

# **CURRICULUM VITAE**

## **PERSONAL INFORMATION**

Name: Kenneth L. Appelbaum, M.D.

Address: University of Massachusetts Medical School  
333 South St., 13E779  
Shrewsbury, MA 01545

Email: Kenneth.Appelbaum@umassmed.edu

Birth Date: March 18, 1951

## **EDUCATION**

1972 BA Goddard College

1975-1977 Pre-medical studies  
University of Washington

1981 MD University of Washington

## **POSTDOCTORAL TRAINING**

1981-1982 Resident in Family Medicine  
Maine-Dartmouth Family Practice Residency  
Augusta, ME

1983-1986 Resident in Psychiatry  
University of Vermont  
Department of Psychiatry  
Burlington, VT

1986-1987 Fellow in Forensic Psychiatry  
Yale University  
Department of Psychiatry  
New Haven, CT

## **BOARD CERTIFICATION AND CURRENT LICENSURE**

1982	Diplomate, National Board of Medical Examiners
1987	Massachusetts License #58536
1988	Diplomate in Psychiatry, American Board of Psychiatry and Neurology Certificate #30481
1991	Diplomate, American Board of Forensic Psychiatry, Inc., Certificate #239
1994 – 2014	Certification in the Subspecialty of Forensic Psychiatry, American Board of Psychiatry and Neurology, Certificate #17 (recertified 2002 – 2014)

## **APPOINTMENTS AND POSITIONS**

1985-1986	Consultant Psychiatrist, Northeast Kingdom Mental Health Service, Newport, VT
1987-	University of Massachusetts Medical School: Professor of Clinical Psychiatry, 11/1/02-present; Director, Correctional Mental Health Policy and Research, 1/07-present; [Assistant Professor of Psychiatry, 10/87-5/1/96; Associate Professor of Clinical Psychiatry, 5/1/96-10/31/02; Co-Director, Law and Psychiatry Program, 6/92-6/99; Director, Forensic Psychiatry Fellowship Program, 7/93-11/98 Director, Correctional Mental Health Program, 7/98-1/07]
1987-1998	Director, Forensic Service, Worcester State Hospital, Worcester, MA
1988-	Forensic Mental Health Supervisor for the Division of Forensic Mental Health, Massachusetts Department of Mental Health: Responsibilities include supervision and training of psychiatrists and doctoral level psychologists seeking designation to conduct forensic mental health evaluations for the Commonwealth of Massachusetts.
1992-	Forensic Mental Health Psychiatrist for the Division of Forensic Mental Health, Massachusetts Department of Mental Health

- 1998 - 2007      Director, Mental Health Program, UMass Correctional Health, University of Massachusetts Medical School;  
Director for the UMMS mental health program in Massachusetts Department of Correction (DOC) facilities: Responsible for mental health program and services provided to all inmates in Massachusetts DOC facilities, including Bridgewater State Hospital
- 2007 -            Director, Correctional Mental Health Policy and Research, Center for Health Policy and Research, Commonwealth Medicine, University of Massachusetts Medical School

### **AWARDS AND HONORS**

- 1996              The Best Doctors in America: Northeast Region, First Edition
- 1998              Fellow, American Psychiatric Association
- 1998 - 2010      The Best Doctors in America: Fourth Edition  
The Best Doctors in America:  
                      2001-2002,  
                      2003-2004,  
                      2005-2006,  
                      2007-2008  
                      2009-2010
- 2000 - 2007      Who's Who in Medicine and Healthcare: 3<sup>rd</sup> Edition; 6<sup>th</sup> Edition
- 2003              Distinguished Fellow, American Psychiatric Association
- 2005              Red AAPL Outstanding Service Award, American Academy of Psychiatry and the Law
- 2005              President's Award for Public Service, University of Massachusetts
- 2012              2012 Outstanding Psychiatrist for Public Sector, Massachusetts Psychiatric Society
- 2012              Golden Apple Award "in recognition of AAPL members who have made significant contributions to the field of forensic psychiatric," American Academy of Psychiatry and the Law

## **MEMBERSHIPS**

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| 1986- | American Psychiatric Association           |
| 1986- | American Academy of Psychiatry and the Law |
| 1987- | Massachusetts Psychiatric Society          |

## **EDITORIAL ASSIGNMENTS**

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|-----------|--|
| 1997-2004 | Deputy Editor, Journal of the American Academy of Psychiatry and the Law               |
| 2004-     | Reviewer and Special Editor, Journal of the American Academy of Psychiatry and the Law |
| 2004-2006 | Reviewer, Journal of the American Academy of Dermatology                               |
| 2007      | Reviewer, Behavioral Sciences and the Law  |
| 2007-     | Reviewer, American Journal of Psychiatry   |
| 2007-     | Reviewer, Psychiatric Services   |
| 2008-     | Reviewer, Bioethics  |
| 2009-     | Reviewer, Journal of Criminal Justice  |
| 2011-     | Reviewer, Intellectual and Developmental Disabilities                                  |
| 2011-     | Reviewer, International Journal of Forensic Mental Health                              |
| 2012-     | Reviewer, International Journal of Law and Psychiatry                                  |

