

Agenda



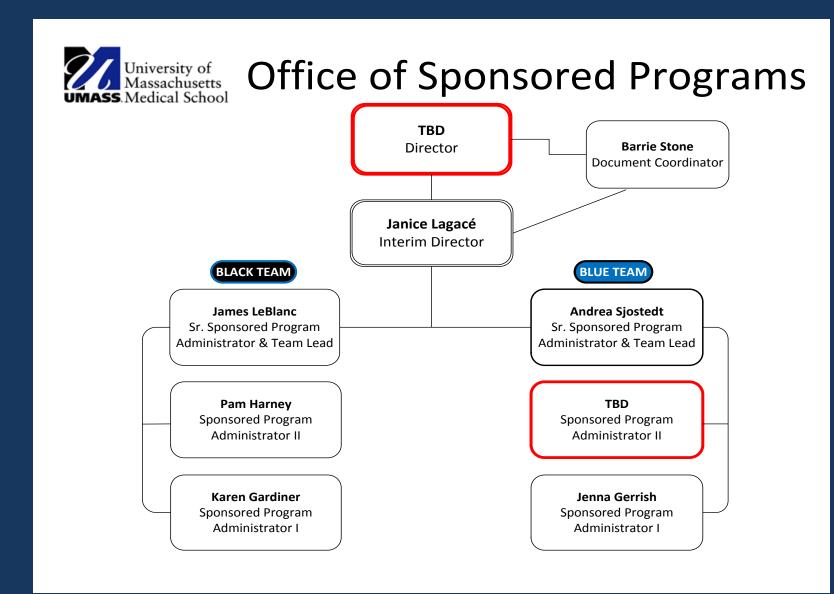
- OSP Updates
 - Org Chart
 - Website updates
 - April deadlines
- NIH Update
 - NOT-OD-18-137
 - Continuing Resolution
 - eRA Commons Roles
- Proposal & Progress Report Statistics
- Research Administration Training Topic:

eRA Commons Prior Approval Module

- Foreign Project Registration
- Final RPPR for Closeout
- PeopleSoft Upgrade

OSP Updates – Org Chart









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Sponsored Programs Fact Sheet

UMMS Institutional Information for Sponsored Projects

Applicant Organization for all grant proposals: University of Massachusetts Medical School Legal Entity for contracts and agreements: University of Massachusetts, Worcester

Institution Type: Public/State-Controlled Inst. of Higher Education

DUNS Number: 603847393 NIH IPF Number: 0850903

NSF Organization ID: 0097568000

County: Worcester

Congressional District: MA-002

Federal Wide Assurance (FWA) Number: 00004009

Animal Welfare Assurance (AWA) Number: D16-00196 (A3306-01), effective 1/4/2017 -

11/30/2020

Date of AAALAC Accreditation: November 15, 2013 - Site #000343 Federal Tax Exemption Status: Exempt under IRC §115 - State University

Federal Tax ID Number (TIN): 04-3167352 Entity Identification Number (EIN): 1043167352A1

> NAICS Code: 611310 CAGE Code: 6R004 FICE Number: 009756

System for Award Management (SAM) Expiration Date: 1/5/2019

Current NIH Salary Cap: \$189,600 UMass Medical School W-9 Form W-9 Form





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Post-Award Fiscal Information

NIH Proposal Information

Most NIH funding mechanisms are now electronic with a few notable exceptions. For electronic applications, please see the Electronic Submission page.

