



## **University of Massachusetts Medical School Center for Mental Health Services Research**

### **Annual Report**

**To the Department of Mental Health  
2006 – 2007**

**excellence & innovation in mental health services research**



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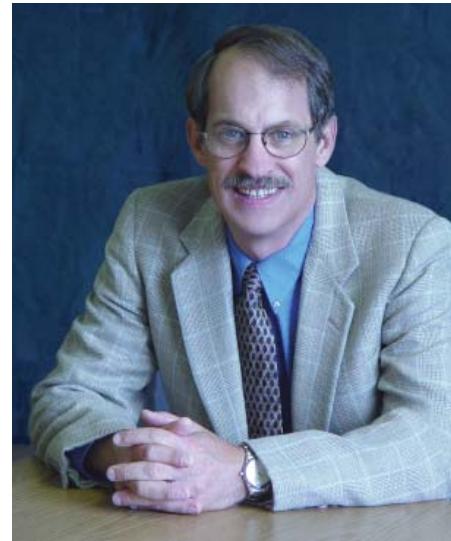
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# Introduction

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Fiscal year 2007 has been a year of transition and great progress for the University of Massachusetts DMH Research Center for Excellence - The Center for Mental Health Services Research (CMHSR). It was a year in which our contract with DMH ended and was again put out to bid. The bidding process was an opportunity for us to rethink and strengthen our work with DMH and our priorities. The DMH RFR emphasized the importance of consumer involvement in research as well as addressing the many multicultural issues that affect the delivery of and access to mental health services. Our response to the RFR highlighted our considerable consumer involvement both as staff at the Center and as staff and advisors to individual projects, and the creation of new structures within the Center to protect and emphasize the consumer voice in our work. DMH's investment in the multicultural issues of mental health services provides great opportunity for us to expand our work in this area with new faculty and research projects. Most notably, we have hired three full-time faculty members whose research focuses on multicultural issues. Thus, in addition to the full-time multicultural specialist that the RFR requested, we recruited both a full-time psychiatrist whose research focuses on trauma and the Latina population, and a faculty researcher working on addiction problems among Asian-Americans with mental illness.



Another major change was the appointment of a new Chair for the UMass Department of Psychiatry. Dr. Douglas Ziedonis formally took his post January 1, 2007 but he played a major part in constructing our response to the RFR well before formally assuming his new role. His commitment to CMHSR, the goals of the RFR, DMH, and DMH consumers is visible through his active involvement supporting DMH professionals at Westborough and Worcester State Hospitals, and DMH vendors such as Community Healthlink, members of the Massachusetts Clubhouse Coalition and many others.

Another major change this year has been the creation of a formal internal CMHSR Consumer Workgroup including all of the consumers who work at CMHSR and led by two of our senior research staff, Lorna Simon and Suzanne Garverich. The role of this work group is to advise research faculty and staff about making our research both more consumer friendly

and more relevant to the needs of DMH consumers. The Consumer Workgroup, in collaboration with Al Grudzinskas began planning a statewide advisory committee of consumers, advocates, and families to advise and direct CMHSR researchers in their efforts to make their research relevant to the needs of the Commonwealth.

In many ways, this is a difficult period for the field of mental health research. Federal support for academic psychiatric research, both basic and applied, has been severely limited. Competition for available funds is tighter and the challenge to meet research goals more trying. I am therefore pleased to report that, once again, the Center has had an excellent year starting exciting new projects and bringing in new research dollars to the Commonwealth. Moreover, we have been able do so while increasing our collaborative work with DMH and maintaining a strong commitment to service to the Commonwealth as a whole. Service to the community in which we live is important to all who work at the Center. It allows us to see the tangible efforts of our work, which is a rare commodity in many areas of research. More importantly, it allows us to have a direct impact on the lives of those we dedicated our careers to helping, people with severe mental illness.

I have been very pleased by our collaborative work with DMH. For the second year in a row, a group of CMHSR faculty, staff and consumers are implementing the DMH Consumer Satisfaction Survey, providing access to the views of DMH clients in a manner that is unparalleled in any state in the U.S. The sophisticated methodology of selecting and contacting DMH clients ensures that participation in the survey is truly representative of the DMH consumer community, allowing the Department to hear from, and more thoroughly understand, those they serve. This year, with active support from Beth Lucas and Connie Maranto of DMH, we substantially improved the implementation of the survey and the reliability of the data collected.

Kristen Roy-Bujnowski and DMH Medical Director Mary Ellen Foti worked together on a series of projects related to DMH client cause of death: the completion of the 2001-2004 DMH client mortality report, the implementation of the Deceased Client Profile statewide reporting system, and the review of specific client deaths for QI purposes. Bill Fisher continues to serve on the Interagency Taskforce on Chronic Homelessness in an attempt to end the many deaths that occur among the homeless population every winter. Liz Aaker provided detailed literature reviews to senior DMH administrators on a variety of topics on an as needed basis. More broadly Kate Biebel, Bill Fisher and I met monthly with Deputy Commissioner Robert Keane, and Director of Research Fred Altaffer, to assess the ongoing research needs of the Department and to strategize around dissemination of research findings.

Many of our projects provide expertise to the larger community. For example, Al Grudzinskas and Judge Maurice Richardson (ret.) created

a program of training and consultation to facilitate mental health jail diversion efforts around the Commonwealth. Over the past several years they have been training first responders to appropriately respond to mental health crises. This year brought a major addition to their activities when they received funding to study the attitudes of police officers and first responders to persons in crisis, and particularly persons with mental illness who become involved with the criminal justice system, both as victims and as perpetrators. The study will compare a variety of trainings systems to assess their effectiveness for communities seeking to implement diversion training programs. The study will begin late in 2007 and is funded for three years.

