Agenda

- NIH Public Access Policy - Library Update (Rebecca Reznik-Zellen)
- Uniform Guidance Update
- NIH RPPR Update
- NIH Individual Development Plan (IDP) Requirements
- NIH Biosketches Training
- eRA Commons User ID for Graduate and Undergraduate Roles
- eRA Commons Username Required for Sponsor in Individual Fellowship Grant Applications to NIH & AHRQ
- Ruth L. Kirschstein NRSA Additional Educational Information Requirements
- Cayuse – Compatibility Issues
- Cayuse – Updating Commons ID in Professional Profile
- Updated UMMS F&A Rate Agreement
- Faculty Changing Departments within UMMS
- Research Administration Training Program Upcoming Courses
- Proposal & Progress Report Statistics
NIH Public Access Policy

Requirement

• All NIH-funded researchers must submit (or have submitted) their final peer-reviewed journal manuscript to PubMed Central upon acceptance for publication.

• Articles must be publicly available through PubMed Central no later than 12 months after publication.

http://publicaccess.nih.gov/

NIH Public Access Compliance

• Awards (non-competing continuation) will be delayed until grantees demonstrate compliance (since April 2013).

• Use NCBI to report publications

http://publicaccess.nih.gov/
Compliance Process

- Manuscript is accepted.
- Manuscript is submitted to PubMed Central.
  1. By Publisher
  2. By Author
- If necessary*, manuscript is approved by author.
- PMCID is cited in progress reports, applications, and proposals via My Bibliography.

*See Submission Methods and How To Demonstrate Compliance (Sarli & Lewis, 2010)
Cheat Sheet

- Citation database
- Full-text article database
- NIH Manuscript Submission System
- Feature of NCBI databases, including PubMed
- Reference tool for managing personal citations
- NIH grant reporting toolkit

- Article accepted for publication:
  - Indicate NIH funding on copyright transfer agreement
  - Follow publisher's instructions
- Submit manuscript to NIH Manuscript Submission System
- Approve final manuscript
- Add citation from PubMed to your MyBibliography

For assistance and instruction:
http://libraryguides.umassmed.edu/nih_class

DO NOT WAIT UNTIL REPORTS ARE DUE!

My NCBI’s My Bibliography Class

- NIH Public Access/NCBI MyBibliography
- Instructions for the NIH Public Access Policy and compliance procedures using NCBI MyBibliography
- Help and manage your bibliographic database account
- Save, organize, and manage your citations

My NCBI’s My Bibliography Class Registration

http://libraryguides.umassmed.edu/nih_class
UMMS Library Contacts

Research & Scholarly Communication Services

• Sally Gore, X6-1966
  sally.gore@umassmed.edu
• Lisa Palmer, X6-4368
  lisa.palmer@umassmed.edu
• Rebecca Reznik-Zellen, X6-6810
  rebecca.reznik-zellen@umassmed.edu

Uniform Guidance Update

• Awaiting agencies implementation of the OMB Uniform Guidance that consolidates previously applicable circulars (A-21, A-110, A-133)
• Implementation date is 12/26/2014
• For proposals that UMMS is currently submitting:
  – UMMS will continue to honor pass-through entities F&A rates negotiated by the subrecipient with the federal government, or
  – Allow the subrecipient to use a 10% Modified Total Direct Cost (MTDC) F&A rate in the absence of a federally negotiated rate for the subrecipient
NOT-OD-14-092: RPPR
Type 5 Non-SNAP Progress Reports

• NIH will require the Research Performance Progress Report (RPPR) for all type 5 non-SNAP progress reports submitted on or after October 17, 2014.


NOT-OD-14-113: Descriptions on the Use of Individual Development Plans (IDPs) for Graduate Students and Postdoctoral Researchers Required in Annual Progress Reports

• NIH annual progress reports received on/after October 1, 2014 must include a section to describe how individual development plans (IDPs) are used to identify and promote the career goals of graduate students and postdoctoral researchers associated with the award.

• RFS is sending out instructions for the October deadline and is working with GSBS to ensure compliance..