For paper-based applications please use these partially completed pages:

PHS398 Face page for new and competing Grant Applications

PHS398 Checklist Page

PHS2590 Face page for Grant Progress Report

PHS2590 Checklist Page for Grant Progress Report



Proposal Information Worksheet

- Suggested by the Grants Focus Group
- Work group under the direction of Rik Madison
- Assist with capturing the required information when building a proposal



https://www.umassmed.edu/research/funding/rfsform/



Proposal Information Worksheet

1. General Information

Proposal Information Worksheet	Administrative Use:						
	Initial Notification (When/How):						
This worksheet is a standardized form used to obtain and communicate essential information pertaining to the initiation of a proposal submission between the PI and Department Administration.	Meeting/Forum and Date:						
Please complete as much information as known at this time and forward to the appropriate	OSP Request Return Due Date:						
administrative contact in your department who is responsible for coordinating the application process.	Administrative Coordinator:						
	Proposal ID #: Project ID #:						
General Information							
Principal Investigator (PD/PI) Name:	Multi PD/PI: OYes ONo Are you the Contact PI: OYes No						
Other PD/PI Name(s):	Outside Contact(s):						
Sponsor: NIH CDC HRSA DOD NSF State Non-Profit	Hughes Industry Subcontract (Other Institution is Prime)						
Other/Specify:							
Application in Response to a Specific Opportunity: OYes ONo PA/RFP/F	RFA# Agency Due:						
Award Type/Code: OR01 OR21 OR37 OK-Award OP01 OU19 O							
Application Type: New Resubmission (Include ID#)						
Other:							
Type of Submission: Cayuse Sponsor Website Paper Email/E	Electronic Other/Specify:						
Provide a Quick/Nickname to refer to:							
Title if Known:							



Proposal Information Worksheet

2. Budget/Personnel Information

Budget/Personnel Information				
Budget Type: Modular Detailed No Bud	get Required Other:		Project Start Date:	Number of Years:
Cost Sharing Requirements (Personnel or Other)	: OYes ONo			
If Yes, Provide Specifics:				
Target Amount: Direct \$	Total \$	Equipment:	OYes ONo \$	
		Item(s):		
Other Budgetary Restrictions:				
List Personnel/Effort and who's Key:				
1	Role: PD/PI	Effort:%	Key: Yes No	Incl on SDFI: Yes No
2.	Role:	Effort:%	Key: Yes No	Incl on SDFI: Yes No
3	Role:	Effort:%	Key: Yes No	Incl on SDFI: Yes No
4	Role:	Effort:%	Key: O Yes O No	Incl on SDFI: Yes No
5	Role:	Effort:%	Key: Yes No	Incl on SDFI: Yes No
6.	Role:	Effort:%	Key: Yes No	Incl on SDFI: Yes No
7.	Role:	Effort:%	Key: Yes No	Incl on SDFI: Yes No
Are there any Other Significant Contributors:	Yes ONo			
If yes, list Name/Institution/Department:				
Are there Outgoing Sub-Recipients: OYes ON	lo			
If yes, List Who and Projected Amounts:				
Will project involve foreign travel and activities o (if Yes, Contact Travel Office for approval)	utside the US or partnersh	ips with international	collaborators: Yes (No



Proposal Information Worksheet - Three Areas 3. Certifications/Other

Certifications/Other Information	<u>on</u>		
	Pending Protocol/Docket#:	Approval Date:	Expiration Date:
Clinical Trial: Yes No	Phase III: OYes ONo		
Ondo (Decoded) and	Pending Protocol/Docket#:	Approval Date:	Expiration Date:
Other Requirements/Certificates: Provide Key Words (up to 3):			

https://www.umassmed.edu/research/funding/rfsform/

OSP Updates – April deadlines



Proposals that are due on 4/16/2018

OSP will be closed due to the Patriot's Day holiday.

Please encourage Pl's to submit on 4/13/2018.

Although our review will be complete, we will not be available to support the PI should there be issues.

OSP Updates – April deadlines



PI's with RPPR's due in April received the following:

Dear Dr.	;
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The NIH eRA Commons Institutional Profile (IPF) query is showing that you have a Progress Report due on 4/15/2018 for the grant mentioned above.

Please note that the RPPR deadline falls on a Sunday, which would normally allow for submission on Monday, April 16th. However, Monday, April 16th is a Holiday for the University.

This is a State Holiday and *not* a Federal Holiday. Since our office is the final submitter for RPPR's your RPPR will need to be electronically submitted by Friday, April 13th. Our office will not be available on Monday, April 16th to submit RPPRs.