A substantial series of projects and activities continue from previous years. Joanne Nicholson, Kate Biebel, Valerie Williams, Steve Banks, and an excellent research support staff have developed a new approach to supporting persons living with mental illness in their role as parents. In partnership with Employment Options, Inc., a Marlborough-based club-house, they have created Family Options, an innovative model of family care management. Family Options works in collaboration with DMH and the Department of Social Services to provide services to families including respite, parent education, home visits, service coordination, parent support groups, and advocacy.

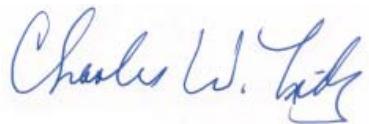
Maryann Davis's current work is identifying pioneering support programs across the country for youth with serious emotional disturbances as they transition to adulthood, and documenting the variety and existence of transitional policies nationwide. She has worked with DMH to develop its innovative services for this population. She has recently received her second major NIMH grant to develop and study an innovative program for transitional youth.

With collaboration of Phillip Candilis, my own NIH-funded intensive study of 10 IRBs in Massachusetts and around the country entered its second year. We are excited by our preliminary findings about how research with people with mental illness and other cognitive disabilities is managed by these organizations charged to be guardians of research subjects.

Tom Grisso and Gina Vincent's work in the development of screening instruments to assess for mental illness in youths entering the juvenile justice system has been a nationwide effort that has provided real benefit to the Commonwealth. The MAYSII-2 is now the most widely used screening instrument of its type and is currently in use in 39 states. Current funding from the MacArthur Foundation allows continued expansion of the MAYSII-2 while documenting implementation efforts.

This year much has changed. The Commonwealth has a new Governor and a new Administration. DMH has a new Commissioner and some new senior administrators. CMHSR's research addresses basic issues in mental health services and the needs of DMH consumers so we are

confident that our work will continue to be relevant to the needs of the new Administration. We look forward to continuing and growing our collaborative efforts to serve consumers of mental health services in the Commonwealth.

A handwritten signature in blue ink that reads "Charles W. Lidz".

Charles W. Lidz, Ph.D.  
Director  
UMass Center for Mental Health Services Research

# EXECUTIVE SUMMARY

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The summary below presents an overview of CMHSR accomplishments as follows:

## Section One: Fulfillment of DMH Contract

Compares the accomplishments of Fiscal Year 2007 to benchmarks for achievement identified in the DMH contract and, where possible, compares these to accomplishments from Fiscal Year 2006.

## Section Two: Collaborative Work with DMH

Briefly describes CMHSR's collaborative work with DMH.

## Section Three: Awards & Acknowledgements

Showcases awards and recognitions received by CMHSR faculty and staff.

## Section Four: Information Dissemination

Details CMHSR's dissemination efforts.

## Section Five: Multicultural Efforts

Highlights CMHSR's efforts to conduct research in the critical areas of multicultural and mental health care disparities research.

## Section Six: Consumer, Advocacy & Family Member Involvement

Describes CMHSR's efforts to engage consumers, advocates, and family members in the ongoing work of the Center.

## Section One: Fulfillment of DMH Contract

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### Research Activity

These numbers represent both ongoing and novel research activity at CMHSR during Fiscal Year 2007.

Performance Measure	Benchmark Proposed for Year 1	Accomplished in Fiscal Year 2007	Fiscal Year 2006
Number of research projects approved by DMH	23	43	--
Number of research proposals submitted and approved by an IRB <sup>1</sup>	8	8	7
Number of grants submitted <sup>2</sup>	19	19	14
Number of grants approved <sup>3</sup>	9	17	3

<sup>1</sup>The *number of proposals submitted and approved by an IRB* is the total number of projects that received IRB approval during the fiscal year.

<sup>2</sup>The *number of grants submitted* is the total number of grant applications that CMHSR submitted during the 2007 fiscal year, regardless of their approval status. That is to say some of the submitted grants may have received funding during the fiscal year, some may receive funding next fiscal year, and some may receive no funding.

<sup>3</sup>The *number of grants approved* is the total number of grants that either received money during the fiscal year or are set to receive money in the upcoming fiscal year.

## **Summary of New Grant Funding**

The ongoing financial support provided by DMH confers CMHSR with the ability to leverage monies from a variety of other sources in support of research and training. As the Center has grown in expertise and renown, the proportion of monies leveraged as compared to the yearly DMH investment is increasing at an impressive rate. This has the benefit of increasing the cutting edge expertise to local and statewide resources serving persons with serious mental illness.

The figure reported below includes the portion of each grant/contract that applies to the 2007 Fiscal Year, not the total funds for life of the grant. The total is inclusive of both direct funds (monies which go directly to the project) and indirect funds (monies which go to UMMS to provide overhead on the project, the operation of CMHSR, support of the UMMS Psychiatry Department, and support of UMMS).

<b>Performance Measure</b>	<b>Benchmark Proposed for Year 1</b>	<b>Accomplished in Fiscal Year 2007</b>	<b>Fiscal Year 2006</b>
External funding obtained	\$1,900,000	\$2,629,269	\$2,961,128

## **Summary of Publications**

CMHSR faculty and staff publish in a variety of different venues. Although the majority of publications appear in peer-reviewed journal articles, CMHSR faculty and staff also publish books, book chapters, monographs, conference papers, and reviews of academic books.

<b>Performance Measure</b>	<b>Benchmark Proposed for Year 1</b>	<b>Accomplished in Fiscal Year 2007</b>	<b>Fiscal Year 2006</b>
Number of papers submitted and accepted for peer review and publication	110	95	116

## **Summary of Other Dissemination Efforts**

CMHSR continued to conduct trainings and give presentations at a wide variety of venues throughout Fiscal Year 2007. The following numbers represent the efforts of CMHSR to distribute and disseminate information to DMH state and provider clinical workforce as well as consumers and family members.