## **COMMITTEE ASSIGNMENTS**

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|-----------|--|
| 1988-1989 | Qualified Forensic Psychiatrist Regulation Writing Subcommittee (drafting regulations regarding qualifications of psychiatrists who conduct forensic evaluations for the Commonwealth of Massachusetts), Massachusetts Department of Mental Health |
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1988-1993	Task Force on HIV Disease American Academy of Psychiatry and the Law
1989-1996	Committee on Psychiatry and Law Massachusetts Psychiatric Society
1989-1995	Ethics Committee (Chairperson 1989-1993) Worcester State Hospital
1990-1995	Designated Forensic Professional Committee (responsible for developing and implementing a program for training and designating psychiatrists and psychologists to conduct forensic mental health evaluations for the Commonwealth of Massachusetts), Division of Forensic Mental Health, Massachusetts Department of Mental Health
1991-1995	Chairperson (since 6/92), Professional Staff Organization Executive Committee, Worcester State Hospital
1991-1992	Task Force on Substance Abuse American Academy of Psychiatry and the Law
1991-1992	Psychiatry Executive Committee Worcester State Hospital
1991-1992	Psychiatry Quality Assurance Committee Worcester State Hospital
1991-1993	Chairperson, Treatment Issues Committee Worcester State Hospital
1991-1994	Ethics Committee American Academy of Psychiatry and the Law
1992-1993	Quality Assurance Committee Worcester State Hospital
1992-1998	Task Force on Developmental Disabilities American Academy of Psychiatry and the Law
1993-1996	Conflicts of Interest and Faculty Ethics Committee University of Massachusetts Medical Center
1993-1999	Liaison with Forensic Psychology Committee American Academy of Psychiatry and the Law

1994-1999	Treatment Issues Committee University of Massachusetts Medical Center
1995-1999	Chairperson, Human Rights Advisory Committee, Community Healthlink (provider of residential and outpatient health care, mental health and substance abuse services to 10,000 patients in Central Massachusetts)
1995-1998	Quality Improvement Committee, Division of Forensic Mental Health, Massachusetts Department of Mental Health
1995-1996	Massachusetts Attorney General's Task Force on Guardianship
1997- 2000	Nominating Committee, American Academy of Psychiatry and the Law
1997- 2004	Editorial Board, Journal of the American Academy of Psychiatry and the Law
1997-2000 2001-2005 2007-2008	Executive Council, American Academy of Psychiatry and the Law
2000-present	By-Laws Committee, American Academy of Psychiatry and the Law
2001-2005	Chairperson, Budget Committee, American Academy of Psychiatry and the Law
2002-2005	Isaac Ray Award Committee, American Psychiatric Association
2002-	Legal Advisory Committee Massachusetts Psychiatric Society
2003-	Research Steering Committee UMass Correctional Health Program
2007-	Clinical Affairs Committee (leadership and advisory forum for CWM) Commonwealth Medicine, University of Massachusetts Medical School

## ADDITIONAL SERVICE AND ELECTED OFFICE

1992-1995	President, Professional Staff Organization, Worcester State Hospital
March, 1993	Conference Organizer and Co-Chair of Program Committee for Massachusetts Psychiatric Society's Spring Scientific Program on "Violence: Etiology, Assessment and Management"
1993-1999	Congressional liaison for the Third Massachusetts Congressional District for the Massachusetts Psychiatric Society and the American Psychiatric Association
1995-1996	1996 Program Chair, 27 <sup>th</sup> Annual Meeting of the American Academy of Psychiatry and the Law, San Juan, Puerto Rico
1995-1998	Experts Panel, Benchbook for State Courts on Psychiatric and Psychological Evidence and Testimony, American Bar Association Commission on Mental and Physical Disability Law
1996-1997	Massachusetts Prison Health Services Review Team: psychiatrist member of three person team appointed by the Massachusetts Department of Mental Health, pursuant to statute (Chapter 127, section 39), to supervise medical and psychiatric examinations and treatment of inmates housed in Massachusetts Department of Corrections segregation units.
1996-1997	Specialist Site Visitor in Forensic Psychiatry, Accreditation Council for Graduate Medical Education
1997-2000	Councilor, American Academy of Psychiatry and the Law
1999-2001	Group for the Advancement of Psychiatry (GAP): Committee on Psychiatry and Law
2000-2005	American Board of Psychiatry and Neurology (ABPN): Committee on Subspecialty Certification in Forensic Psychiatry (includes writing and revising questions for the Forensic Psychiatry Board Examination)
2001-2005	Treasurer, American Academy of Psychiatry and the Law
2007-2008	Vice-President, American Academy of Psychiatry and the Law