OSP Updates - FPR



Foreign Project Registration form (FPR)

- Previously the Office of Global Health (OGH)
- Now International Support Services (ISS)
- Must be initiated at the time of JIT
- Can be submitted to the OGH mailbox
- Form will be revised soon

OSP Updates - FPR





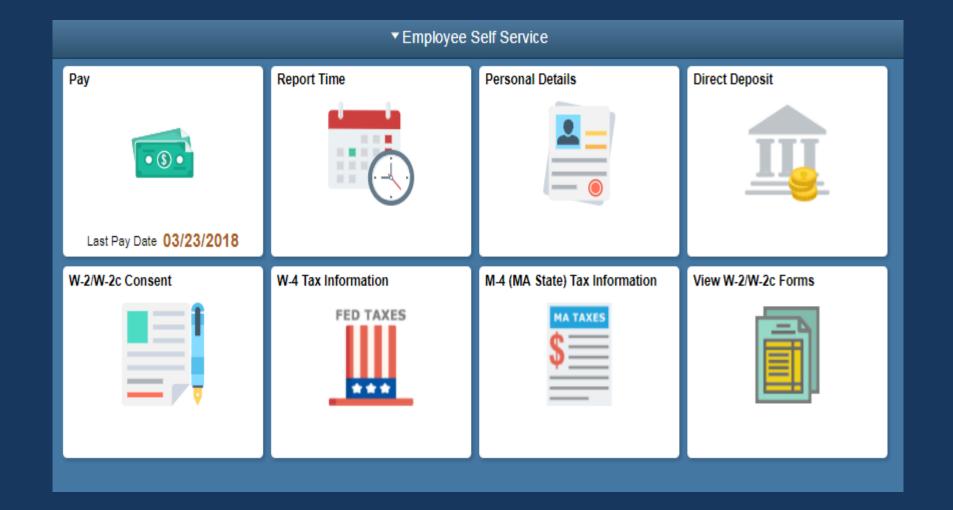
OSP Updates - FRPPR



- Closeout Process Reminder....
 - Once the FRPPR is completed by the PI and no errors are found upon validation, it can be submitted directly to the NIH. It does not require routing to our office for review.

OSP Updates – PS Upgrade





OSP Updates – PS Upgrade

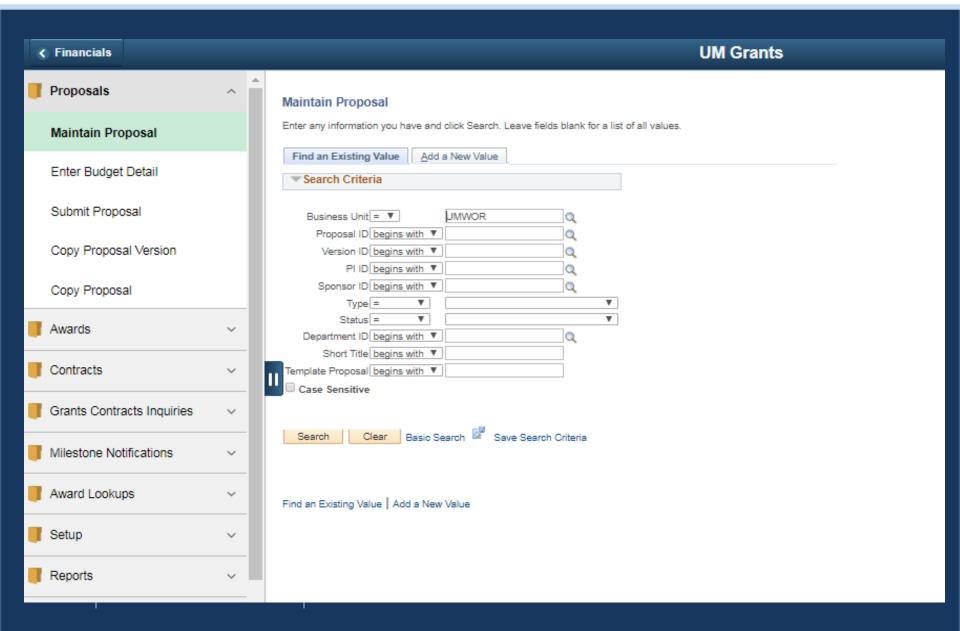




NEW LOOK TO FINANCIALS COMING IN APRIL!