Performance Measure	Benchmark Proposed for Year 1	Accomplished in Fiscal Year 2007	Fiscal Year 2006
Number and types of forums used by CMHSR to share information with DMH State and provider clinical workforce, consumers and family members	8	10	--
Number of state and provider workforce members and consumers and family members with whom research information was shared	Not Proposed	1466	--
Number of individuals with serious mental illness who were affected by the research conducted and/or received treatment	Not Proposed	1975	--

## Section Two: Collaborative Work with DMH

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Fiscal Year 2007 saw continued collaboration between the Center and DMH. Faculty and staff worked with DMH administrators, providers and consumers on a series of research studies, presentations, and trainings as part of the continuing effort to work together to better understand and serve the mental health needs of the citizens of the Commonwealth.

### A Sample of Collaborative Projects

◆ Charles Lidz, Ph.D., Kathleen Biebel, Ph.D., William Fisher, Ph.D., and Elizabeth Aaker, B.A., met monthly with Robert Keane, Ph.D., DMH Deputy Commissioner, Clinical and Professional Services, and Fred Altaffer, Ph.D., DMH Director of Research, to assess the ongoing research needs of the Department and to strategize around dissemination of research findings.

◆ Charles Lidz, Ph.D., Kim Trettel Smith, M.A., Jonathan Clayfield, M.A., Kenneth Fletcher, Ph.D., Elizabeth Aaker, B.A., Lorna Simon, M.A., & Lawrence Stier, with Beth Lucas, DMH Director of Quality Improvement, and Connie Maranto, DMH Project Director of the Data Infrastructure Grant, worked on the second annual statewide survey of DMH consumers, with a focus on adult case management, supported housing, child/adolescent case management, and child/adolescent residential programs.

◆ Kristen Roy-Bujnowski, M.A. and Mary Ellen Foti, M.D., DMH State Medical Director, worked together on a series of projects over the course of the 2007 Fiscal Year. A number of these projects relate to DMH client cause of death: the completion of the 2001-2004 DMH client mortality report, the implementation of the Deceased Client Profile

statewide reporting system, and the review of specific deaths for QI purposes. Morbidity and mortality rates of the MA general population were compared to DMH clients and findings were presented at the 2006 American Public Health Conference, to DMH state leadership, and are in preparation for publication.

- ◆ Other collaborative efforts of Ms. Roy-Bujnowski and Dr. Foti include a partnership with the Department of Public Health regarding psychiatric aspects of the Behavioral Risk Factor Surveillance Survey (BRFSS). Ms. Roy-Bujnowski spoke about this interagency relationship at the 2007 National Conference on Mental Health Block Grant and Mental Health Statistics. In conjunction with the Department of Elder Affairs and UMMS CHPR, Ms. Roy-Bujnowski participated in the design, analysis, and writing of the Community First PASRR Study.
- ◆ Jeffrey Geller, M.D., M.P.H., Jonathan Clayfield, M.A., and Susan Phillips, B.S., administered the annual Worcester State Hospital Satisfaction Survey.
- ◆ William Fisher, Ph.D. continued his service on the Interagency Taskforce on Chronic Homelessness and with the Data Working Group.

### **Collaborative Presentations**

- ◆ Kathleen Biebel, Ph.D. and Joan Mikula, DMH Assistant Commissioner of Child & Adolescent Services, presented to the DMH Statewide Commissioner's Meeting about the innovative efforts in Massachusetts to support and conduct research on families living with parental mental illness.
- ◆ Stephanie Hartwell, Ph.D., participated in the Forensic Fellow Seminar Series presenting research examining reentry models for individuals with psychiatric disabilities returning to the community.
- ◆ Joanne Nicholson, Ph.D. and Joan Mikula addressed the challenges of wrapping interventions around parents with mental illness and their families during the 20th Annual System of Care Conference for Children's Mental Health in Tampa, Florida. Dr. Nicholson and Ms. Mikula were joined by Marion Freedman-Gurspan, M.S.W., L.I.C.S.W., DMH Director of Policy and Planning, Child & Adolescent Services, at the University of Pennsylvania State of the Knowledge Conference, and presented on the collaborative efforts of Massachusetts' DMH and Department of Social Services in serving these same families.

### **Collaborative Trainings**

- ◆ Al Grudzinskas, J.D. conducted two trainings for DMH providers and vendors in the Central and Western Massachusetts DMH Areas, discussing communication and privacy issues as they relate to the criminal justice system.
- ◆ Al Grudzinskas, J.D., Thomas Grisso, Ph.D., Ira Packer, Ph.D., and Deborah Pinals, M.D, Central Mass Area Medical Director and DMH Director of Forensic Psychiatry Training, conducted a series of trainings for UMMS post doctoral students and psychiatry fellows on a variety of legal and clinical topics in civil and criminal forensic practice, including the evaluation of issues of competence, responsibility, capacity, custody, risk assessment, and expert testimony as well as research in these areas.

## **Section Three: Awards & Acknowledgements**

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Three CMHSR staff were honored this past year for their outstanding contributions to mental health services research and training. Karen Albert, M.S. received the UMMS May 2007 Employee of Distinction award for her exceptional work in managing research studies at the CMHSR including the Family Options studies (Principal Investigator: Joanne Nicholson, Ph.D.). The Family Options studies consist of parallel implementation and program evaluation research



Carole Puleo

examining an innovative family-centered, strengths-based intervention for families living with parental mental illness implemented in a psychosocial rehabilitation agency (Employment Options, Inc.) in Marlborough, Massachusetts. Carole Puleo and Dianne Williams each received a DMH Forensic Division Recognition award in June 2007. Robert Kinscherff, DMH Assistant Commissioner of Forensic Mental Health, noted when presenting the awards: "Carole Puleo and Dianne Williams are the heart of public sector forensic mental health training in Massachusetts."