### SELECTED SPECIAL CONSULTATIONS

- 1987      Commission on Security and Cooperation in Europe, U.S.Congress: Review of The Psychiatric Examination in the Miroslav Medvid Incident and Suggested Guidelines for Psychiatric Evaluations of Aliens Whose Departure May Not Be "Voluntary"; Advisory Staff
- 1997      Appelbaum KL, Dvoskin J, Geller J (Chairperson), Grisso T: Report on the Psychiatric Management of John Salvi in Massachusetts Department of Correction Facilities 1995-1996. Submitted to the Massachusetts Department of Correction
- 1997      Appelbaum KL, Dvoskin J, Grisso T: Review of the Forensic Mental Health Evaluation Process for the State of Maine. Submitted to the Maine Department of Mental Health
- 2006      Institute of Medicine, National Academy of Sciences: Ethical Considerations of Revisions to DHHS Regulations on Protection of Prisoners Involved in Research; External Reviewer
- 2008      Connecticut Department of Correction (CDOC): neutral expert retained to arbitrate a claim of non-compliance with a Settlement Agreement between the CDOC and the State of Connecticut Office of Protection and Advocacy (OPA) regarding mental health services and segregation management for inmates with mental disorders.
- 2009      New Jersey Department of Correction: expert retained as part of ongoing state settlement agreement to assist NJDOC in development of a plan to meet the needs of female inmates with mental disorders who also have substance abuse problems, cognitive impairments, and/or behavioral problems and to meet the need for additional services for female inmates with mental disorders who are housed in maximum security units.
- 2011      Massachusetts Department of Mental Health: Co-chair of Task Force on Staff and Client Safety in the Community established in response to the homicide Stephanie Moulton, a counselor in a DMH group home. The Task Force was charged with assessing all current policies and practices relative to safety in the DMH community system and to develop mechanisms for improvement.
- 2011      Appelbaum KL, Dvoskin J: Consultation Report on the Clifton T. Perkins Hospital Center. Review submitted to the Maryland Department of Health and Mental Hygiene on care, treatment, and safety at Maryland's secure forensic psychiatric facility, Clifton T. Perkins Hospital Center, in response to recent patient-on-patient homicides and other adverse events.



- 2011(ongoing) U.S. Department of Homeland Security, Office for Civil Rights and Civil Liberties: consultant for reviews of mental health services for detainees at U.S. Immigration and Customs Enforcement detention centers.
- 2012 Consultation Report: Maryland's Spring Grove and Springfield Hospital Centers and Forensic Mental Health Service Delivery System. Submitted to the Maryland Department of Health and Mental Hygiene.
- 2013 Consultation Report On Rawson-Neal Psychiatric Hospital. Submitted to Nevada Governor Brian Sandoval, Nevada Department of Health and Human Services, and the National Association of State Mental Health Program Directors.

## **PUBLICATIONS**

### **Book Chapters**

1. **Appelbaum KL**, Appelbaum PS: The HIV positive patient, in Beck JC (ed.), Confidentiality vs. Duty to Protect: Risk of Foreseeable Harm in the Practice of Psychiatry\*, American Psychiatric Press, Washington, DC, 1990  
(\* Winner of the 1992 Manfred S. Guttmacher Award, granted by the American Psychiatric Association and the American Academy of Psychiatry and the Law for outstanding contributions to forensic psychiatric literature)
2. **Appelbaum KL**: Legal issues in treating the alcoholic patient in the emergency department, in Fassler D (ed.), The Alcoholic Patient: Emergency Medical Intervention, New York, Gardner Press, 1992
3. **Appelbaum KL**, Appelbaum PS: Forensic psychiatry, in Goldman H (ed.), Review of General Psychiatry, Fourth edition, Appleton and Lang, 1995
4. **Appelbaum KL**, Appelbaum PS: Prosecution as a response to violence by psychiatric patients, in Eichelman BS, Hartwig AC (eds.), Patient Violence and the Clinician, American Psychiatric Press, Washington, DC, 1995
5. Zaitchik MC, **Appelbaum KL**: Legal issues: Competency, Criminality, and care, in Soreff S (ed.), Handbook for the Treatment of the Seriously Mentally Ill [pp. 447-460], Hogrefe and Huber, Seattle, 1996
6. **Appelbaum KL**, Appelbaum PS: Forensic psychiatry, in Goldman H (ed.), Review of General Psychiatry [pp. 530-539], Fifth edition, Lange Medical Books/McGraw Hill, New York, 2000