OSP Updates – PS Upgrade





NIH Updates



NOT-OD-18-137

- Guidance on Salary Limitation for Grants and Cooperative Agreements FY 2018 (NIH salary cap)
- The Office of Personnel Management has recently released new salary levels for the Executive Pay Scale.
- Effective January 7, 2018, the salary limitation for Executive Level II is \$189,600.

NIH Updates



NOT-OD-18-137 continued

For awards already issued in FY2018, if adequate funds are available in active awards, and if the salary cap increase is consistent with the institutional base salary, grantees may rebudget to accommodate the current Executive Level II salary level.

However, no additional funds will be provided to these grant awards.

NIH Updates



Continuing Resolution Update:

- President Trump signed a \$1.3 trillion Spending Bill averting a Government shutdown on March 23rd
- The legislation would boost funding for the National Institutes of Health (NIH) to a historic high of \$37 billion, \$3 billion over the 2017 level.
- No formal announcement from the NIH at this time.

NIH Updates eRA Commons



Who needs an eRA Commons Account for Application Submission?

You must include the eRA Commons credentials for the following individuals named in your application:

- Project Director/Principal Investigator (PD/PI) and any multiple-PD/PIs
- Sponsor on a fellowship application
- Component leads on a multi-project application
- Candidates for diversity supplement support
- Primary mentor identified on individual mentored career development applications

NIH Updates eRA Commons



Who needs an eRA Commons Account for Progress Reporting?

You must include eRA Commons credentials for personnel with the following roles who participate in a NIH funded project for at least one person month:

- Project Director/Principal Investigator (PD/PI) and any MPI's
- **Undergraduate Student**
- **Graduate Student**
- **Postdoctoral**

A Commons ID is optional, for all other project personnel.

NIH Updates eRA Commons



Assistant (ASST) Role

- The Assistant (ASST) is delegated access by a PI to his/her account
- An Assistant (ASST) role has the following privileges:
 - Edit own Personal Profile data
 - Edit PIs Personal Profile if delegated
 - Edit PIs RPPR data if delegated
 - Perform PD/PI X Train functions if delegated
 - Edit PIs Administrative Supplement requests initiated in the eRA Commons if delegated

PROPOSAL SUBMISSIONS TO OSP January 2017 – January 2018



	January 2017	February 2017	March 2017	April 2017	May 2017	June 2017	July 2017	August 2017	September 2017	October 2017	November 2017	December 2017	January 2018
Count	103	108	90	52	87	98	47	64	102	112	54	53	100
On Time	53%	52%	55%	40%	46%	47%	57%	45%	52%	46%	48%	53%	56%
Late	41%	43%	44%	58%	54%	50%	34%	49%	45%	51%	50%	41%	42%
After the fact	5%	5%	1%	2%	0%	3%	9%	6%	3%	3%	2%	6%	2%
Total	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Expedited Request (3 days or less)	22%	28%	33%	44%	28%	36%	21%	34%	27%	36%	30%	25%	24%

On Time: Received by OSP 5 business days prior to the requested return date.

Late: Received by OSP less than 5 business days prior to the requested return date.

After the Fact: Received by OSP after the requested return date.

PROPOSAL SUBMISSIONS TO OSP January 2017 – January 2018



PROPOSALS	2017	2018	Change
Count	103	100	-3
On Time	53%	56%	+3
Late	41%	42%	+1
After the fact	5%	2%	-3
Total	100%	100%	-
Expedited Request (3 days or less)	22%	24%	+2

On Time: Received by OSP 5 business days prior to the requested return date.