Karen Albert

## **Section Four: Information Dissemination**

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CMHSR recently established a Product Development and Dissemination Workgroup to identify and develop effective pathways and forums to distribute the latest available mental health services research to DMH staff, providers, consumers, and other interested parties. CMHSR disseminates information gained through the Center's research to a broad audience, including researchers in other fields, mental health workers, consumers, advocates, family members, policy makers, administrators, legislators, and the general public. This year, we collaborated with dissemination specialist Susan Hills, Ph.D., of Advocates for Human Potential, to analyze our current dissemination efforts and to strategize about future endeavors. Plans are underway to implement many of her suggestions.

In addition to the presentations and trainings offered by CMHSR faculty and staff, dissemination efforts included the following:

### **CMHSR Website**

<http://www.umassmed.edu/cmhsr/>

Maintained by Susan Phillips, B.A. the CMHSR website contains detailed information on faculty and staff, core areas of research interest, ongoing projects, and references to selected publications as well as a mechanism for requesting many of the most current articles via e-mail. The publications section offers access to our complete selection of Issue Briefs, special reports, journal articles, slide shows and videos. Regularly updated, the website continues to draw considerable interest. The total number of visits to the website during the fiscal year increased by 32% from fiscal year 2006.

FY	Fiscal Year 2007	Fiscal Year 2006
Total number of visits	42,007	31,746
Average number of visits per day	115	86

## Issue Briefs

[http://www.umassmed.edu/cmhsr/working\\_papers/briefs.cfm](http://www.umassmed.edu/cmhsr/working_papers/briefs.cfm)

CMHSR publishes six to ten Issue Briefs a year. Primary authors are CMHSR faculty and staff, but they are regularly co-authored with research, advocacy, and consumer partners. Issue Briefs are concise two-page documents detailing current research conducted at CMHSR as well as other areas of interest relevant to mental health services. Issue Briefs are distributed in hardcopy, via the CMHSR website and by monthly email distribution lists to DMH administrative, clinical staff, providers and others. There are currently over 500 subscribers to our Issue Brief email list. Issue Briefs written during the fiscal year 2007 include:

- ▶ **Drug-Related Arrests among Persons with Serious Mental Illness.** William Fisher, Ph.D., Kristen Roy, Bujnowski, M.A., Albert Grudzinskas, J.D., Jonathan Clayfield, M.A., LMHC, & Steven Banks, Ph.D.
- ▶ **Estimating Frequencies from Multiple Source Data.** Elizabeth Aaker, B.A., Charles Lidz, Ph.D., & Lorna Simon, M.A.
- ▶ **Maximizing the Results of Internet Surveys.** Jill Rosenbaum, B.A. & Charles W. Lidz, Ph.D.
- ▶ **Assessing Risk for Inappropriate Sexual Behavior.** Gina M. Vincent, Ph.D.
- ▶ **Lessons Learned: Facilitating Mental Health Screening in Juvenile Justice Programs.** Valerie Williams, M.A., M.S. & Thomas Grisso, Ph.D.
- ▶ **Families with Overlapping Needs.** Betsy Hinden, Ph.D., Bernice Gershenson, M.P.H., Valerie Williams, M.A., M.S., & Joanne Nicholson, Ph.D.

To join the issue brief listserv and receive emails detailing current CMHSR Issue Briefs please visit: <http://www.umassmed.edu/cmhsr/subscribe.cfm>

## Section Five: Multicultural Efforts

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In Fiscal Year 2007, CMHSR made great strides in developing our focus on multicultural issues in mental health services research. Most notably, we spent much of 2007 recruiting new faculty to address issues of race and ethnicity in access to, use of, and delivery of mental health services. We were fortunate to hire three outstanding faculty to guide and support the Center. Rosalie Torres Stone, Ph.D., a sociologist with substantial experience with people with substance abuse disorders, leads our Multicultural Workgroup. Sun Kim, Ph.D., a nurse with extensive research experience on tobacco use among East Asian Americans has joined CMHSR and is focused on tobacco use among persons with mental illness with a particular concern for minorities. Finally, Lisa Fortuna, M.D., a psychiatrist with a particular interest in trauma and PTSD among Latino populations, will join us in November of 2007. She is the recipient of a prestigious NIMH K award. With such an accomplished group joining the Center, the upcoming fiscal year will bring many exciting initiatives in the area of multicultural research.

## **Section Six: Consumer, Advocacy & Family Member Involvement**

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To expand on its long tradition of consumer involvement in planning and executing research projects, CMHSR created the Consumer Advisory Council. The function of the Council is to identify the gaps in consumer informed research, to work with CMHSR in developing opportunities for collaboration with consumer and advocacy groups and representatives, and to advise the Center's dissemination efforts. The Council will also play an important role in disseminating information developed by the Center to consumers, their families, and groups advocating on their behalf. The Council will work in conjunction with the newly organized Consumer/Recovery Workgroup. The C/R Workgroup, which is led by consumers working at CMHSR, is currently developing a review protocol for all CMHSR research proposals. The Workgroup is coordinating with the larger Center-wide proposal review committee to streamline the work required of PIs submitting proposals. The C/R Workgroup is particularly interested in involving a consumer perspective in developing and addressing research topics and helping to create readable and understandable informed consent forms for research protocols.

# Topic Areas

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## At-Risk Behaviors

### ► Psychopathic Personality Disorder, Violence and Criminal Activity

Gina Vincent, Ph.D. and Ira Packer, Ph.D. received funding to examine progress in treatment and institutional misbehavior/violence among DMH patients with and without psychopathic traits. Data collection will begin in July 2007 and continue through February 2009. Dr. Vincent presented findings from her studies of assessments of psychopathic personality traits in girl offenders that indicated psychopathy was not a predictor of recidivism for girls. Dr. Vincent also provided trainings to clinicians involved in juvenile justice and adult forensic psychiatric programs on how to conduct risk assessments for recidivism and violence.