7. **Appelbaum KL:** Practicing psychiatry in a correctional culture, in Scott C (ed.), *Clinical Handbook of Correctional Psychiatry* [pp. 21-41], American Psychiatric Press, Washington, DC, 2005
8. Newman AW, **Appelbaum KL:** Stalking: perspective on victims and management, in Pinals D (ed.), *Stalking: Psychiatric Perspectives and Practical Approaches* [pp. 107-138], Oxford University Press, Inc., Oxford, NY, 2007
9. **Appelbaum KL:** The mental health professional in a correctional culture, in Scott C (ed.), *Handbook of Correctional Mental Health* [pp. 91-117], Second edition, American Psychiatric Press, Washington, DC, 2010
10. **Appelbaum KL:** Self-Injury in Correctional Settings, in Walsh B (ed.), *Treating Self-Injury: A Practical Guide*, Second edition [pp. 325-334], Guilford Press, New York, 2012

#### **Peer Reviewed Journal Articles**

1. **Appelbaum KL:** Criminal defendants who desire punishment. *Bulletin of the American Academy of Psychiatry and the Law* 18:385-391, 1990
2. **Appelbaum KL, Appelbaum PS:** A model hospital policy on prosecuting patients for presumptively criminal acts. *Hospital and Community Psychiatry* 42:1233-1237, 1991
3. Fein RA, **Appelbaum KL**, Barnum R, et al.: The Designated Forensic Professional Program: a state government-university partnership to improve forensic mental health services. *Journal of Mental Health Administration* 18:223-230, 1991
4. **Appelbaum KL**, Fisher WH, Nestelbaum Z, Bateman A: Are pretrial commitments for forensic evaluation used to control nuisance behavior? *Hospital and Community Psychiatry* 43:603-607, 1992
5. **Appelbaum KL**, Bazemore PH, Tonkonogi J, Ananth R, Shull S: Privilege and discharge decisions for psychiatric inpatients with dysphagia. *Hospital and Community Psychiatry* 43:1023-1025, 1992
6. **Appelbaum KL:** Assessment of criminal justice related competencies in defendants with mental retardation. *Journal of Psychiatry and Law* 22:311-327, 1994
7. **Appelbaum KL, Appelbaum PS:** Criminal justice-related competencies in defendants with mental retardation. *Journal of Psychiatry and Law* 22:483-503, 1994.

8. **Appelbaum KL**, Zaitchik MC: Mental health professionals play critical role in presentencing evaluations. *Mental and Physical Disability Law Reporter* 19:677-684, 1995
9. Borum R, **Appelbaum KL**: Epilepsy, aggression, and criminal responsibility. *Psychiatric Services* 47:762-763, 1996
10. **Appelbaum KL**, Fisher WH: Judges' assumptions about the appropriateness of civil and forensic commitment. *Psychiatric Services* 48:710-712, 1997
11. Wall BW, **Appelbaum KL**: Disabled doctors: the insurance industry seeks a second opinion. *Journal of the American Academy of Psychiatry and the Law*, 26:7-19, 1997
12. **Appelbaum KL**, Hickey JM, Packer I: The role of correctional officers in multidisciplinary correctional mental health care. *Psychiatric Services* 52:1343-1347, 2001
13. **Appelbaum KL**, Manning TD, Noonan JD: A university-state-private corporation partnership to provide correctional mental health services. *Psychiatric Services* 53:185-189, 2002
14. **Appelbaum KL**: Correctional mental health research: opportunities and barriers, *Journal of Correctional Health Care*, 14:269-277, 2008
15. **Appelbaum KL**: Assessment and treatment of correctional inmates with ADHD. *Am J of Psychiatry*, 165:1520-1524, 2008
16. **Appelbaum KL**: ADHD in prison: a treatment protocol. *Journal of the American Academy of Psychiatry and Law*, 37:45-49, 2009
17. **Appelbaum KL**, Savageau J, Trestman R, Metzner J, Baillargeon J: A National Survey of Self Injurious Behavior in American Prisons. *Psychiatric Services*, 62:285-290, 2011
18. **Appelbaum KL**: Stimulant use under a prison treatment protocol for Attention-Deficit/Hyperactivity disorder. *Journal of Correctional Health Care*, 17(3):218-225, 2011

### **Other Publications**

1. **Appelbaum KL**: Mental health assessments for the courts: review of Evaluating Competency, by Thomas Grisso, Ph.D. *Contemporary Psychiatry* 7:130-132, 1988

