

## Agenda



- NIH Public Access Policy Library Update (Rebecca Reznik-Zellen)
- Uniform Guidance Update
- NIH RPPR Update
- NIH Individual Development Plan (IDP) Requirements
- NIH Biosketches Training
- eRA Commons User ID for Graduate and Undergraduate Roles
- eRA Commons Username Required for Sponsor in Individual Fellowship Grant Applications to NIH & AHRQ
- Ruth L. Kirschstein NRSA Additional Educational Information Requirements
- Cayuse Compatibility Issues
- Cayuse Updating Commons ID in Professional Profile
- Updated UMMS F&A Rate Agreement
- Faculty Changing Departments within UMMS
- Research Administration Training Program Upcoming Courses
- Proposal & Progress Report Statistics

## NIH Public Access Policy



### Requirement



- All NIH-funded researchers must submit (or have submitted) their final peer-reviewed journal manuscript to PubMed Central upon acceptance for publication.
- Articles must be publicly available through PubMed Central no later than 12 months after publication.

http://publicaccess.nih.gov/

# NIH Public Access Compliance



- Awards (non-competing continuation) will be delayed until grantees demonstrate compliance (since April 2013).
- Use NCBI to report publications

# **Compliance Process**



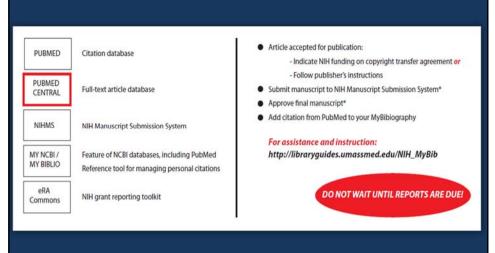
- Manuscript is accepted.
- Manuscript is submitted to PubMed Central.
  - 1. By Publisher
  - 2. By Author
- If necessary\*, manuscript is approved by author.
- PMCID is cited in progress reports, applications, and proposals via My Bibliography.

\*See Submission Methods and How To Demonstrate Compliance (Sarli & Lewis, 2010)



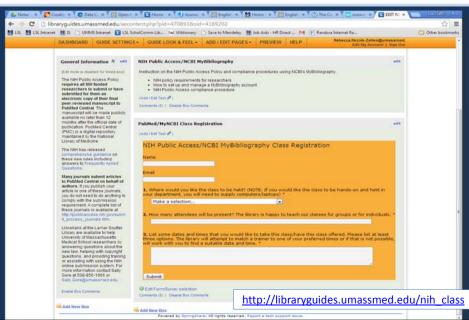
# **Cheat Sheet**





# My NCBI's My Bibliography Class University of Massachusetts Massachusetts Massachusetts Medical School





# **UMMS Library Contacts**



### Research & Scholarly Communication Services

- Sally Gore, X6-1966 sally.gore@umassmed.edu
- Lisa Palmer, X6-4368 lisa.palmer@umassmed.edu
- Rebecca Reznik-Zellen, X6-6810
   rebecca.reznik-zellen@umassmed.edu

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## Uniform Guidance Update



- Awaiting agencies implementation of the OMB Uniform Guidance that consolidates previously applicable circulars (A-21, A-110, A-133)
- Implementation date is 12/26/2014
- For proposals that UMMS is currently submitting:
  - UMMS will continue to honor pass-through entities
     F&A rates negotiated by the subrecipient with the federal government, or
  - Allow the subrecipient to use a 10% Modified Total Direct Cost (MTDC) F&A rate in the absence of a federally negotiated rate for the subrecipient

# NOT-OD-14-092: RPPR Type 5 Non-SNAP Progress Reports



NIH will require the Research
 Performance Progress Report (RPPR) for all type 5 non-SNAP progress reports submitted on or after October 17, 2014.

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-14-092.html

NOT-OD-14-113: Descriptions on the Use of Individual Development Plans (IDPs) for Graduate Students and Postdoctoral Researchers Required in Annual Progress Reports



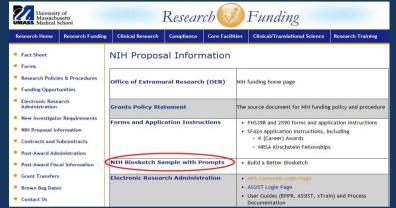
- NIH annual progress reports received on/after
   October 1, 2014 must include a section to describe
   how individual development plans (IDPs) are used
   to identify and promote the career goals of
   graduate students and postdoctoral researchers
   associated with the award.
- RFS is sending out instructions for the October deadline and is working with GSBS to ensure compliance..