### ► Criminal Activity

William Fisher, Ph.D. and Albert Grudzinskas, Jr., J.D. worked with CMHSR Associate Member Kenneth Appelbaum, M.D. to establish research protocols for the criminal justice system. Dr. Fisher, Mr. Grudzinskas, and Steven Banks, Ph.D. received funding to model the criminal justice involvement of DMH clients. They also gave several professional presentations of their findings both nationally and internationally.

### ► Substance Abuse

Kenneth Fletcher, Ph.D. and Joseph DiFranza, M.D. completed research related to symptoms of nicotine dependence and physiological changes due to tobacco use. They presented findings at a research conference in February 2007.

### ► Sexually Problematic Behaviors

Mr. Grudzinskas, along with Fabian Saleh, M.D. and others edited a book on sex offender risk assessment, litigation, and treatment. He also completed numerous presentations and publications on sex offender risk assessment and litigation, including the special case of the female sex offender. Dr. Vincent sat on an expert panel to advise the DMH area medical directors and clinicians in best practices for risk assessment for DMH clients expressing inappropriate sexual behaviors

## Features of the Environment (External Influences)

### ► Institutional Review Boards

Charles Lidz, Ph.D., along with Philip Candilis MD., began a NIH-funded, multi-site descriptive study of Institutional Review Board decision making at ten top academic medical research institutions. This study will be the first to examine the methods used by IRBs to determine whether safety protocols for the protection of human subjects in research are sufficient. Special focus is on the management of risks to vulnerable populations such as people with mental illness. Chris Jackson, M.A., presented on the review dynamics of IRBs at the American Sociological Association meeting in New York.

### ► Clinical Trials

Charles Lidz, Ph.D., with colleague Steven Banks, Ph.D., continued his research examining the competing expectations faced by clinical researchers. First, that they will faithfully implement the research protocol, on which the validity of the research depends, and second, that they will provide the clinical care that patients deserve.

► **Research Decision-Making**

Phillip Candalis, Ph.D. continued his NIMH-funded study exploring capacity assessment and neuropsychological testing of individuals with multiple sclerosis responding to a study and educational cuing strategies

► **Outpatient Commitment**

Albert Grudzinskas, J.D. and William Fisher, Ph.D. presented to the World Congress of Psychiatry in Dresden, Germany, about competency-based justification for outpatient commitment.

► **Consent**

Jeffrey Geller, M.D. presented, "Faux Refusal and Pseudo Cooperation: Clinical Quagmires of Addressing Consent, Assent, Cooperation, and Refusal. When, When Not, and Why," to the University of Iowa/Carver College of Medicine Psychiatric Society.

## **Forensic Services**

### **A. Juvenile Justice & Mental Health**

► **Arrest Patterns**

Maryann Davis, Ph.D. continued her NIMH-funded analysis of factors that affect the criminal offending patterns among people who received DMH services as a child or adolescent and have since aged out of the system. In September 2006, Dr. Davis presented findings from this project in a symposium to stakeholders who provide services to youth in the juvenile justice and social services delivery systems.

► **Assessment, Screening and Juvenile Justice System Reform**

This year in addition to a focus on the application and dissemination of the MAYS-2, Thomas Grisso, Ph.D., Gina Vincent, Ph.D., and Valerie Williams, M.A., M.S., continued a concerted effort to examine the influence of the mental health screening in juvenile justice programs. Members of the group conducted numerous trainings on mental health screening in the juvenile justice system across the country. Ms. Williams and Dr. Grisso presented findings from their ongoing study in March 2007 at several locations throughout the United States.

► **Juveniles' Competence in the Justice System**

Dr. Grisso led a team of CMHSR researchers focused on identifying youths with serious impairments in their abilities related to competence to stand trial. They are tracking national trends in developments in the law related to children's and adolescents' abilities to understand the nature of proceedings against them and to assist counsel and make decisions as defendants.

### **B. Adult Criminal Justice & Mental Health**

► **The Boston Police Study**

Albert J. Grudzinskas, Jr., J.D. together with his CMHSR colleagues received funding to study the attitudes of police officers and first responders to persons in crisis, and particularly persons with mental illness who become involved with the criminal justice system, both as victims and as perpetrators. In a first of its kind in the nation study, Mr. Grudzinskas' team will compare a variety of response methodology trainings to assess their efficacy and to develop information regarding their utility for communities seeking to implement diversion training programs. The team is also working with the Boston Municipal Court

Department to implement a mental health session for the criminal court. The study will begin in October 2007 and continue through September of 2010.

► **Diversion**

Al Grudzinskas J.D., Judge Maurice Richardson (ret.) and William Fisher Ph.D. continued their work supporting the diversion of person with serious mental illness from the criminal justice system. In collaboration with multiple Boston area organizations and with funding from the Jessie B. Cox Charitable Trust, the initiative provides a full-time case management position to provide outreach and coordination of services for individuals brought to triage in mental health crisis. The initiative will also examine the effectiveness of systems change in the emergency medical and first responder criminal justice arenas of diverting people with serious mental illness from further contact with the criminal justice system.

► **First Responder Training**

CMHSR researchers provided crisis intervention and risk management training specific to working with individuals with mental illness to police officers and first responders to ensure a competent, humane approach to the delivery of public safety programs, including correctional services.