Building a Better Biosketch

- Training provided 9/23/14 and scheduled for October 28, 2014 (Hiatt Auditorium, S1-608).
- Sample Biosketch form with advisory prompts has been posted to the RFS website:
  - http://www.umassmed.edu/research/funding/NIHinfo/

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NOT-OD-13-097: eRA Commons User IDs for Individuals in Graduate and Undergraduate Project Roles

- Commons ID for undergraduate and graduate students is required with RPPR submissions beginning October 17, 2014.
  - Applies to students with one person month of effort or more
- Submitted RPPRs lacking the eRA Commons ID for graduate and undergraduate students will receive an error and will not be accepted by eRA Commons.
- Please use the eRA Commons Account Request Form on the RFS forms page to initiate this request.


NOT-OD-14-129: eRA Commons Username Required for Sponsor in Individual Fellowship Grant Applications to NIH & AHRQ

- NIH & AHRQ now require a valid eRA Commons Username to be included for the primary Sponsor designated on competing Individual Fellowship grant applications.
- Including the eRA Commons IDs for personnel designated as Co-sponsors remains optional.
  - Applies to students with one person month of effort or more
- Lack of sponsor’s ID can prevent successful application submission.

Ruth L. Kirschstein NRSA Awards
Additional Educational Requirements

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Cayuse Browser Compatibility Issues

- RFS has encountered users having browser compatibility issues in Cayuse
- Cayuse recommends using the following browsers:
  - Firefox
  - Chrome
  - Safari
- RFS recommends having a secondary browser installed just in case you encounter any issues working within Cayuse in your primary browser.
Updating an NIH Commons ID in a Cayuse Professional Profile

- If the investigator’s Commons ID is not updated, it will not populate the credential, e.g., agency login field in the key persons section in Cayuse. The Commons ID will only populate NIH proposals.

Updated F&A Rate Agreement

- UMMS F&A Rate Agreement has a new effective date of 07/30/14
- The updated agreement extends our existing rates through 6/30/19
  - RFS has updated Cayuse to reflect the new effective date of the rate agreement
  - Please provide this updated agreement to collaborators requesting our F&A information
- The agreement is available on the RFS Fact Sheet and directly at:
  http://www.umassmed.edu/PageFiles/10699/UMW%20FA%20Rate%20Agreement%20FY15_19.pdf
**Faculty Changing Departments within UMMS**

- RFS not always notified when faculty switch departments
- Request notification from departments of these changes so that RFS can coordinate any required reporting changes

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**Research Administration Training Program**

**Upcoming Courses - 2014**

- **Pre-Award II (Core)**
  10/08/14  8:30 – 12:30  Location – HR Training Room, South Street

- **Regulatory Compliance (Elective)**
  10/23/14  9:00 – 11:00  Location – University Campus, S1-608

- **Post-Award II (Core)**
  11/06/14  8:30 – 12:30  Location – HR Training Room, South Street

- **Training Grants (Elective)**
  11/18/14  9:00 – 11:00  Location – University Campus, S1-608

- **Federal Contracts (Elective)**
  12/10/14  9:00 – 11:00  Location – University Campus, S1-608

To register go to: [http://i.umassmed.edu/Inside/registration/Register.aspx?pid=77](http://i.umassmed.edu/Inside/registration/Register.aspx?pid=77)
## PROPOSAL SUBMISSIONS TO RFS
### August 2013 – August 2014

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**Expedited Request**:
- Received by RFS with 3 days or less to review before requested return date.

**On Time**:
- Received by RFS 5 days prior to the requested return date.

**Late**:
- Received by RFS less than 5 days prior to the requested return date.

**After the fact**:
- Received by RFS after the requested return date.

## SUBMISSIONS TO RFS
### August 2013 to August 2014 Comparison

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**After the fact**:
- Received by RFS after the requested return date.
### PROGRESS REPORT SUBMISSIONS TO RFS
August 2013 – August 2014

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On Time: Received by RFS 5 days prior to the requested return date.
Late: Received by RFS less than 5 days prior to the requested return date.
After the fact: Received by RFS after the requested return date.
Expedited Request: Received by RFS with 3 days or less to review before requested return date.