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-14-113.html

## Building a Better Biosketch



- Training provided 9/23/14 and scheduled for October 28, 2014 (Hiatt Auditorium, S1-608).
- Sample Biosketch form with advisory prompts has been posted to the RFS website:
  - http://www.umassmed.edu/research/funding/nihinfo/



Error Type - Description	# Errors	% of Biosketches with this Error
Publications - PMCID Missing	18	60.00%
Greater than 15 Publications included	11	36.67%
Correct/Current Biosketch Form Used	8 1	26.67%
eRA Commons ID Not Included	d 5	16.67%
Position Title Inconsistent with Cayuse	5	16.67%
Research Support - Incorrect Heading	5 4	13.33%
Research Support - Grant # Incorrec	t 4	13.33%
Research Support Content - Completed Grants listed in Ongoing section	n 4	13.33%
Research Support Content - Section D Missing (should be included even if none	) 4	13.33%
Research Support - Major Goals Missing	3	10.00%
Research Support - Project Period Incorrec	t 2	6.67%
Research Support Content - Ongoing Grants Missin	g 2	6.67%
Other Erro	r 2	6.67%
Headers/Footers on Form Present (require removal	) 1	3.33%
Position Title Not Included	1	3.33%
Personal Statement not relevant to projec	t 1	3.33%
Research Support - Role on Project Incorrec	t 1	3.33%
Research Support - Funding \$ Listed (shouldn't be	) 1	3.33%
Research Support - Effort Listed (shouldn't be	0	0.00%
Research Support Content - Ongoing Grants listed in Completed Grants section	0 0	0.00%
Research Support Content - Pending grants included	0	0.00%
No. of Error	77	
No. of Question:	630	
% Error	12.22%	
# Biosketches with at least 1 Erro	r <b>25</b>	83.33%
Total # Biosketches Reviewed	30	33.3370

### NOT-OD-13-097: eRA Commons User IDs for Individuals in Graduate and Undergraduate Project Roles



- Commons ID for undergraduate and graduate students is required with RPPR submissions beginning October 17, 2014.
- Applies to students with one person month of effort or more
- Submitted RPPRs lacking the eRA Commons ID for graduate and undergraduate students will receive an error and will not be accepted by eRA Commons.
- Please use the eRA Commons Account Request Form on the RFS forms page to initiate this request.

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-097.html

# NOT-OD-14-129: eRA Commons Username Required for Sponsor in Individual Fellowship Grant Applications to NIH & AHRQ



- NIH & AHRQ now require a valid eRA Commons Username to be included for the primary Sponsor designated on competing Individual Fellowship grant applications.
- Including the eRA Commons IDs for personnel designated as Co-sponsors remains optional.
  - Applies to students with one person month of effort or more
- Lack of sponsor's ID can prevent successful application submission.

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-14-129.html

# Ruth L. Kirschstein NRSA Awards Additional Educational Requirements



	F30	F31 Parent	F31 Diversity	F32
Notice	NOT-OD-14-133	NOT-OD-14-134	NOT-OD-14-135	NOT-OD-14-137
AEI				
Required	YES	YES	YES	NO
	requirements in	requirements in	Refer to the requirements in the notice	N/A
Upload Location	Information form under Other	Information form under Other	Other Project Information form under Other Attachments	N/A
	All applications la considered incom review	N/A		

## Cayuse Browser Compatibility Issues

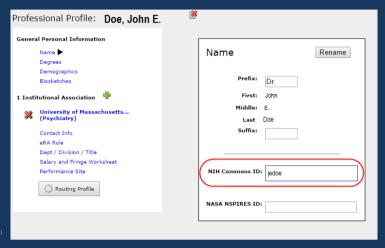


- RFS has encountered users having browser compatibility issues in Cayuse
- Cayuse recommends using the following browsers:
  - Firefox
  - Chrome
  - Safari
- RFS recommends having a secondary browser installed just in case you encounter any issues working within Cayuse in your primary browser.

# Updating an NIH Commons ID in a Cayuse Professional Profile



 If the investigator's Commons ID is not updated, it will not populate the credential, e.g., agency login field in the key persons section in Cayuse. The Commons ID will only populate NIH proposals.



## Updated F&A Rate Agreement



- UMMS F&A Rate Agreement has a new effective date of 07/30/14
- The updated agreement extends our existing rates through 6/30/19
  - RFS has updated Cayuse to reflect the new effective date of the rate agreement
  - Please provide this updated agreement to collaborators requesting our F&A information
- The agreement is available on the RFS Fact Sheet and directly at:

http://www.umassmed.edu/PageFiles/10699/UMW%20FA%20Rate%20Agreement%20FY15\_19.pdf

### Faculty Changing Departments within UMMS



- RFS not always notified when faculty switch departments
- Request notification from departments of these changes so that RFS can coordinate any required reporting changes

# Research Administration Training Program Upcoming Courses - 2014



- 10/08/14 8:30 12:30 Location HR Training Room, South Street
- Regulatory Compliance (Elective)
- 10/23/14 9:00 11:00 Location University Campus, S1-608
   Post-Award II (Core)

Pre-Award II (Core)

- 11/06/14 8:30 12:30 Location HR Training Room, South Street
- Training Grants (Elective)
- 11/18/14 9:00 11:00 Location University Campus, S1-608
- Federal Contracts (Elective)
  - 12/10/14 9:00 11:00 Location University Campus, S1-608

To register go to:

http://i.umassmed.edu/Inside/registration/Register.aspx?pid=77

### PROPOSAL SUBMISSIONS TO RFS August 2013 – August 2014

University of Massachusetts UMASS. Medical School
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	August 2013	September 2013	October 2013	November 2013	December 2013	January 2014	February 2014	March 2014	April 2014	May 2014	June 2014	July 2014	August 2014
Count	70	91	110	86	74	147	93	71	70	110	127	104	67
On Time	34%	54%	42%	37%	47%	44%	43%	44%	39%	43%	50%	40%	37%
Late	57%	42%	53%	58%	49%	51%	53%	56%	55%	49%	46%	52%	58%
After the fact	6%	4%	5%	5%	4%	5%	4%	0%	6%	8%	4%	8%	5%
Withdrawn	3%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
Total	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Expedited Request (3 days or less)	37%	28%	33%	36%	30%	37%	40%	37%	44%	29%	33%	36%	49%

On Time: Received by RFS 5 days prior to the requested return date.