## **Child, Adolescent & Family Mental Health**

► **Families and Parenting**

Joanne Nicholson, Ph.D. continued her research exploring the issues of families living with mental illness. This year, her funded research examined parents with mental illness with regard to system transformation and direct service improvement. She completed the second year of a fellowship examining strategies for system transformation, specific to issues of children and youth with parents with mental illness. Dr. Nicholson and colleagues Kathleen Biebel, Ph.D., Valerie Williams, M.A., M.S., and Steven Banks, Ph.D. continued their research with the Family Options program, both studying the innovative model of family care management for families living with parental mental illness, as well as studying the process of implementing a family-centered, strengths-based program at the agency and community levels. Dr. Nicholson continued her work in Worcester with homeless mothers and children who are doubled-up living with friends or relatives. Many of these mothers struggle with mental illness as well as the complex conditions of homelessness and parenting. Findings from this body of research were presented in local, national and international conferences during the 2007 fiscal year. Presentation topics included the challenges of wrapping interventions around families living with parental mental illness, communicating with children about parental mental illness, the experiences and needs of parents with mental illness, bridging systems and stakeholder groups for families living with parental mental illness, and the Massachusetts response to these families.

► **Transition Age Youth**

Maryann Davis, Ph.D. continued work on two funded projects focused on systems issues for transition age youth. The first examined the histories of programs serving transition aged youth and the efforts necessary to maintain these programs over time. The second project examined changes in the relationships between service organizations in a service system after implementation of a federal grant program to improve transition supports. The goal of this project was to determine whether implementation of the grant improved connections between child and adult services. Dr. Davis had three keynote presentations to public mental health audiences, one in Massachusetts, on the characteristics of transition age youth and the systems that serve them. In addition, she provided training to local

programs funded by the Massachusetts Behavioral Health Partnership on strategies to work with transition age youth, and presented findings from her work at a national conference.

► **Financing Children's Mental Health Services**

Kathleen Biebel, Ph.D. presented findings from her study of strategies to maximize Medicaid reimbursement for children living with serious emotional disturbances at the 2006 National Technical Assistance Center for Children's Mental Health Training Institutes in Orlando, Florida.

**Integration of Care, Trauma & Medical Treatment**

► **Prenatal Screening**

Ken Fletcher, Ph.D. worked on a study implementing a two-level Prenatal Emotional Health Screening (PEHS) in two Worcester OB/GYN clinics serving low-income, underserved women. The PEHS consists of a standardized screening and subsequent referral for pre and post-natal depression, anxiety, trauma-related symptoms, general mental health distress, and biological risk factors for pregnancy and birth related mental health problems. This study contributed to the general knowledge base about the rates of co-occurring mental health disorders, and non-depressive mental health disorders, in this population. Dr. Fletcher presented findings from this study with his fellow investigators at Emory University School of Medicine.

► **Distress Reduction**

Ken Fletcher, Ph.D. presented with colleagues on parent and child perceptions of a distress reduction program for oncology patients at the Kansas Conference of Clinical Child and Adolescent Psychology.

► **Children's Exposure to Violence**

Ken Fletcher, Ph.D. presented on the psychometrics of the Dimensions of Stressful Events (DOSE) Scale for children exposed to violence at the 22nd annual conference of the International Society for Traumatic Stress Studies in Hollywood, California.

**Racial & Ethnic Disparities**

► **Attitudes toward Treatment for ADHD in Hispanic and Latino Populations**

Ken Fletcher, Ph.D. was the Principal Investigator of a pilot study that examined the potential differences in parental attitudes by Hispanic parents in low-income urban settings toward treatment for Attention-Deficit Hyperactivity Disorder. This research also studied the usefulness of a survey tool previously used with Caucasian and African American populations but not Latinos. Findings will suggest potential venues for developing guidelines to work collaboratively with Hispanic parents in the case of ADHD treatment.

**Rehabilitation & Recovery**

► **Employment Opportunities for People with Mental Illness**

Colleen McKay, M.A., CAGS, continued her work with the Massachusetts Clubhouse Coalition and the Employment Expansion Project. Colleen McKay's activities with the Employment Expansion Project include examining what makes a successful transition to work for people with serious mental illness in psychosocial clubhouse settings in Massachusetts and New York. Findings from the Massachusetts Employment Survey are presented annually at the Massachusetts State House. In FY 2006, approximately 1900

clubhouse members working on Transitional, Supported and Independent Employment earned over \$11 million dollars.

► **The Clubhouse Family Legal Support Program (CFLSP)**

CFLSP provides legal support to individuals who are parents diagnosed with a mental illness and have legal concerns around custody and visitation. CFLSP blends the attributes and aspirations of parents and children, clients and lawyers, community and funders to focus on the legal needs of parents living with a mental illness, to ensure continued contact with their children and increased custodial rights. Through CFLSP, over 100 parents with mental illness have benefited from direct legal representation, with the staff attorney representing approximately 23 parent clients per year. The program is currently funded through grants from DMH and the Massachusetts Bar Foundation. An article in Psychiatric Services by Joanne Nicholson, Ph.D. describes this innovative program.

**Service Delivery Models**

► **DMH/DSS Collaboration with Families Living with Mental Illness**

Joanne Nicholson, Ph.D., Nicole Dube, M.A. and Jodi Adams, M.A., in partnership with the Massachusetts Department of Social Services, began a two-year study of the process of implementing systems level change (i.e., Family Networks) within a state agency. Through Family Networks, DSS has redesigned and integrated traditional categorical services across the state into local systems of care for children, youth and families served by the child welfare system. A primary goal of the Family Networks Implementation Study is to understand what structures, processes and conditions influence the implementation of Family Networks. Data collection began in June 2007 and will continue through December 2008. Dr. Nicholson also addressed MA DMH and DSS innovative systems collaboration around parents with mental illness and their families at the University of Pennsylvania Collaborative National State of the Knowledge Conference in Philadelphia in September 2006.