### SUBMISSIONS TO RFS
August 2013 to August 2014 Comparison

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On Time: Received by RFS 5 days prior to the requested return date.
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After the Fact: Received by RFS after the requested return date.
Expedited Request: Received by RFS with 3 days or less to review before requested return date.
A. Personal Statement

The goal of the proposed research is to investigate the interaction between drug abuse and normal aging processes. Specifically, we plan to measure changes in cognitive ability and mental and physical health across a five-year period in a group of older drug users and matched controls. I have the expertise, leadership and motivation necessary to successfully carry out the proposed work. I have a broad background in psychology, with specific training and expertise in key research areas for this application. As a postdoctoral fellow at Berkeley, I carried out ethnographic and survey research and secondary data analysis on psychological aspects of drug addiction. At the Division of Intramural Research at the National Institute on Drug Abuse (NIDA), I expanded my research to include neuropsychological changes associated with addiction. As PI or co-Investigator on several university- and NIH-funded grants, I laid the groundwork for the proposed research by developing effective measures of disability, depression, and other psychosocial factors relevant to the aging substance abuser, and by establishing strong ties with community providers that will make it possible to recruit and track participants over time. In addition, I successfully administered the projects (e.g. staffing, research protections, budget), collaborated with other researchers, and produced several peer-reviewed publications from each project. As a result of these previous experiences, I am aware of the importance of frequent communication among project members and of constructing a realistic research plan, timeline, and budget. The current application builds logically on my prior work, and I have chosen co-investigators (Drs. Gryczynski and Newlin) who provide additional expertise in cognition, gerontology and geriatrics. During 2005-2008 my career was disrupted due to family obligations. However, upon returning to the field I immediately resumed my research projects and collaborations and successfully competed for NIH support. In summary, I have a demonstrated record of accomplished and productive research projects in an area of high relevance for our aging population, and my expertise and experience have prepared me to lead the proposed project.

B. Positions and Honors

Positions and Employment

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<td>Lecturer, Department of Psychology, Middlebury College, Middlebury, VT</td>
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<td>2002-2005</td>
<td>Assistant Professor, Department of Psychology, Washington University, St. Louis, MO</td>
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OMB No. 0925-0001/0002 (Rev. 8/12 Approved Through 8/31/2015)
LIST UP TO 15 VALID PUBLICATIONS TAKEN FROM THE INVESTIGATOR’S MyNCBI REPORT (to go to MyNCBI site, click on publications link to the right). Publications prior to April 2008 do not require the PMCID.

2007- Associate Professor, Department of Psychology, Washington University, St. Louis, MO

Other Experience and Professional Memberships
1995- Member, American Psychological Association
1998- Member, Gerontological Society of America
1998- Member, American Geriatrics Society
2000- Associate Editor, Psychology and Aging
2003- Board of Advisors, Senior Services of Eastern Missouri
2003-05 NIH Peer Review Committee: Psychobiology of Aging, ad hoc reviewer
2007-11 NIH Risk, Adult Addictions Study Section, member

Honors
2003 Outstanding Young Faculty Award, Washington University, St. Louis, MO
2004 Excellence in Teaching, Washington University, St. Louis, MO
2009 Award for Best in Interdisciplinary Ethnography, International Ethnographic Society

C. Selected Peer-reviewed Publications (Selected from 42 peer-reviewed publications)

Most relevant to the current application

Additional recent publications of importance to the field (in chronological order)
D. Research Support

Ongoing Research Support

R01 DA942367-03  Hunt (PI)  09/01/08-08/31/13
Health trajectories and behavioral interventions among older substance abusers
The goal of this study is to compare the effects of two substance abuse interventions on health outcomes in an urban population of older opiate addicts.
Role: PI

R01 MH922731-05  Merryle (PI)  12/15/07-11/30/12
Physical disability, depression and substance abuse in the elderly
The goal of this study is to identify disability and depression trajectories and demographic factors associated with substance abuse in an independently-living elderly population.
Role: Co-Investigator

Faculty Resources Grant, Washington University  08/15/08-08/14/11
Opiate Addiction Database
The goal of this project is to create an integrated database of demographic, social and biomedical information for homeless opiate abusers in two urban Missouri locations, using a number of state and local data sources.