Late: Received by RFS less than 5 days prior to the requested return date.

After the Fact: Received by RFS after the requested return date.

Expedited Request: Received by RFS with 3 days or less to review before requested return date.

# SUBMISSIONS TO RFS August 2013 to August 2014 Comparison



PROPOSALS	2013	2014	Change
Count	70	67	-3
On Time	34%	37%	+3
Late	57%	58%	+1
After the fact	6%	5%	-1
Withdrawn	3%	0%	-3
Total	100%	100%	-
Expedited Request (3 days or less)	37%	49%	+12

On Time: Received by RFS 5 days prior to the requested return date.

Late: Received by RFS less than 5 days prior to the requested return date.

After the Fact: Received by RFS after the requested return date.

Expedited Request: Received by RFS with 3 days or less to review before requested return date.

### PROGRESS REPORT SUBMISSIONS TO RFS August 2013 – August 2014



	August 2013	September 2013	October 2013	November 2013	December 2013	January 2014	February 2014	March 2014	April 2014	May 2014	June 2014	July 2014	August 2014
Count	8	9	12	35	23	35	41	40	54	36	39	32	13
On Time	38%	67%	42%	43%	30%	46%	42%	45%	36%	58%	41%	25%	39%
Late	12%	33%	25%	40%	44%	25%	36%	45%	44%	36%	36%	56%	15%
After the fact	50%	0%	33%	17%	26%	29%	22%	10%	20%	6%	23%	19%	46%
Total	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Expedited Request (3 days or less)	12%	22%	17%	29%	35%	14%	22%	33%	22%	31%	26%	38%	8%

On Time: Received by RFS 5 days prior to the requested return date.

Late: Received by RFS less than 5 days prior to the requested return date.

After the Fact: Received by RFS after the requested return date.

Expedited Request: Received by RFS with 3 days or less to review before requested return date.

# SUBMISSIONS TO RFS August 2013 to August 2014 Comparison



PROGRESS REPORTS	2013	2014	Change
Count	8	13	+5
On Time	38%	39%	+1
Late	12%	15%	+3
After the fact	50%	46%	-4
Withdrawn	0%	0%	-
Total	100%	100%	-
Expedited Request (3 days or less)	12%	8%	-4

On Time: Received by RFS 5 days prior to the requested return date.

Late: Received by RFS less than 5 days prior to the requested return date.

After the Fact: Received by RFS after the requested return date.

Expedited Request: Received by RFS with 3 days or less to review before requested return date.

#### BIOSKETCH PROMPTS IN SAMPLE WORD DOCUMENT

PLEASE ADD eRA COMMONS USER NAME - REQUIRED Ctrl+Click to follow link

Ctrl+Click to follow link

DO NOT LEAVE BLANK. PLEASE ENSURE POSITION TITLE MATCHES TITLE WITHIN CAYUSE APPLICATION

Ctrl+Click to follow link

#### **BIOGRAPHICAL SKETCH**

Provide the following information for the Senior/key personnel and other significant contributors in the order listed on Form Page 2. Follow this format for each person. DO NOT EXCEED FOUR PAGES.

Hunt, Morgan Casey

POSITION TITLE Associate Professor of Psychology

eRA COMMONS USER NAME (credential, e.g., agency login)

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable.)

able)	
. 05/90	Psychology
05/96	Experimental Psychology
toral 08/98	Public Health and Epidemiology
t	oral 08/98

#### A. Personal Statement

The goal of the proposed research is to investigate the interaction between drug abuse and normal aging processes. Specifically, we plan to measure changes in cognitive ability and mental and physical health across a five-year period in a group of older drug users and matched controls. I have the expertise, leadership and motivation necessary to successfully carry out the proposed work. I have a broad background in psychology, with specific training and expertise in key research areas for this application. As a postdoctoral fellow at Berkeley, I carried out ethnographic and survey research and secondary data analysis on psychological aspects of drug addiction. At the Division of Intramural Research at the National Institute on Drug Abuse (NIDA), I expanded my research to include neuropsychological changes associated with addiction. As PI or co-Investigator on several university- and NIH-funded grants, I laid the groundwork for the proposed research by developing effective measures of disability, depression, and other psychosocial factors relevant to the aging substance abuser, and by establishing strong ties with community providers that will make it possible to recruit and track participants over time. In addition, I successfully administered the projects (e.g. staffing, research protections, budget), collaborated with other researchers, and produced several peer-reviewed publications from each project. As a result of these previous experiences, I am aware of the importance of frequent communication among project members and of constructing a realistic research plan, timeline, and budget. The current application builds logically on my prior work, and I have chosen co-investigators (Drs. Gryczynski and Newlin) who provide additional expertise in cognition, gerontology and geriatrics. During 2005-2006 my career was disrupted due to family obligations. However, upon returning to the field I immediately resumed my research projects and collaborations and successfully competed for NIH support. In summary, have a demonstrated record of accomplished and productive research projects in an area of high relevance for our aging population, and my expertise and experience have prepared me to lead the proposed project.