2. **Appelbaum KL**: Civil commitment: the role of the mental health professional. *Expert Opinion* 3:1,4-7, 1990
3. **Appelbaum KL**: Guardianship of John Roe: a judicial standard for competent decision-making. *American Academy of Psychiatry and the Law Newsletter* 17:42-43, 1992
4. **Appelbaum KL**: review of *Testifying in Court: A Guide for Mental Health Professionals*, by Richard A. Gardner, M.D. *Psychiatric Services* 48:409-410, 1997
5. **Appelbaum KL**: Legal digest. *Journal of the American Academy of Psychiatry and the Law* 25:409-420, 1997
6. Candilis PJ, **Appelbaum KL**: Anatomy of a prison commission. *Journal of the American Academy of Psychiatry and the Law* 25:401-407, 1997
7. **Appelbaum KL**: Legal digest. *Journal of the American Academy of Psychiatry and the Law* 25:613-624, 1997
8. Candilis PJ, **Appelbaum KL**: Physician-assisted suicide and the Supreme Court: the *Washington* and *Vacco* verdicts. *Journal of the American Academy of Psychiatry and the Law* 25:595-606, 1997
9. **Appelbaum KL**, Grudzinskas AJ: Legal digest. *Journal of the American Academy of Psychiatry and the Law* 26:131-149, 1998
10. **Appelbaum KL**, Grudzinskas AJ: Legal digest. *Journal of the American Academy of Psychiatry and the Law* 26:315-331, 1998
11. **Appelbaum KL**: Legal digest. *Journal of the American Academy of Psychiatry and the Law* 26:505-520, 1998
12. Grudzinskas AJ, **Appelbaum KL**: *General Electric Co. v. Joiner*: lighting up the post-*Daubert* landscape? *Journal of the American Academy of Psychiatry and the Law* 26:497-504, 1998
13. **Appelbaum, KL**: Legal Digest. *Journal of the American Academy of Psychiatry and the Law* 26:669-682, 1998
14. **Appelbaum, KL**: Legal Digest. *Journal of the American Academy of Psychiatry and the Law* 27:155-169, 1999
15. **Appelbaum, KL**: Legal Digest. *Journal of the American Academy of Psychiatry and the Law* 27:359-370, 1999

16. **Appelbaum, KL**: Legal Digest. Journal of the American Academy of Psychiatry and the Law 27:489-499, 1999
17. **Appelbaum KL**, Hickey JM, Packer I: Correctional officer involvement in mental health care of inmates. Correctional Mental Health Report :67, 74-75, 2002
18. **Appelbaum KL**: Political abuse of forensic psychiatry: an introduction to the Munro commentaries. Journal of the American Academy of Psychiatry and the Law 30:1, 95-96, 2002
19. **Appelbaum KL**: Commentary: the use of restraint and seclusion in correctional mental health, Journal of the American Academy of Psychiatry and Law 35:431-435, 2007
20. **Appelbaum KL**: Book Review: Correctional Psychiatry: Practice Guidelines and Strategies. Psychiatric Services 59:814, 2008
21. **Appelbaum KL**: Inmates with ADHD: a stimulating treatment proposal. CorrDocs 11:1, 10, 2008
22. **Appelbaum KL**: The art of forensic report writing. Journal of the American Academy of Psychiatry and Law 38:43-45, 2010
23. **Appelbaum KL**: Book Review: Psychiatry in Prisons: A Comprehensive Handbook, edited by Simon Wilson and Ian Cumming. Psychiatric Services, Accepted for publication
24. **Appelbaum KL**: Howard Zonana and the subspecialty maturation of forensic psychiatry. Journal of the American Academy of Psychiatry and Law, 38:559-569, 2010
25. Anumba NM, **Appelbaum KL**: Limitations on use of competence to stand trial evidence in penalty phase. Journal of the American Academy of Psychiatry and Law, in press

## **MAJOR PRESENTATIONS**

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|----------------|---|
| December, 1985 | "Malpractice in Psychiatry"<br>Psychiatry Grand Rounds, University of Vermont, Burlington, VT       |
| October, 1987  | "Ethics and AIDS" Poster session<br>Hospital and Community Psychiatry Annual Conference, Boston, MA |

- December, 1987 "Competence to Stand Trial"  
Psychiatry Grand Rounds, University of Massachusetts Medical School,  
Worcester, MA
- March, 1988 "Legal Standards of Competency to Stand Trial"  
June, 1988 and "Conducting the Criminal Responsibility Evaluation  
January, 1989 Forensic Training Conference, Commonwealth of Massachusetts,  
Division of Forensic Mental Health, Department of Mental Health
- April, 1988 "Criminal Responsibility Evaluations"  
Training Session for Middlesex County Assistant District Attorneys and  
Victim Witness Advocates, Commonwealth of Massachusetts
- September, 1988 "Clinical Indications for Antipsychotic Medications"  
Commonwealth of Massachusetts, Training Session for Probate Justices
- December, 1988 "AIDS: Confidentiality vs. Duty to Protect"  
Psychiatry Grand Rounds, University of Massachusetts Medical School,  
Worcester, MA
- December, 1988 "Informed Consent"  
Grand Rounds, Norwich State Hospital, Norwich, CT
- March, 1989 "Lifting the Veil: How Clinicians Conduct Court Ordered Forensic  
Evaluations" and "Evaluation vs. Treatment in Criminal Cases"  
Judicial Conference on Mental Health Issues,  
Commonwealth of Massachusetts, Division of Forensic Mental Health,  
Department of Mental Health
- May, 1989 "The HIV Positive Patient: Confidentiality and the Duty to Protect"  
Symposium presentation,  
American Psychiatric Association Annual Conference, San Francisco, CA
- October, 1989 "HIV and the Duty to Warn: Impediments to Successful Tort Liability  
Suits Against Physicians" Special education session,  
American Academy of Psychiatry and the Law 20<sup>th</sup> Annual Meeting  
Washington, D.C.
- November, 1989 "Prosecuting Patients for Assaults"  
Grand Rounds, Worcester State Hospital, Worcester, MA
- January, 1990 "Civil Commitment: Legal and Clinical Issues"  
Grand Rounds, Worcester State Hospital, Worcester, MA