► **Data Informing Practice**

Joanne Nicholson, Ph.D. collaborated with William Deveney, Ph.D., and Jan Nisenbaum, M.S.W. of DSS to describe strategic efforts of DSS to bridge research and practice through CQI methods. “Promoting quality through effective supervision: Bridging data and practice” was presented to over 100 attendees at the National Conference on Child Abuse and Neglect in Portland, Oregon in April 2007.

**Stakeholder Satisfaction**

► **Satisfaction of DMH Consumers**

Under the leadership of Charles Lidz, Ph.D. and Jonathan Clayfield, M.A., LMHC, a team of CMHSR faculty and staff undertook the second annual DMH Statewide Consumer Satisfaction Survey (CSS). CMHSR consulted with a statewide DMH advisory group on the modification of the original SAMHSA MHSIP (Mental Health Statistics Improvement Program) survey, and worked with consumers, advocates, and family members to develop expanded versions of the survey for use in assessing satisfaction with adult case management, supported housing, families of children/adolescents receiving case management, and child/adolescent residential program services.

# Appendix

## At-Risk Behaviors

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- **Grants/Contracts**

### DUTY TO WARN STUDY

*PI:* Debra Pinals, M.D.  
*Personnel:* **Lorna Simon, M.A. & William Fisher, Ph.D.**  
*Time Frame:* 06/01/2007 - 06/01/2008  
*Project Status:* Ongoing

This study examines the conflict of the duty to warn and keeping confidentiality for persons with HIV/AIDS.

### MODELING CRIMINAL JUSTICE INVOLVEMENT AMONG PERSONS WITH MENTAL ILLNESS

*PI:* **William Fisher, Ph.D.**  
*Co-I's:* **Steven Banks, Ph.D. & Albert Grudzinskas, Jr., J.D.**  
*Personnel:* **Kristen Roy-Bujnowski, M.A. & Jonathan Clayfield, M.A.**  
*Funding:* NIMH RO1  
*Budget:* \$1,012,630  
*Time Frame:* 08/15/2003 - 06/30/2006  
*Project Status:* Ongoing  
*IRB Number:* FWA#000040

This project represents a preliminary effort to discern the role of mental health services in patterns of criminal offending displayed by persons with severe mental illness. It incorporates theoretical frameworks and methods commonly used in criminology to understand patterns of offending over time, the effects of social environments, and the potentially mediating effects of mental health services with regard to patterns of offending.

### PSYCHOPATHY AND TREATMENT OUTCOMES IN FORENSIC PSYCHIATRIC PATIENTS: A PILOT STUDY

*PI:* **Ira Packer, Ph.D.**  
*Co-I:* **Gina Vincent, Ph.D.**  
*Funding:* Commonwealth Medicine Mini-Grant  
*Budget:* \$50,000  
*Time Frame:* 09/01/2007 - 02/28/2009  
*Project Status:* Ongoing

A short-term longitudinal study to determine how the three factors of the psychopathic personality relate to areas of institutional maladjustment and decreases in risk for violence among patients at Bridgewater State Hospital in Massachusetts.

- **DMH Funded Projects**

### MASSACHUSETTS COALITION FOR SUICIDE PREVENTION

*PI:* Anna Chinappi  
*Personnel:* **Kristen Roy-Bujnowski, M.A.**

*State Agencies:* DMH, DSS, DYS, DPH

*Time Frame:* 09/01/2004 - present

*Project Status:* Ongoing

This is a cross-disciplined group aimed at educating the public about suicide prevention. The coalition is planning a statewide conference for 2008.

### **REVIEW OF DMH CLIENTS WHO COMPLETED SUICIDE**

*PI:* Mary Ellen Foti, M.D.

*Personnel:* **Kristen Roy-Bujnowski, M.A.**

*State Agencies:* DMH, DPH

*Project Status:* Ongoing

Review deaths of DMH clients who were classified as committing suicide for purpose of improving interventions and identifying risk factors.

- **Presentations**

### **FEMALE SEX OFFENDERS & THE LAW**

*Presenters:* **Albert Grudzinskas, Jr., J.D.**, Fabian Saleh, M.D., G. Dwyer, M.D., & D. Laufersweiler-Dwyer, J.D.

*Time Frame:* March 2007

*Forum:* Academy of Criminal Justice Science, Seattle, WA.

*Number Attended:* 70

### **JUVENILE PSYCHOPATHY: A CLINICAL CONSTRUCTION IN NEED OF RESTRAINT**

*Presenter:* **Gina Vincent, Ph.D.**

*Time Frame:* May 2007

*Forum:* Roger Williams University, Bristol, RI.

*Number Attended:* 10

### **MENTAL HEALTH SERVICES & RISK OF ARREST**

*Presenters:* **William Fisher, Ph.D., Albert Grudzinskas, Jr., J.D., Kristen Roy Bujnowski, M.A., Jonathan Clayfield, M.A., & Steven Banks, Ph.D.**

*Time Frame:* March 2007

*Forum:* 19th Annual Child Mental Health Services Research Conference, Tampa, FL.

*Number Attended:* 45

### **PEDOPHILIA: AN EXPLORATION OF ISSUES RELEVANT TO THE VICTIM & PUBLIC SAFETY**

*Presenters:* Fabian Saleh, M.D., **Albert Grudzinskas, Jr., J.D.**, G. Dwyer, M.D., & D. Laufersweiler-Dwyer, J.D.

*Time Frame:* March 2007

*Forum:* Academy of Criminal Justice Science, Seattle, WA.

*Number Attended:* 70

### **PHARMACOTHERAPY IN PARAPHILIA TREATMENT**

*Presenters:* **Albert Grudzinskas, Jr., J.D.** & Fabian M. Saleh, M.D.