Late: Received by OSP less than 5 business days prior to the requested return date.

After the Fact: Received by OSP after the requested return date.

PROPOSAL SUBMISSIONS TO OSP February 2017 – February 2018



	February 2017	March 2017	April 2017	May 2017	June 2017	July 2017	August 2017	September 2017	October 2017	November 2017	December 2017	January 2018	February 2018
Count	108	90	52	87	98	47	64	102	112	54	53	100	106
On Time	52%	55%	40%	46%	47%	57%	45%	52%	46%	48%	53%	56%	56%
Late	43%	44%	58%	54%	50%	34%	49%	45%	51%	50%	41%	42%	45%
After the fact	5%	1%	2%	0%	3%	9%	6%	3%	3%	2%	6%	2%	5%
Total	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Expedited Request (3 days or less)	28%	33%	44%	28%	36%	21%	34%	27%	36%	30%	25%	24%	28%

On Time: Received by OSP 5 business days prior to the requested return date.

Late: Received by OSP less than 5 business days prior to the requested return date.

After the Fact: Received by OSP after the requested return date.

PROPOSAL SUBMISSIONS TO OSP February 2017 – February 2018



PROPOSALS	2017	2018	Change
Count	108	106	-2
On Time	52%	56%	+4
Late	43%	45%	+2
After the fact	5%	5%	0
Total	100%	100%	-
Expedited Request (3 days or less)	28%	26%	-2

On Time: Received by OSP 5 business days prior to the requested return date.

Late: Received by OSP less than 5 business days prior to the requested return date.

After the Fact: Received by OSP after the requested return date.

Research Administration Update



RAU – Training

March 28, 2018

eRA Commons Prior Approval Module

eRA Commons Prior Approval

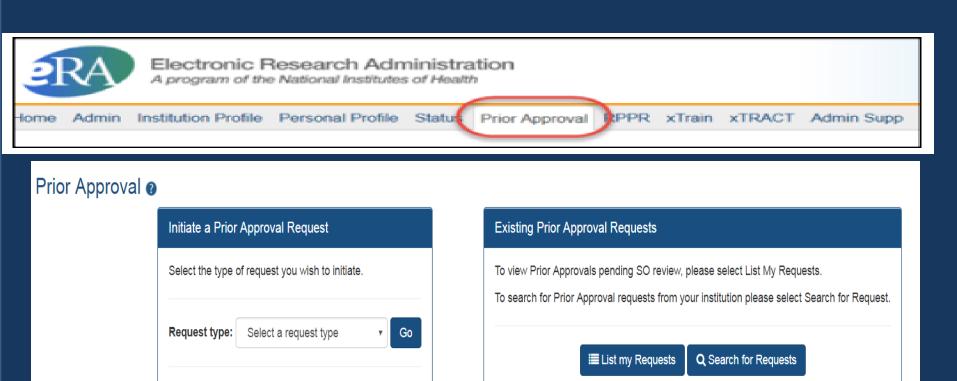


The Prior Approval module allows Principal Investigators (PIs) and Signing Officials (SOs) to respond to prior approval invitations from the agency:

- WITHDRAW a successfully submitted grant
- Request a CHANGE of the PD/PI on a grant
- NO COST EXTENSIONS when the grant meets specific conditions
- CARRYOVER requests
- PIs will see the option to:
 - Initiate a Withdrawal Request
 - Respond to a 500K invitation