Completed Research Support

K02 AG442898  Hunt (PI)  02/01/02-01/31/05
Drug Abuse in the Elderly
Independent Scientist Award: to develop a drug addiction research program with a focus on substance abuse among the elderly.
Role: PI

R21 AA988075  Hunt (PI)  01/01/02-12/31/04
Community-based intervention for alcohol abuse
The goal of this project was to assess a community-based strategy for reducing alcohol abuse among older individuals.
Role: PI
Notice Number:
NOT-OD-14-133

Key Dates
Release Date: September 24, 2014

Related Announcements
PA-14-150, NOT-OD-14-090

Issued by
National Institutes of Health (NIH)

Purpose
This Notice serves to emphasize instructions provided in Section IV.2 Content and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-150. Specifically,

- The information specified below must be provided in a document with the file name "Additional Educational Information"
- This document must be attached to the Other Project Information form under the Other Attachments section.
- All applications lacking this attachment will be considered incomplete and will not proceed to review.

Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form
Other Attachments
The following additional educational information is required and must be attached under Other Attachments:

- Describe the institution's dual-degree program in which the applicant is enrolled. Provide some background on the dual-degree program including general program outcomes, such as the completion rate and the percentage of program graduates who are in academic research positions post-training. Describe the structure of the dual-degree program, and required milestones and their usual timing (e.g., number of courses, any teaching and clinical requirements, and qualifying exams). The sequencing of the applicant's graduate research and medical (or other health professional) school years should also be described. Describe the progress and status of the F30 applicant in relation to the program’s timeline, indicating when the applicant matriculated into the program and when the applicant is likely to transition to clinical years of the dual-degree program. Describe any clinical tutorials during the graduate research years and any activities to ease transition from the graduate to the clinical years of the dual-degree program. Describe any research-associated activities during the clinical years of the dual-degree program. Describe the frequency and method by which the program formally monitors and evaluates a student's progress. This information is typically provided by the director of the dual-degree program or the department chair. The name of the individual providing the information should be included at the end of the attachment.
- Provide justification for the duration of support requested in terms of the research and clinical training proposed. Include the number of anticipated months of research training and of clinical training.
- Note that scores for standardized exams (e.g., MCAT, GRE) as well as a listing of the applicant’s courses and grades must be included in the Fellowship Applicant Biographical Sketch, and NOT in this attachment.
- Please name this attachment “Additional Educational Information.”

The filename provided for each “Other Attachment” will be the name used for the bookmark in the electronic application in eRA Commons.

Inquiries
Please direct all inquiries to:

Henry Khachaturian, Ph.D.
Acting NIH Research Training Officer
NIH Office of Extramural Programs
Telephone: 301-443-2613
Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement
NIH Funding Opportunities and Notices

Notice to Emphasize the Requirement for Additional Educational Information under PA-14-147 "Ruth L. Kirschstein National Research Service Award (NRSA) Individual Predoctoral Fellowship (Parent F31)"

**Notice Number:**

NOT-OD-14-134

**Key Dates**

*Release Date:* September 24, 2014

**Related Announcements**

PA-14-147, NOT-OD-14-094

**Issued by**

National Institutes of Health (NIH)

**Purpose**

This Notice serves to emphasize instructions provided in Section IV.2 Content and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-147. Specifically,

- The information specified below must be provided in a document with the file name "Additional Educational Information"
- This document must be attached to the Other Project Information form under the Other Attachments section.
- All applications lacking this attachment will be considered incomplete and will not proceed to review.

**Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form**

**Other Attachments**

The following additional educational information is **required** and must be attached under Other Attachments:

- Describe the graduate program in which the applicant is enrolled, e.g. the structure of the program, required milestones and their usual timing (number of courses, any teaching commitments, qualifying exams, etc.), and the average time to degree over the past 10 years. Describe the progress/status of the F31 applicant in relation to the program's time line. Describe the frequency and method by which the program formally monitors and evaluates a student's progress. This information is typically provided by the director of the graduate program or the department chair. Include the name of the individual providing this information at the end of the description.
- Note that scores for standardized exams (e.g., MCAT, GRE) as well as a listing of the applicant's courses and grades must be included in the Fellowship Applicant Biographical Sketch, and NOT in this attachment.
- Please name this attachment "Additional Educational Information."