February, 1990 May 1991	"Criminal Responsibility Evaluations: Legal Definitions" and "Conducting the Criminal Responsibility Evaluation" Forensic Training Conference, Commonwealth of Massachusetts, Division of Forensic Mental Health, Department of Mental Health
March, 1990	"Violence and Risk Management" Second Annual Conference on Violence, Bridgewater State Hospital, Bridgewater, MA
March, 1990	Conference Organizer and presenter ("Overview of Malpractice"), Conference on Risk Management for the Mental Health Professional, Sponsored by the Massachusetts Statewide AHEC Program and the Department of Psychiatry, University of Massachusetts Medical School, Worcester, MA
June, 1990	"Ethical Issues in Forensic Mental Health Practice" Ethics Conference, Commonwealth of Massachusetts, Division of Forensic Mental Health, Department of Mental Health
October, 1990	"Prosecution as a Response to Patient Violence" Psychiatry Grand Rounds, University of Massachusetts Medical School, Worcester, MA
January, 1991	"Overview of Malpractice" Grand Rounds, Worcester State Hospital, Worcester, MA
January, 1991	"Malpractice for the Medical Professional" Grand Rounds, Harrington Hospital, Southbridge MA
February, 1991	"Medicolegal Aspects of Prescribing Psycho tropic Medications: Informed Consent and Medication Refusal" Grand Rounds, Norwich State Hospital, Norwich, CT
February, 1991	"The Psychiatric Uses of Seclusion and Restraint" Grand Rounds, Worcester State Hospital, Worcester, MA
1991-Present	"Conducting the Criminal Responsibility Evaluation" Multiple presentations at Forensic Training Conference, Commonwealth of Massachusetts, Division of Forensic Mental Health, Department of Mental Health

June, 1991	"Aid to Sentencing Evaluations" Advanced Training Conference, Commonwealth of Massachusetts, Division of Forensic Mental Health, Department of Mental Health
February, 1992 March, 1992 June, 1992	"Diagnosis of Mental Illness" and "Competence to Consent to Treatment: Clinical Evaluation" Mental health training conference for attorneys, Massachusetts Committee for Public Counsel Services
1992-1998	"Diagnosis of Mental Illness" Multiple presentations at Mental health training conference for attorneys, Massachusetts Committee for Public Counsel Services
April, 1992	"Undue Familiarity with Patients" (co-presenter with Jack Terry, Ph.D., and Patricia Durgin, OTR) Ethics Grand Rounds, Worcester State Hospital, Worcester, MA
October, 1992	"Criminal Defendants with Mental Retardation" Panel Chair and presenter, American Academy of Psychiatry and the Law 23 <sup>rd</sup> Annual Meeting, Boston, MA
October, 1992	"About the Prosecution of Assaultive Patients" Panel presentation American Academy of Psychiatry and the Law 23 <sup>rd</sup> Annual Meeting, Boston, MA
January, 1993	"The Role of Involuntary Hospitalization in the Treatment of Anorexia Nervosa" (co-presenter with Kimberlee Kusiak, M.D., and Paul Hamburg, M.D.) Psychiatry Grand Rounds, University of Massachusetts Medical School, Worcester, MA
March, 1993	"Prosecution as a Response to Assaults by Patients" Massachusetts Psychiatric Society Spring Scientific Program, Newton, MA
October, 1993	"Pre-sentencing Evaluations" Course Chair and presenter American Academy of Psychiatry and the Law 24 <sup>th</sup> Annual Meeting, San Antonio, TX
October, 1993	"Psychotropic Medications and Vulnerable Populations" Workshop presentation, National Guardianship Association 6 <sup>th</sup> Annual Conference, Worcester, MA