eRA Commons Prior Approval



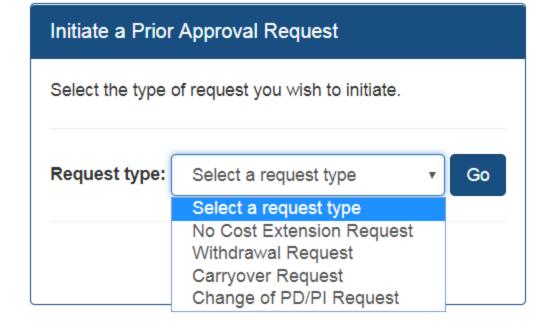


eRA Commons Prior Approval





Prior Approval

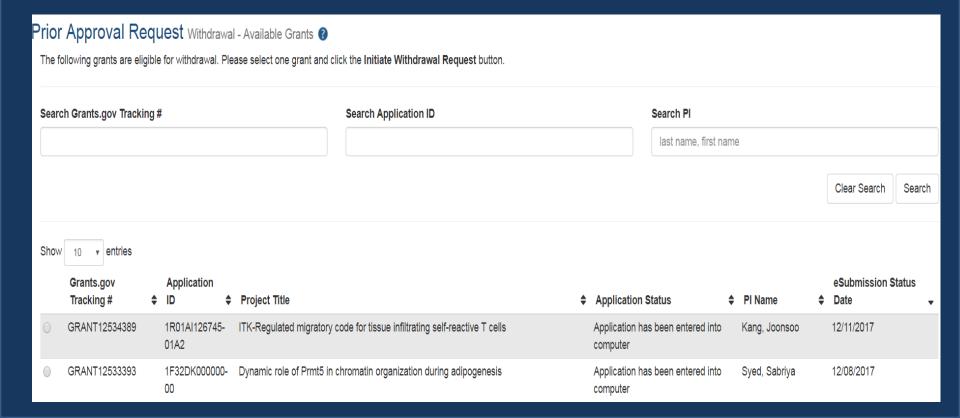


Withdrawal via eRA Commons



Withdrawal Requests

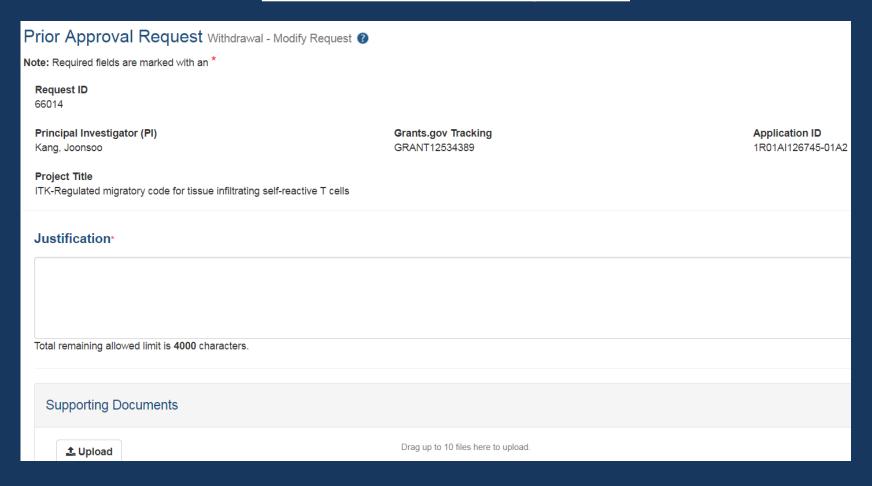
 Both PIs and SO's may initiate Withdrawal Requests but only an SO may submit them.



Withdrawal via eRA Commons



Withdrawal Requests



Withdrawal via eRA Commons



Withdrawal Requests

- There are several reasons why an applicant chooses to withdraw an application:
 - He or she spots a major error in the submitted application.
 - There are new scientific developments that could significantly alter the application's competitiveness.
 - The application duplicates or has significant scientific overlap with another submission still under review.
 - A new application that overlaps with an application already under review and the earlier submission is then funded.
 - To preserve the application resubmission status. Those applications that are withdrawn before the peer review meeting do not count as a submission.

Change in PD/PI via eRA Commons



System allows for the change of PD/PI or adding/deleting multiple PIs through Prior Approval.