#### B. Positions and Honors

#### Positions and Employment

1998-2000 Fellow, Division of Intramural Research, National Institute of Drug Abuse, Bethesda, MD

2000-2002 Lecturer, Department of Psychology, Middlebury College, Middlebury, VT

2001-Consultant, Coastal Psychological Services, San Francisco, CA

2002-2005 Assistant Professor, Department of Psychology, Washington University, St. Louis, MO

OMB No. 0925-0001/0002 (Rev. 8/12 Approved Through 8/31/2015)

Biographical Sketch Format Page

#### BIOSKETCH PROMPTS IN SAMPLE WORD DOCUMENT

LIST UP TO 15 VALID PUBLICATIONS TAKEN FROM THE INVESTIGATOR'S MyNCBI REPORT (to go to MyNCBI site, click on publications link to the right). Publications prior to April 2008 do not require the PMCID.

https://www.ncbi.nlm.nih.gov/account/ Ctrl+Click to follow link

Ctrl+Click to follow link

2007- Associate Professor, Department of Psychology, Washington University, St. Louis, MO

#### Other Experience and Professional Memberships

1995- Member, American Psychological Association 1998- Member, Gerontological Society of America 1998- Member, American Geriatrics Society 2000- Associate Editor, Psychology and Aging

2003- Board of Advisors, Senior Services of Eastern Missouri

2003-05 NIH Peer Review Committee: Psychobiology of Aging, ad hoc reviewer 2007-11 NIH Risk, Adult Addictions Study Section, member

Honors

2003 Outstanding Young Faculty Award, Washington University, St. Louis, MO

2004 Excellence in Teaching, Washington University, St. Louis, MO
2009 Award for Best in Interdisciplinary Ethnography, International Ethnographic Society

C. Selected Peer-reviewed Publications (Selected from 42 peer-reviewed publications)

#### Most relevant to the current application

- 1. Merryle, R.J. & Hunt, M.C. (2004). Independent living, physical disability and substance abuse among the elderly. Psychology and Aging, 23(4), 10-22.
- 2. Hunt, M.C., Jensen, J.L. & Crenshaw, W. (2007). Substance abuse and mental health among community-dwelling elderly. International Journal of Geriatric Psychiatry, 24(9), 1124-1135.
- 3. Hunt, M.C., Wiechelt, S.A. & Merryle, R. (2008). Predicting the substance-abuse treatment needs of an aging population. American Journal of Public Health, 45(2), 236-245. PMCID: PMC9162292
- 4. Hunt, M.C., Newlin, D.B. & Fishbein, D. (2009). Brain imaging in methamphetamine abusers across the life-span. Gerontology, 46(3), 122-145.
- Hunt, M.C. & Sher, K.A. (2009). Successful intervention models for older drug-abusers: Research across the life-span. American Psychologist, in press. NIHMSID: NIHMS99135

#### Additional recent publications of importance to the field (in chronological order)

- 1. Gryczynski, J., Shaft, B.M., Merryle, R., & Hunt, M.C. (2002). Community based participatory research with late-life addicts. American Journal of Alcohol and Drug Abuse, 15(3), 222-238.
- 2. Shaft, B.M., Hunt, M.C., Merryle, R., & Venturi, R. (2003). Policy implications of genetic transmission of alcohol and drug abuse in female nonusers. International Journal of Drug Policy, 30(5), 46-58.
- Hunt, M.C., Marks, A.E., Shaft, B.M., Merryle, R., & Jensen, J.L. (2004). Early-life family and community characteristics and late-life substance abuse. Journal of Applied Gerontology, 28(2),26-37.
- 4. Hunt, M.C., Merryle, R. & Jensen, J.L. (2005). The effect of social support networks on morbidity among elderly substance abusers. Journal of the American Geriatrics Society, 57(4), 15-23.
- 5. Hunt, M.C., Pour, B., Marks, A.E., Merryle, R. & Jensen, J.L. (2005). Aging out of methadone treatment. American Journal of Alcohol and Drug Abuse, 15(6), 134-149.
- Hunt, M.C., Marks, A.E., Venturi, R., Crenshaw, W. & Ratonian, A. (2007). Community-based intervention strategies for reducing alcohol and drug abuse in the elderly. Addiction, 104(9), 1436-1606. PMCID: PMC9000292
- Merryle, R. & Hunt, M.C. (2007). Randomized clinical trial of cotinine in older nicotine addicts. Age and Ageing, 38(2), 9-23. PMCID: PMC9002364
- Hunt, M.C., Jensen, J.L. & Merryle, R. (2008). The aging addict: ethnographic profiles of the elderly drug user. NY, NY: W. W. Norton & Company.
- 9. Hunt, M.C. (2009). Contrasting ethnicity with race in the older alcoholic. The Journals of Gerontology Series B: Psychological Sciences and Social Sciences, in press. PMCID: PMC Journal In Process.
- Hunt, M.C. (2009). Intervening successfully with the older methadone patient. Journal of Applied Gerontology, 13(4), 67-79.