*The filename provided for each "Other Attachment” will be the name used for the bookmark in the electronic application in eRA Commons:*

**Inquiries**

Please direct all inquiries to:

Henry Khachaturian, Ph.D.  
Acting NIH Research Training Officer  
NIH Office of Extramural Programs  
Telephone: 301-443-2613  
Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement  
NIH Funding Opportunities and Notices
Notice to Emphasize the Requirement for Additional Educational Information under PA-14-148 "Ruth L. Kirschstein National Research Service Award Individual Predoctoral Fellowship to Promote Diversity in Health-Related Research (Parent F31 - Diversity)"

Notice Number:
NOT-OD-14-135

Key Dates
Release Date: September 24, 2014

Related Announcements
PA-14-148, NOT-OD-14-095

Issued by
National Institutes of Health (NIH)

Purpose
This Notice serves to emphasize instructions provided in Section IV.2 Content and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-148. Specifically,

- The information specified below must be provided in a document with the file name "Additional Educational Information"
- This document must be attached to the Other Project Information form under the Other Attachments section.
- All applications lacking this attachment will be considered incomplete and will not proceed to review.

Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form

Other Attachments
The following additional educational information is required and must be attached under Other Attachments:

- Describe the graduate or dual-degree program in which the applicant is enrolled, e.g. the structure of the program, required milestones and their usual timing (e.g., number of courses, any teaching and clinical requirements, and qualifying exams), and the average time to degree over the past 10 years. For dual-degree applicants, the sequencing of the applicant's graduate and medical (or other health professional) school years should also be described. Describe the progress/status of the applicant in relation to the program's time line, indicating when the applicant matriculated into the program and, if applicable, when the applicant is likely to transition to clinical years of the dual-degree program. Describe the frequency and method by which the program formally monitors and evaluates a student's progress. This information is typically provided by the director of the graduate program or the department chair. The name of the individual providing the information should be included at the end of the attachment.
- Note that scores for standardized exams (e.g., MCAT, GRE) as well as a listing of the applicant’s courses and grades must be included in the Fellowship Applicant Biographical Sketch, and NOT in this attachment.
- Please name this attachment “Additional Educational Information.”

A certification letter is required and must be attached under Other Attachments:

- Applicants are required to attach a letter from the institution certifying eligibility of the Fellowship applicant for this program. The certification letter must be on institutional letterhead and scanned so that an institutional official signature is visible. See instructions in the SF424 (R&R) Individual Fellowship Application Guide.
- Please name this attachment “Certification Letter.”

The filename provided for each “Other Attachment” will be the name used for the bookmark in the electronic application in eRA Commons.

Inquiries
Please direct all inquiries to:

Henry Khachatourian, Ph.D.
Acting NIH Research Training Officer
NIH Office of Extramural Programs
Telephone: 301-443-2613
Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement
NIH Funding Opportunities and Notices

Notice to Discontinue the Requirement for Additional Educational Information under PA-14-149 "Ruth L. Kirschstein National Research Service Award (NRSA) Individual Postdoctoral Fellowship (Parent F32)"

Notice Number:
NOT-OD-14-137

Key Dates
Release Date: September 24, 2014

Related Announcements
PA-14-149, NOT-OD-14-096

Issued by
National Institutes of Health (NIH)

Purpose
This Notice serves to discontinue the requirement for Additional Educational Information provided in Section IV.2 Content and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-149.

Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form

Other Attachments
The following additional educational information is no longer required:

- A description of the resources available to the applicant including the availability of such resources as might be associated with an Office of Postdoctoral Affairs.

However, applicants are encouraged to provide any information on resources that are available at Office of Postdoctoral Affairs (or the equivalent) under the Other Project Information Form, Facilities & Other Resources attachment.