- June, 1994 "Assessing Competence Among the Elderly" Keynote presentation, Statewide Elder Abuse Conference, Massachusetts Commission on Affairs of the Elderly
- November, 1994 "Conducting Criminal Responsibility Evaluations" Grand Rounds, Westboro State Hospital, Westboro, MA
- March, 1995 "Forensic Evaluations of Defendants with Mental Retardation" conference on the Mentally Retarded Offender and Forensic Mental Health Services, sponsored by Division of Forensic Mental Health, Massachusetts Department of Mental Health and University of Massachusetts Medical Center
- May, 1995 "Guardianship: The Hidden Issues" Plenary session panelist at "Attorney General's Conference on Guardianship: Developing Effective and Appropriate Interventions" Bentley College, Waltham, MA
- September, 1995 "Pressing Charges in Addressing Violence" Conference on Violence and Serious Mental Illness, Massachusetts Department of Mental Health, Boston, MA
- October, 1995 "Professionals and Private Disability Insurance." Workshop presentation, American Academy of Psychiatry and the Law 26<sup>th</sup> Annual Meeting, Seattle, WA
- December, 1995 "Facing the Challenges of the Statutory Scheme" Plenary session presentation at Massachusetts District Court Judges' Conference on Mental Impairments and the Judicial Response, Leominster, MA
- October, 1996 "Discharge the Violent Patient, or Not?" Workshop presentation, American Academy of Psychiatry and the Law 27<sup>th</sup> Annual Meeting, San Juan, PR
- October, 1996 "Fellow Case Presentations and Expert Review" Panel presentation, American Academy of Psychiatry and the Law 27<sup>th</sup> Annual Meeting, San Juan, PR
- October, 1996 "Judicial Attitudes Toward Forensic Commitments" Paper presentation, American Academy of Psychiatry and the Law 27<sup>th</sup> Annual Meeting, San Juan, PR

- April, 1997 "Ethical Approaches to Dealing with the Aftermath of Violence" Panel presentation,  
Medfield State Hospital conference on "Ethical Decision Making: Challenges Facing Public Sector Mental Health," Medfield, MA
- May, 1997 "Prosecuting Patients for Violent Behavior: Yeah or Nay?"  
University of Massachusetts Medical School 13<sup>th</sup> Annual Public Sector Psychiatry Conference on "Clinical Management of Pathological Violence," Worcester, MA
- October, 1997 "Fellow Case Presentations and Expert Review: Competence and the Right to Die" Panel presentation,  
American Academy of Psychiatry and the Law 28<sup>th</sup> Annual Meeting, Denver, CO
- October, 1997 "Public Sector Forensic Training in Massachusetts" Workshop presentation,  
American Academy of Psychiatry and the Law 28<sup>th</sup> Annual Meeting, Denver, CO
- November, 1997 "Competence and the 'Right to Die'" (co-presenter with Marjorie Clay, Ph.D., and Philip Candilis, M.D.)  
Psychiatry Grand Rounds, University of Massachusetts Medical School, Worcester, MA
- May, 1998 "Inpatient Management of Forensic Patients" Panel presentation,  
3<sup>rd</sup> Annual Taunton State Hospital Psychiatric Conference, Taunton, MA
- June, 1998 "Disabled doctors" Workshop presentation,  
American Psychiatric Association Annual Meeting, Toronto, Ontario
- October, 1998 "Expert testimony in light of *General Electric v. Joiner* and *Daubert v. Dow Pharmaceutical*" Workshop presentation,  
American Academy of Psychiatry and the Law 29<sup>th</sup> Annual Meeting, New Orleans, LA
- June, 1999 "Residential Treatment Units: Philosophy and Design" Workshop presentation at "Correctional Healthcare: Providing Quality Care in a Secure Setting,"  
University of Massachusetts Medical School/Correctional Medical Services, Worcester, MA

- May, 2000                    “Academic/Corrections Models of Mental Health Care” Workshop Co-Chairperson, American Psychiatric Association Annual Meeting, Chicago, Illinois
- April, 2001                    “The role of correctional officers in multidisciplinary correctional mental health care” Plenary presentation at “Treatment and Management Challenges in Correctional Mental Health”  
University of Massachusetts Medical School/Correctional Medical Services/Massachusetts Department of Correction, Worcester, MA
- September, 2001            “Prison Mental Health Services” Plenary session at Conference on “The Evolving Continuum of Care: New System Challenges,” Massachusetts Department of Mental Health annual conference, Westborough, MA
- October, 2001                “Challenging Cases in Correctional Mental Health” Workshop  
Chairperson, American Academy of Psychiatry and the Law 32<sup>nd</sup> Annual Meeting, Boston, MA
- November, 2001            “Medical School Involvement in Correctional Health Care: The Massachusetts Experience” Presented at the 25<sup>th</sup> National Conference on Correctional Health Care of the National Commission on Correctional Health Care and the Academy of Correctional Health Professionals, Albuquerque, NM
- May, 2002                    “Mental Health and Substance Abuse Problems Among Inmates”  
Presentation to the Massachusetts Public Health Association, Boston, MA
- October, 2002                “Medical Schools and Correctional Mental Health,” Panel Chairperson, American Academy of Psychiatry and the Law 33<sup>rd</sup> Annual Meeting, Newport Beach, CA
- October 2004                “Breaking Through Barriers with Mentally Ill Offenders: Culture and Competence,” Panel presentation, American Academy of Psychiatry and the Law 35<sup>th</sup> Annual Meeting, Scottsdale, AZ
- October 2005                “Prescribing Controlled Substances in Prisons,” Panel Chairperson, American Academy of Psychiatry and the Law 36<sup>th</sup> Annual Meeting, Montreal, Canada
- October 2007                “Segregation Management of Mentally Ill Inmates,” Panel Chairperson, American Academy of Psychiatry and the Law 38<sup>th</sup> Annual Meeting, Miami Beach, FL