SECTION D. MUST BE INCLUDED EVEN IF YOU HAVE NO ONGOING OR COMPLETED SUPPORT (LIST NONE). PLEASE USE THE HEADING STRUCTURE PROVIDED & ENSURE THAT GRANT NUMBERS ARE LISTED IN THE CORRECT FORMAT & INCLUDE THE CORRECT YEAR EXTENSION (e.g., R01 DA942367-03).

Ctrl+Click to follow link

#### BIOSKETCH PROMPTS IN SAMPLE WORD DOCUMENT

DO NOT INCLUDE ANY COMPLETED GRANTS IN THE ONGOING RESEARCH SUPPORT SECTION. PLEASE DO NOT FORGET TO INCLUDE A SENTENCE DESCRIBING THE MAJOR GOALS OF THE STUDY.).

Ctrl+Click to follow link

#### <u> → D. Research Support</u>

#### **Ongoing Research Support**

R01 DA942367-03 Hunt (PI) 09/01/08-08/31/13

Health trajectories and behavioral interventions among older substance abusers

The goal of this study is to compare the effects of two substance abuse interventions on health outcomes in an urban population of older opiate addicts.

Role: PI

R01 MH922731-05 Merryle (PI) 12/15/07-11/30/12

Physical disability, depression and substance abuse in the elderly

The goal of this study is to identify disability and depression trajectories and demographic factors associated with substance abuse in an independently-living elderly population.

Role: Co-Investigator

Faculty Resources Grant, Washington University 08/15/09-08/14/11

Opiate Addiction Database

The goal of this project is to create an integrated database of demographic, social and biomedical information for homeless opiate abusers in two urban Missouri locations, using a number of state and local data sources.

#### **Completed Research Support**

K02 AG442898 Hunt (PI) 02/01/02-01/31/05

Drug Abuse in the Elderly

Independent Scientist Award: to develop a drug addiction research program with a focus on substance abuse among the elderly.

Role: PI

R21 AA998075 Hunt (PI) 01/01/02-12/31/04

Community-based intervention for alcohol abuse

The goal of this project was to assess a community-based strategy for reducing alcohol abuse among older individuals.

Role: PI

PLEASE DO NOT FORGET TO INCLUDE A SENTENCE DESCRIBING THE MAJOR GOALS OF THE STUDY.

Ctrl+Click to follow link

Notice to Emphasize the Requirement for Additional Educational Information under PA-14-150 "Ruth L. Kirschstein National Research Service Award (NSRA) Individual Predoctoral MD/PhD or Other Dual-Doctoral Degree Fellowship (F30)"

**Notice Number:** 

NOT-OD-14-133

**Key Dates** 

Release Date: September 24, 2014

Related Announcements

PA-14-150, NOT-OD-14-090

Issued by

National Institutes of Health (NIH)

#### **Purpose**

This Notice serves to emphasize instructions provided in Section IV.2 Content and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-150. Specifically,

- The information specified below must be provided in a document with the file name "Additional Educational Information"
- This document must be attached to the Other Project Information form under the Other Attachments section.
- All applications lacking this attachment will be considered incomplete and will not proceed to review.

#### Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form

#### Other Attachments

The following additional educational information is required and must be attached under Other Attachments:

- Describe the institution's dual-degree program in which the applicant is enrolled. Provide some background on the dual-degree program including general program outcomes, such as the completion rate and the percentage of program graduates who are in academic research positions post-training. Describe the structure of the dual-degree program, and required milestones and their usual timing (e.g., number of courses, any teaching and clinical requirements, and qualifying exams). The sequencing of the applicant's graduate research and medical (or other health professional) school years should also be described. Describe the progress and status of the F30 applicant in relation to the program's timeline, indicating when the applicant matriculated into the program and when the applicant is likely to transition to clinical years of the dual-degree program. Describe any clinical tutorials during the graduate research years and any activities to ease transition from the graduate to the clinical years of the dual-degree program. Describe any research-associated activities during the clinical years of the dual-degree program. Describe the frequency and method by which the program formally monitors and evaluates a student's progress. This information is typically provided by the director of the dual-degree program or the department chair. The name of the individual providing the information should be included at the end of the attachment.
- Provide justification for the duration of support requested in terms of the research and clinical training proposed. Include the number of
  anticipated months of research training and of clinical training.
- Note that scores for standardized exams (e.g., MCAT, GRE) as well as a listing of the applicant's courses and grades must be included in the Fellowship Applicant Biographical Sketch, and NOT in this attachment.
- Please name this attachment "Additional Educational Information."

The filename provided for each "Other Attachment" will be the name used for the bookmark in the electronic application in eRA Commons.