Inquiries
Please direct all inquiries to:

Henry Khachaturian, Ph.D.
Acting NIH Research Training Officer
NIH Office of Extramural Programs
Telephone: 301-443-2613
Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement
NIH Funding Opportunities and Notices


9/29/2014
COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1043167352A1

ORGANIZATION:
University of Massachusetts Medical School
55 Lake Avenue North
Worcester, MA 01655-

DATE: 07/30/2014

FILING REF.: The preceding agreement was dated 05/21/2014

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: Facilities And Administrative Cost Rates

<table>
<thead>
<tr>
<th>RATE TYPES</th>
<th>FIXED</th>
<th>FINAL</th>
<th>PROV. (PROVISIONAL)</th>
<th>PRED. (PREDETERMINED)</th>
</tr>
</thead>
<tbody>
<tr>
<td>EFFECTIVE PERIOD</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TYPE</td>
<td>FROM</td>
<td>TO</td>
<td>RATE(%)</td>
<td>LOCATION</td>
</tr>
<tr>
<td>PRED.</td>
<td>07/01/2014</td>
<td>06/30/2019</td>
<td>67.50</td>
<td>On-Campus</td>
</tr>
<tr>
<td>PRED.</td>
<td>07/01/2014</td>
<td>06/30/2019</td>
<td>26.00</td>
<td>Off-Campus</td>
</tr>
<tr>
<td>PRED.</td>
<td>07/01/2014</td>
<td>06/30/2019</td>
<td>68.00</td>
<td>On-Campus</td>
</tr>
<tr>
<td>PRED.</td>
<td>07/01/2014</td>
<td>06/30/2019</td>
<td>27.80</td>
<td>Off-Campus</td>
</tr>
<tr>
<td>PRED.</td>
<td>07/01/2014</td>
<td>06/30/2019</td>
<td>18.25</td>
<td>All Locations</td>
</tr>
<tr>
<td>PRED.</td>
<td>07/01/2014</td>
<td>06/30/2019</td>
<td>36.00</td>
<td>On-Campus</td>
</tr>
<tr>
<td>PRED.</td>
<td>07/01/2014</td>
<td>06/30/2019</td>
<td>26.00</td>
<td>Off-Campus</td>
</tr>
<tr>
<td>PROV.</td>
<td>07/01/2019</td>
<td>Until Amended</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
*BASE*

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first $25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of $25,000.
SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

Fringe benefits applicable to direct salaries and wages are treated as direct costs.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

1. The following rate applies to research effort performed at the Massachusetts Biclogics Laboratory (MBL):

<table>
<thead>
<tr>
<th>TYPE</th>
<th>FROM</th>
<th>TO</th>
<th>RATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>PRED.</td>
<td>07/01/14</td>
<td>06/30/19</td>
<td>26.0%</td>
</tr>
<tr>
<td>PROV.</td>
<td>07/01/19</td>
<td>Until Amended</td>
<td>Use the rate cited for the period ending 6/30/19</td>
</tr>
</tbody>
</table>

The 26% rate noted above applies to the administrative costs of research at MBL. The facilities costs are directly charged for the space used by each project.

2. The rates in this Agreement have been negotiated to reflect the administrative cap provisions of the revisions to OMB Circular A-21 published by the Office of Management and Budget on May 8, 1996. No rate affecting the institution's fiscal periods beginning on or after October 1, 1991 contains total administrative cost components in excess of that 26 percent cap.
3. Fringe benefits are claimed using approved rates contained in the Massachusetts State-Wide Cost Allocation Plan. The following additional fixed fringe benefit charges are approved for the University:

<table>
<thead>
<tr>
<th></th>
<th>FYE 6/30/14</th>
<th>FYE 6/30/15</th>
</tr>
</thead>
<tbody>
<tr>
<td>Workers' Compensation Insurance</td>
<td>0.56% (S&amp;W)</td>
<td>0.24% (S&amp;W)</td>
</tr>
<tr>
<td>Medicare</td>
<td>(1)</td>
<td>(1)</td>
</tr>
<tr>
<td>Health and Welfare</td>
<td>0.92% (S&amp;W)</td>
<td>0.95% (S&amp;W)</td>
</tr>
<tr>
<td>Unemployment</td>
<td>(1)</td>
<td>(1)</td>
</tr>
</tbody>
</table>

(1) Beginning for Fiscal Year 2008 the State negotiated rate incorporates Unemployment Insurance and Medicare in the Federally negotiated State "5B" rate.

4. Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year, and an acquisition cost of $5,000 or more per unit.

5. Commonwealth Medicine is the public, non-profit consulting and service organization founded by the University of Massachusetts Medical School. The Other Sponsored Activities - Commonwealth Medicine (OSA-CM) base consists of the direct costs of public service programs that have evolved through partnerships with State agencies.

This separate OSA-CM rate receives an allocation of applicable general and administrative and information services costs only. Departmental administration, sponsored projects administration and facilities costs are not applicable to these programs.
SECTION XIII: GENERAL

A. LIMITATIONS:
The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCEPTANCE CHANGES:
This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:
If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:
The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:
If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) recredit such costs to the affected programs, and (2) apply the approved rates to the appropriate base to identify the proper amount of facilities and administrative costs allocable to those programs.

BY THE INSTITUTION:

[Signature]
Nancy E. Vasil
Assoc. Vice Chancellor

[Date]
7/31/14

ON BEHALF OF THE FEDERAL GOVERNMENT:

[Signature]
Darryl W. Hayes
Deputy Director, Cost Allocation Services

[Signature]
Louis Martillotti
Telephone: (202) 264-2069

DATE: 7/30/2014

[Date]
7/30/2014

[Date]
[Day]
<table>
<thead>
<tr>
<th>ACRONYM/TERM</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>AHRQ</td>
<td>Agency for Health Care Research &amp; Quality</td>
</tr>
<tr>
<td>Biosketch (NIH)</td>
<td>Standardized biographical sketch form used by NIH to capture senior/key personnel and other significant contributors in the proposal. Captures Name, eRA Commons ID, Education/Training, Personal Statement, Positions/Honors, Selected Publications and Research Support</td>
</tr>
<tr>
<td>Browser</td>
<td>A program with a graphical user interface for displaying HTML files used to navigate web. Examples include Internet Explorer, Firefox, Chrome and Opera.</td>
</tr>
<tr>
<td>Cayuse 424</td>
<td>Cayuse is a web-based system for submission of applications via grants.gov.</td>
</tr>
<tr>
<td>Cayuse Professional Profile</td>
<td>Repository of personnel information for Cayuse users, PIs and key personnel. User choose name from Professional Profile listing and personnel data, such as department, title, role and contact information, autopopulates in the proposal under development.</td>
</tr>
<tr>
<td>Commons User ID</td>
<td>The Commons User ID is your logon to the eRA Commons system. If you do not have a Commons login, you can request one from RFS.</td>
</tr>
<tr>
<td>Circular A-21</td>
<td>Cost Principles for Educational Institutions</td>
</tr>
<tr>
<td>Circular A-110</td>
<td>Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations</td>
</tr>
<tr>
<td>Circular A-133</td>
<td>Audits of States, Local Governments, and Non-Profit Organizations</td>
</tr>
<tr>
<td>eRA Commons</td>
<td>The eRA Commons is NIH's online interface where signing officials, principal investigators, trainees and post-docs at institutions/organizations can access and share administrative information relating to research grants and process prior approval requests.</td>
</tr>
<tr>
<td>F&amp;A; F&amp;A Rate Agreement</td>
<td>F&amp;A stands for Facilities and Administrative Costs. Also referred to as indirect costs and overhead. The F&amp;A Rate Agreement is the federally negotiated rate agreement in place with government that allows us to charge the prescribed rates to the government.</td>
</tr>
<tr>
<td>F31/Kirchstein NRSA</td>
<td>The F31 activity type is Ruth L. Kirschstein National Research Service Award (NRSA) Individual Predoctoral Fellowship.</td>
</tr>
<tr>
<td>F32/Kirchstein NRSA</td>
<td>The F32 activity type is Ruth L. Kirschstein National Research Service Award (NRSA) Individual Postdoctoral Fellowship.</td>
</tr>
<tr>
<td>Individual Development Plan (IDP)</td>
