and/or retained is part of reporting requirement – stay tuned for format.
Subawards with ARRA Funds

- Foreign component of ARRA opportunities limited – ARRA funds targeted for US economic recovery
- Additional information required for reporting of subaward data under FFATA and ARRA

ARRA Funds - Key Words in Guidance

- Guidance Wording
  - Concurrent Spending
  - Use or Lose
  - Transparency
  - Current Competitive Segment
  - Buy American
- Future Opportunities - Stay Tuned

Questions???