#### **Inquiries**

Please direct all inquiries to:

Henry Khachaturian, Ph.D. Acting NIH Research Training Officer NIH Office of Extramural Programs Telephone: 301-443-2613 Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement
NIH Funding Opportunities and Notices

Notice to Emphasize the Requirement for Additional Educational Information under PA-14-147 "Ruth L. Kirschstein National Research Service Award (NRSA) Individual Predoctoral Fellowship (Parent F31)"

#### **Notice Number:**

NOT-OD-14-134

**Key Dates** 

Release Date: September 24, 2014

**Related Announcements** 

PA-14-147, NOT-OD-14-094

Issued by

National Institutes of Health (NIH)

#### **Purpose**

This Notice serves to emphasize instructions provided in Section IV.2 Content and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-147. Specifically,

- The information specified below must be provided in a document with the file name "Additional Educational Information"
- This document must be attached to the Other Project Information form under the **Other Attachments** section.
- All applications lacking this attachment will be considered incomplete and will not proceed to review.

#### Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form

#### Other Attachments

The following additional educational information is **required** and must be attached under Other Attachments:

- Describe the graduate program in which the applicant is enrolled, e.g. the structure of the program, required milestones and their usual timing (number of courses, any teaching commitments, qualifying exams, etc.), and the average time to degree over the past 10 years. Describe the progress/status of the F31 applicant in relation to the program's time line. Describe the frequency and method by which the program formally monitors and evaluates a student's progress. This information is typically provided by the director of the graduate program or the department chair. Include the name of the individual providing this information at the end of the description.
- Note that scores for standardized exams (e.g., MCAT, GRE) as well as a listing of the applicant's courses and grades must be included in the Fellowship Applicant Biographical Sketch, and NOT in this attachment.
- Please name this attachment "Additional Educational Information."

The filename provided for each "Other Attachment" will be the name used for the bookmark in the electronic application in eRA Commons.

### **Inquiries**

Please direct all inquiries to:

Henry Khachaturian, Ph.D. Acting NIH Research Training Officer NIH Office of Extramural Programs Telephone: 301-443-2613

Telephone: 301-443-2613 Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement
NIH Funding Opportunities and Notices

Notice to Emphasize the Requirement for Additional Educational Information under PA-14-148 "Ruth L. Kirschstein National Research Service Award Individual Predoctoral Fellowship to Promote Diversity in Health-Related Research (Parent F31 - Diversity)"

**Notice Number:** 

NOT-OD-14-135

**Key Dates** 

Release Date: September 24, 2014

**Related Announcements** 

PA-14-148, NOT-OD-14-095

Issued by

National Institutes of Health (NIH)

#### **Purpose**

This Notice serves to emphasize instructions provided in Section IV.2 Content and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-148. Specifically,

- The information specified below must be provided in a document with the file name "Additional Educational Information"
- This document must be attached to the Other Project Information form under the Other Attachments section.
- All applications lacking this attachment will be considered incomplete and will not proceed to review.

#### Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form

#### Other Attachments

The following additional educational information is required and must be attached under Other Attachments:

- Describe the graduate or dual-degree program in which the applicant is enrolled, e.g. the structure of the program, required milestones and their usual timing (e.g., number of courses, any teaching and clinical requirements, and qualifying exams), and the average time to degree over the past 10 years. For dual-degree applicants, the sequencing of the applicant's graduate and medical (or other health professional) school years should also be described. Describe the progress/status of the applicant in relation to the program's time line, indicating when the applicant matriculated into the program and, if applicable, when the applicant is likely to transition to clinical years of the dual-degree program. Describe the frequency and method by which the program formally monitors and evaluates a student's progress. This information is typically provided by the director of the graduate program or the department chair. The name of the individual providing the information should be included at the end of the attachment.
- Note that scores for standardized exams (e.g., MCAT, GRE) as well as a listing of the applicant's courses and grades must be included in the Fellowship Applicant Biographical Sketch, and NOT in this attachment.
- Please name this attachment "Additional Educational Information."

#### A certification letter is required and must be attached under Other Attachments:

- Applicants are required to attach a letter from the institution certifying eligibility of the Fellowship applicant for this program. The certification
  letter must be on institutional letterhead and scanned so that an institutional official signature is visible. See instructions in the SF424 (R&R)
  Individual Fellowship Application Guide.
- Please name this attachment "Certification Letter."

The filename provided for each "Other Attachment" will be the name used for the bookmark in the electronic application in eRA Commons.

#### **Inquiries**

Please direct all inquiries to:

Henry Khachaturian, Ph.D. Acting NIH Research Training Officer NIH Office of Extramural Programs Telephone: 301-443-2613 Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement NIH Funding Opportunities and Notices Notice to Discontinue the Requirement for Additional Educational Information under PA-14-149 "Ruth L. Kirschstein National Research Service Award (NRSA) Individual Postdoctoral Fellowship (Parent F32)"

#### **Notice Number:**

NOT-OD-14-137

**Key Dates** 

Release Date: September 24, 2014

**Related Announcements** 

PA-14-149, NOT-OD-14-096

Issued by

National Institutes of Health (NIH)

### **Purpose**

This Notice serves to discontinue the requirement for Additional Educational Information provided in *Section IV.2 Content* and Form of Application Submission, Other Project Information Form, Other Attachments of PA-14-149.

#### Part 2. Section IV.2. Content and Form of Application Submission Other Project Information Form

#### **Other Attachments**

The following additional educational information is no longer required:

• A description of the resourcesxavailable to the applicant including the availability of such resources as might be associated with an Office of Postdoctoral Affairs.