- October 2007      “ADHD in Prison: Prevalence under a model treatment protocol,” Presentation at “Translational Research: Beside, Community and the Impact on Health Policy,” Commonwealth Medicine Academic Conference, University of Massachusetts Medical School, Shrewsbury, MA
- March 2008      “ADHD in Prison: prevalence under a treatment protocol,” Presentation at the 2<sup>nd</sup> annual, national “Academic and Health Policy Conference on Correctional Health: Linking Best Practices to Best Evidence,” UMMS, Quincy, MA
- October 2008      “Prison Research: Opportunities, Hurdles, Methods,” Workshop Chairperson, American Academy of Psychiatry and the Law 39<sup>th</sup> Annual Meeting, Seattle, WA
- December 2009      “Paruresis: Everything you wanted to know, but were too bashful to ask,” Presentation at the 3<sup>rd</sup> annual, national “Academic and Health Policy Conference on Correctional Health, University of Massachusetts Medical School and Nova Southeastern University School of Osteopathic Medicine, Fort Lauderdale, FL
- April 16, 2010      “Overview of Mental Health and the Law” Guest lecture, Brandeis University, Waltham, MA
- April 22, 2010      “Howard Zonana and the Subspecialty Maturation of Forensic Psychiatry” Keynote address at conference on The Evolution of Forensic Psychiatry at Yale, Yale University School of Medicine, New Haven, CT
- June 27 – 29, 2010      “Self-Injurious Behaviors in Prisons: A Nationwide Survey of Correctional Mental Health Directors” Poster Presentation, AcademyHealth Annual Research Meeting, Boston, MA
- November 8, 2010      “Double Jeopardy: Health and Imprisonment” Presentation of “Self-Injurious Behaviors in Prisons: A Nationwide Survey of Correctional Mental Health Directors” American Public Health Association’s 138th Annual Meeting and Exposition, Denver, CO
- March 10-11, 2011      “Self-injurious behavior in the nation’s prison systems: results and implications of a national survey” Seminar Presentation, 4th Academic and Health Policy Conference on Correctional Health, University of Massachusetts Medical School, Boston MA

- October 27, 2011      “Self-injurious Behaviors in Prisons: A Nationwide Survey of Correctional Mental Health Directors.” Workshop Chairperson, 42nd Annual Meeting: American Academy of Psychiatry and the Law Boston MA
- October 27, 2011      “Hearsay Evidence and the Forensic Expert.” Panel Presentation 42nd Annual Meeting: American Academy of Psychiatry and the Law Boston MA
- March 23, 2012      “Optimizing Mental Health Care: What’s Known and Not Known About Efficient and Effective Care Delivery” Seminar Chairperson, 5th Academic and Health Policy Conference on Correctional Health, University of Massachusetts Medical School and Emory University, Atlanta, GA
- April 17, 2012      “Civil Commitment, Confidentiality, and Mental Health Law” Guest lecture, Heller School for Social Policy and Management, Brandeis University, Waltham, MA
- March 21, 2013      “Making Things Better: Academic Views about Consultative and Judicial Impact on Correctional Healthcare” Seminar presentation, 6th Academic and Health Policy Conference on Correctional Health, University of Massachusetts Medical School, Chicago, IL

### **ADDITIONAL TEACHING EXPERIENCE**

- 1987-      Lecturer on Law and Psychiatry to medical students and psychiatry residents,  
University of Massachusetts Medical School, Worcester, MA
- 1987-1998      Coordinator for Law and Psychiatry medical student elective,  
University of Massachusetts Medical School, Worcester, MA
- 1987-1998      In-service training sessions on Law and Psychiatry,  
Worcester State Hospital
- 1988-1989      Lecturer on Law and Psychiatry to psychiatry residents,  
Massachusetts General Hospital, Harvard Medical School, Boston, MA
- 1988-1993      Twice monthly seminar on Advanced Topics in Mental Health Case Law,  
Worcester State Hospital

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| 1989-1992    | Lecturer on Law and Psychiatry,<br>Northeastern University School of Law, Boston, MA  |
| 1998-2007    | Coordinator for Correctional Psychiatry medical student elective,<br>University of Massachusetts Medical School, Worcester, MA                            |
| 2010-present | Annual invited undergraduate seminar presentation on “Mental Health and<br>Law,”<br>Heller School for Social Policy and Management<br>Brandeis University |