- Only a Signing Official (SO) can initiate the request. Principal Investigators cannot see Change of PD/PI Requests.
- The following conditions must be met for a grant to be eligible for a Change of PD/PI Request:
 - 1. The grant has a grant year awarded.
 - 2. The grant family is not past the Project Period End Date.
 - 3. The grant is not a Fellowship or Career award.
 - 4. The grant is from an IC/Agency that supports Change of PD/PI using the Prior Approval module.

Change in PD/PI via eRA Commons



- The details for the request require some basic information:
 - Who is being replaced, removed/added to the grant?
 - What will their level of effort be?
 - What is the effective start date for the new PD/PI?
- Additionally, some files will be uploaded to the request:
 - Biosketch
 - Other Support
 - Justification Document
- Once the request is submitted, the system creates a PDF of all the submitted information and sends a notification to the SO, the GMS, and Program Officer so they can review the request.

No Cost Extension via eRA Commons



Signing Officials (OSP) will be able to request an NCE electronically through eRA Commons via Prior Approval once the extension flag is no longer active.

- A No Cost Extension may be requested in the following situations:
 - If the Grant has previously had a No Cost Extension and less than 90 days remain
 - When the project end date has expired and has not been closed or has not entered unilateral closeout, whichever comes first.
- When is an award *not eligible* for a NCE through Prior Approval?
 - When you have never requested a NCE under expanded authority and you are within 90 days of the project end date. In this case, the NCE will be processed normally through the Extension link in Status.
 - When the award is closed.
 - When the award is a fellowship.

No Cost Extension via eRA Commons



What information needs to be included in the request?

The NCE request form consists of 4 sections:

- Request Detail
 - Number of months of requested for extension; new end date
 - Any unobligated funds available
- Progress Report (pdf upload)
- Budget Document (pdf upload)
- Justification Document (pdf upload)

The exact details of what is required in the upload files will be outlined by the awarding IC.

Carryover Request via eRA Commons



Pı	Prior Approval @						
	Initiate a Prior	Initiate a Prior Approval Request					
	Select the type	of request you wish to initiate.					
	Request type:	Select a request type No Cost Extension Withdrawal Request Carryover Request Change of PD/PI Request					
	l						

All grants eligible for Carryover will be displayed.

Carryover Request via eRA Commons



This view is only available to the SO and AO roles

Show	10 ▼ entries				
	Grant Number 🔻	Project Title	PI Name	Budget Period End Date	\$
0	5UG4LM012347-02	National Network of Libraries of Medicine New England (Region 8) and Public Health Coordination Office	Piorun, Mary Elizabeth	04/30/2018	
0	5U54HD082013-04	Rescuing the Fragile X Syndrome by Resetting Translational Homeostasis	Richter, Joel D	05/31/2018	
0	5U54HD060848-11	Biomarkers for Therapy of FSHD (U54)	EMERSON, CHARLES P.	05/31/2018	
0	5U54DK107980-03	Center for 3D Structure and Physics of the Genome	Dekker, Job	07/31/2018	
0	5U2CDK093000-07	UMass Mouse Metabolic Phenotyping Center	KIM, JASON K	06/30/2018	
0	5U24HG009446-02	EDAC: ENCODE Data Analysis Center	Weng, Zhiping	01/31/2019	
0	5U24AI126683-02	Nonhuman Primate Reagent Resource	REIMANN, KEITH A.	05/31/2018	
0	5U19AI109858-04	CD4 T cells in anti-viral immunity and immune pathology	Welsh, Raymond M	02/28/2018	
0	5U01HL126495-04	Racial and Ethnic Diversity in Human Extracellular RNA	FREEDMAN, JANE E	04/30/2018	
0	5U01HG007910-03	Rules of gene expression modeled on human dendritic cell response to pathogens	LUBAN, JEREMY	11/30/2018	
Showir	ng 1 to 10 of 27 entries				

Carryover Request via eRA Commons



What information needs to be included in the request?

The Carryover request consists of 3 sections:

- 1. Explanation of Unobligated Balance
- Detailed Budget
- 3. Scientific Justification

Individual pdf's need to be uploaded to each section.



QUESTIONS???