However, applicants are encouraged to provide any information on resources that are available at Office of Postdoctoral Affairs (or the equivalent) under *the Other Project Information* Form, *Facilities & Other Resources* attachment.

### **Inquiries**

Please direct all inquiries to:

Henry Khachaturian, Ph.D. Acting NIH Research Training Officer NIH Office of Extramural Programs Telephone: 301-443-2613

Email: NIHTrain@mail.nih.gov

Weekly TOC for this Announcement NIH Funding Opportunities and Notices

#### COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1043167352A1

DATE:07/30/2014

ORGANIZATION:

FILING REF.: The preceding

University of Massachusetts Medical

agreement was dated

School

05/21/2014

55 Lake Avenue North Worcester, MA 01655-

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

#### SECTION I: Facilities And Administrative Cost Rates

RATE TYPES:

FIXED

FINAL

PROV. (PROVISIONAL)

PRED. (PREDETERMINED)

#### EFFECTIVE PERIOD

TYPE	FROM	TO	RATE(%) LOCATION	APPLICABLE TO
PRED.	07/01/2014	06/30/2019	67.50 On-Campus	Research
PRED.	07/01/2014	06/30/2019	26.00 Off-Campus	Research
PRED.	07/01/2014	06/30/2019	68.00 On-Campus	Res.DOD Cont.
PRED.	07/01/2014	06/30/2019	27.80 Off-Campus	Res.DOD Cont.
PRED.	07/01/2014	06/30/2019	18.25 All Locations	OSA-CM(SR#5)
PRED.	07/01/2014	06/30/2019	36.00 On-Campus	Other Spon Act
PRED.	07/01/2014	06/30/2019	26.00 Off-Campus	Other Spon Act
PROV.	07/01/2019	Until Amended		Use same rates and conditions as those cited for fiscal year ending June 30, 2019.

ORGANIZATION: University of Massachusetts Medical School

AGREEMENT DATE: 7/30/2014

#### \*BASE

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

ORGANIZATION: University of Massachusetts Medical School

AGREEMENT DATE: 7/30/2014

#### SECTION II: SPECIAL REMARKS

#### TREATMENT OF FRINGE BENEFITS:

Fringe benefits applicable to direct salaries and wages are treated as direct costs.

#### TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

1. The following rate applies to research effort performed at the Massachusetts Biologics Laboratory (MBL):

TYPE	FROM	• TO	RATE
PRED.	07/01/14	06/30/19	26.0%
PROV.	07/01/19	Until Amended	Use the rate cited for
			the period ending 6/30/19

The 26% rate noted above applies to the administrative costs of research at MBL. The facilities costs are directly charged for the space used by each project.

2. The rates in this Agreement have been negotiated to reflect the administrative cap provisions of the revisions to OMB Circular A-21 published by the Office of Management and Budget on May 8, 1996. No rate affecting the institution's fiscal periods beginning on or after October 1, 1991 contains total administrative cost components in excess of that 26 percent cap.

ORGANIZATION: University of Massachusetts Medical School

AGREEMENT DATE: 7/30/2014

3. Fringe benefits are claimed using approved rates contained in the Massachusetts State-Wide Cost Allocation Plan. The following additional fixed fringe benefit charges are approved for the University:

	FYE	FYE
	6/30/14	6/30/15
Workers' Compensation Insurance	.56% (S&W)	.24% (S&W)
Medicare	(1)	(1)
Health and Welfare	.92% (S&W)	.95% (S&W)
Unemployment	(1)	(1)

- (1) Beginning for Fiscal Year 2008 the State negotiated rate incorporates Unemployment Insurance and Medicare in the Federally negotiated State "6B" rate.
- 4. Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year, and an acquisition cost of \$5,000 or more per unit.
- 5. Commonwealth Medicine is the public, non-profit consulting and service organization founded by the University of Massachusetts Medical School. The Other Sponsored Acivities Commonwealth Medicine (OSA-CM) base consists of the direct costs of public service programs that have evolved through partnerships with State agencies.

This separate OSA-CM rate receives an allocation of applicable general and administrative and information services costs only. Departmental administration, sponsored projects administration and facilities costs are not applicable to these programs.

ORGANIZATION: University of Massachusetts Medical School AGREEMENT DATE: 7/30/2014 SECTION III: GENERAL A. LIMITATIONS. The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates in subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inscourate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal dovernment. B. ACCOUNTEING CHANGES. This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the mothod of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Yailure to obtain approval may result in cost disallowences. G. FIXED RATES If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period deverad by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs. D. USE BY OTHER PEDERAL AGENCIES: The rates in this Agraement were approved in accordance with the authority in Office of Management and Budget Circular A-21, and should be applied to grants, dostracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement. If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(a) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(a) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs. OH BEHALF OF THE FEDERAL GOVERNMENT: BY THE INSTITUTION: University of Hassachusetts Hadical School DEPARTMENT OF HEALTH AND HUMAN SERVICES (AGRNCY) (INEPTITION) (BIGNATURE) Darryl W. Mayes (HAHB) Deputy Director, Cost Allocation Services

HHS REPRESENTATIVE:

Louis Martillotti

Telaphone:

(TITLE)

7/30/2014

(DATE) 0577

(212) 264-2069

(DATE)

### ACRONYMS AND TERMS USED TODAY RFS BROWN BAG - 09/29/2014

ACRONYM/TERM	DESCRIPTION
AHRQ	Agency for Health Care Research & Quality
Biosketch (NIH)	Standardized biographical sketch form used by NIH to capture senior/key personnel and other significant contributors in the proposal. Captures Name, eRA Commons ID, Education/Training, Personal Statement, Positions/Honors, Selected Publications and Research Support
Browser	A program with a graphical user interface for displaying HTML files used to navigate web. Examples include Internet Explorer, Firefox, Chrome and Opera.
Cayuse 424	Cayuse is a web-based system for submission of applications via grants.gov.
Cayuse Professional Profile	Repository of personnel information for Cayuse users, PIs and key personnel. User choose name from Professional Profile listing and personnel data, such as department, title, role and contact information, autopopulates in the proposal under development.
Commons User ID	The Commons User ID is your logon to the eRA Commons system. If you do not have a Commons login, you can request one from RFS.
Circular A-21	Cost Principles for Educational Institutions
Circular A-110	Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations
Circular A-133	Audits of States, Local Governments, and Non-Profit Organizations
eRA Commons	The eRA Commons is NIH's online interface where signing officials, principal investigators, trainees and post-docs at institutions/organizations can access and share administrative information relating to research grants and process prior approval requests.
F&A F&A Rate Agreement	F&A stands for Facilities and Administrative Costs. Also referred to as indirect costs and overhead. The F&A Rate Agreement is the federally negotiated rate agreement in place with government that allows us to charge the prescribed rates to the government.
F31/Kirchstein NRSA	The F31 activity type is Ruth L. Kirschstein National Research Service Award (NRSA) Individual Predoctoral Fellowship.
F32/Kirchstein NRSA	The F32 activity type is Ruth L. Kirschstein National Research Service Award (NRSA) Individual Postdoctoral Fellowship.
Individual Development Plan (IDP)	An Individual Development Plan is a set of goals for the next 6-12 months that includes: research project goals, skill development goals and career advancement goals.
My Bibliography (Pub Med)	My Bibliography is a reference tool that helps save your citations (journal articles, books/chapters, patents, presentations and meetings) directly from PubMed or, if not found there, to manually enter citations using My Bibliography templates. My Bibliography provides a centralized place where citations are easily accessed, exported as a file, and made public to share with others.
MyNCBI	Electronic publication resource that NIH has mandated be used to demonstrate compliance with the NIH Public Access Policy. My NCBI publications report resources are available at: http://www.ncbi.nlm.nih.gov/books/NBK53595/
NCBI	The National Center for Biotechnology Information (NCBI) is part of the United States National Library of Medicine (NLM), a branch of the National Institutes of Health.
NIH	National Institutes of Health
MTDC	The Modified Total Direct Costs base is used to calculate indirect costs. The base excludes items such as: equipment, student tuition, research patient care costs, rent, and sub-recipient charges (after the first \$25,000).
PMCID	
	The PMCID is a unique reference number or identifier that is assigned to every article that is accepted into Pub Med Central. The PMCID is also used by recipients of NIH funding to demonstrate compliance with the NIH Public Access policy. The PMCID can be found in both Pub Med Central and PubMed.
Pub Med Central	PubMed Central (PMC) is a free archive of biomedical and life sciences journal literature at the U.S. National Institutes of Health's National Library of Medicine (NIH/NLM). In keeping with NLM's legislative mandate to collect and preserve the biomedical literature, PMC serves as a digital counterpart to NLM's extensive print journal collection. Launched in February 2000, PMC was developed and is managed by NLM's National Center for Biotechnology Information (NCBI).
RFS	Research Funding Services
RPPR	Research Performance Progress Report. Progress reports are required annually to document grantee accomplishments and compliance with terms of award. They describe scientific progress, identify significant changes, report on personnel, and describe plans for the subsequent budget period or year. See http://grants.nih.gov/grants/rppr/

### ACRONYMS AND TERMS USED TODAY RFS BROWN BAG - 09/29/2014

ACRONYM/TERM	DESCRIPTION
SNAP	Streamlined Non-Competing Award Process. Streamlined process that includes a number of provisions that
	modify annual progress reports, NoAs, and financial reports. Funds are automatically carried over and are
	available for expenditure during the entire project period. All NIH award notices identify whether the grant is
	subject to or excluded from SNAP.
Type 5 Application	A type 5 application is a non-competing continuation. A non-competing continuation is a financial assistance
	request (in the form of an application or progress report) or resulting award for a subsequent budget period
	within a previously approved project period for which a recipient does not have to compete with other
	applicants.
Uniform Guidance	Refers to the new OMB guidance on administrative requirements, cost principles and audit requirements for
	federal awards (which includes research grant awards) that will come into effect December 26, 2014. This
	guidance consolidates OMB Circulars A-21, A-87, A-110 and A-122 (which have been placed in 2 C.F.R. Parts
	220, 225, 215 and 230); Circulars A-89, A-102 and A-133; and the guidance in Circular A-50 on Single Audit Act
	follow-up.