<td>An Individual Development Plan is a set of goals for the next 6-12 months that includes: research project goals, skill development goals and career advancement goals.</td>
</tr>
<tr>
<td>My Bibliography (Pub Med)</td>
<td>My Bibliography is a reference tool that helps save your citations (journal articles, books/chapters, patents, presentations and meetings) directly from PubMed or, if not found there, to manually enter citations using My Bibliography templates. My Bibliography provides a centralized place where citations are easily accessed, exported as a file, and made public to share with others.</td>
</tr>
<tr>
<td>MyNCBI</td>
<td>Electronic publication resource that NIH has mandated be used to demonstrate compliance with the NIH Public Access Policy. My NCBI publications report resources are available at: <a href="http://www.ncbi.nlm.nih.gov/books/NBK53595/">http://www.ncbi.nlm.nih.gov/books/NBK53595/</a></td>
</tr>
<tr>
<td>NCBI</td>
<td>The National Center for Biotechnology Information (NCBI) is part of the United States National Library of Medicine (NLM), a branch of the National Institutes of Health.</td>
</tr>
<tr>
<td>NIH</td>
<td>National Institutes of Health</td>
</tr>
<tr>
<td>MTDC</td>
<td>The Modified Total Direct Costs base is used to calculate indirect costs. The base excludes items such as: equipment, student tuition, research patient care costs, rent, and sub-recipient charges (after the first $25,000).</td>
</tr>
<tr>
<td>PMCID</td>
<td>The PMCID is a unique reference number or identifier that is assigned to every article that is accepted into Pub Med Central. The PMCID is also used by recipients of NIH funding to demonstrate compliance with the NIH Public Access policy. The PMCID can be found in both Pub Med Central and PubMed.</td>
</tr>
<tr>
<td>Pub Med Central</td>
<td>PubMed Central (PMC) is a free archive of biomedical and life sciences journal literature at the U.S. National Institutes of Health's National Library of Medicine (NIH/NLM). In keeping with NLM’s legislative mandate to collect and preserve the biomedical literature, PMC serves as a digital counterpart to NLM's extensive print journal collection. Launched in February 2000, PMC was developed and is managed by NLM’s National Center for Biotechnology Information (NCBI).</td>
</tr>
<tr>
<td>RFS</td>
<td>Research Funding Services</td>
</tr>
<tr>
<td>RPPR</td>
<td>Research Performance Progress Report. Progress reports are required annually to document grantee accomplishments and compliance with terms of award. They describe scientific progress, identify significant changes, report on personnel, and describe plans for the subsequent budget period or year. See <a href="http://grants.nih.gov/grants/rppr/">http://grants.nih.gov/grants/rppr/</a></td>
</tr>
<tr>
<td>ACRONYM/TERM</td>
<td>DESCRIPTION</td>
</tr>
<tr>
<td>------------------</td>
<td>-----------------------------------------------------------------------------</td>
</tr>
<tr>
<td>SNAP</td>
<td>Streamlined Non-Competing Award Process. Streamlined process that includes a number of provisions that modify annual progress reports, NoAs, and financial reports. Funds are automatically carried over and are available for expenditure during the entire project period. All NIH award notices identify whether the grant is subject to or excluded from SNAP.</td>
</tr>
<tr>
<td>Type 5 Application</td>
<td>A type 5 application is a non-competing continuation. A non-competing continuation is a financial assistance request (in the form of an application or progress report) or resulting award for a subsequent budget period within a previously approved project period for which a recipient does not have to compete with other applicants.</td>
</tr>
<tr>
<td>Uniform Guidance</td>
<td>Refers to the new OMB guidance on administrative requirements, cost principles and audit requirements for federal awards (which includes research grant awards) that will come into effect December 26, 2014. This guidance consolidates OMB Circulars A-21, A-87, A-110 and A-122 (which have been placed in 2 C.F.R. Parts 220, 225, 215 and 230); Circulars A-89, A-102 and A-133; and the guidance in Circular A-50 on Single Audit Act follow-up.</td>
</tr>
</tbody>
</table>