*Time Frame:* June 2007  
*Forum:* International Academy of Law and Mental Health Annual Conference, Padua, Italy.  
*Number Attended:* 60

#### **PHYSIOLOGIC SUSCEPTIBILITY TO NICOTINE DEPENDENCE**

*Presenters:* Joseph DiFranza, M.D., **Kenneth Fletcher, Ph.D.**, Judith Savegu, MS, Judith Ockene, Ph.D., Lori Pbert, Ph.D., Robert Wellman, Ph.D., Jennifer McLaughlin, Ph.D., & A. McNeil, Ph.D.  
*Time Frame:* February 2007  
*Forum:* 13th Annual Scientific Sessions of the Society for Research on Nicotine and Tobacco, Austin, TX.  
*Number Attended:* 2500

#### **PREDICTIVE VALIDITY OF THE PSYCHOPATHY CHECKLIST: YOUTH VERSION FOR RECIDIVISM WITH FEMALE ADOLESCENT OFFENDERS**

*Presenters:* Gina Vincent, Ph.D., C. Odgers, A. Watkinson, & R. Corrado  
*Time Frame:* June 2007  
*Forum:* 30th International Congress on Law and Mental Health, Padua, Italy.  
*Number Attended:* 50

#### **PSYCHOPATHIC PERSONALITY DISORDER: CONTEMPORARY ISSUES**

*Presenter:* Gina Vincent, Ph.D.  
*Time Frame:* April 2006  
*Forum:* University of Alaska Anchorage, Anchorage, AK.  
*Number Attended:* 100

#### **PSYCHOPATHIC PERSONALITY DISORDER: RISK ASSESSMENT & TREATMENT**

*Presenter:* Gina Vincent, Ph.D.  
*Time Frame:* October 2006  
*Forum:* APA Institute on Psychiatric Services, New York, NY.  
*Number Attended:* 50

#### **PSYCHOPATHY IN ADOLESCENT FEMALE OFFENDERS: CONTRIBUTIONS OF ITEM RESPONSE THERAPY**

*Presenters:* Gina Vincent, Ph.D., C. Odgers, R. Salekin, & M. Moretti  
*Time Frame:* April 2007  
*Forum:* 2007 Bi-annual Conference of the Society for the Scientific Study of Psychopathy, St. Petersburg, FL.  
*Number Attended:* 50

#### **RISK ASSESSMENT AND EXPERT TESTIMONY WITH A SEX OFFENDER POPULATION**

*Presenter:* Albert Grudzinskas, Jr., J.D.  
*Time Frame:* June 2006  
*Forum:* International Academy of Law and Mental Health Annual Conference, Padua, Italy.  
*Number Attended:* 60

## **SEX OFFENDERS & THE LAW**

**Presenters:** Albert Grudzinskas, Jr., J.D., Gina Vincent, Ph.D., & Fabian Saleh, M.D.  
**Time Frame:** June 2007  
**Forum:** International Academy of Law and Mental Health Annual Conference, Padua, Italy.  
**Number Attended:** 60

## **SUBSTANCE ABUSE & RISK ASSESSMENT IN SEX OFFENDERS**

**Presenters:** Albert Grudzinskas, Jr., J.D., Fabian Saleh, M.D., G. Dwyer, M.D., & D. Laufersweiler-Dwyer, J.D.  
**Time Frame:** March 2007  
**Forum:** Academy of Criminal Justice Science, Seattle, WA.  
**Number Attended:** 6

## **SYMPTOMS OF TOBACCO DEPENDENCE CAN APPEAR WITHIN DAYS OF THE FIRST CIGARETTE**

**Presenters:** Joseph DiFranza, M.D., Kenneth Fletcher, Ph.D., Judith Savageau, M.S., Judith Ockene, Ph.D., Lori Pbert, Ph.D., Robert Wellman, Ph.D., Jennifer O'Laughlin, Ph.D., & A. McNeil, Ph.D.  
**Time Frame:** February 2007  
**Forum:** 13th Annual Scientific Sessions of the Society for Research on Nicotine and Tobacco, Austin, TX.  
**Number Attended:** 2500

## **THE USE OF RISK ASSESSMENT TOOLS WITH A SEX OFFENDER POPULATION**

**Presenter:** Gina Vincent, Ph.D.  
**Time Frame:** June 2007  
**Forum:** 30th International Congress on Law and Mental Health, Padua, Italy.  
**Number Attended:** 50

## **UNDERSTANDING AND TREATING THE DUAL DIAGNOSES OF CHRONIC MENTAL ILLNESS AND SUBSTANCE ABUSE**

**Presenter:** Jeffrey Geller, M.D., M.P.H.  
**Time Frame:** June 2007  
**Forum:** Public Sector Division, UMMS, Worcester, MA.  
**Number Attended:** 45

### **• *Trainings***

## **PSYCHOPATHY: USE OF THE PCL-R & PCL-R:SV & RISK ASSESSMENT**

**Trainer:** Gina Vincent, Ph.D.  
**Time Frame:** Sept 2006  
**Forum:** Forensic Health Services, Brockton, MA.  
**Number Trained:** 40

## **RISK ASSESSMENT FOR JUVENILES**

**Trainer:** Gina Vincent, Ph.D.  
**Time Frame:** Jan 2007

**Forum:** Screening and Assessment Workshop, Baton Rouge, LA.  
**Number Trained:** 50

- **Publications**

**Fisher, W.H. & Banks, S.M.** (2006). Trouble with the law and the courts: What the National Comorbidity Study can and cannot tell us about the behavioral health antecedents of juvenile and adult offending. In C. Newman, C.J. Liberton, K. Kutash, & R. Friedman, (Eds.), *19th Annual Research Conference for Children's Mental Health Proceedings: Expanding the Research Base*. Tampa, Florida: University of South Florida.

**Fisher, W.H., Grudzinskas, A.J., Roy-Bujnowski, K., Banks, S., & Clayfield, J.C.** (in press). Drug-related arrests in a cohort of mental health services recipients. *Psychiatric Services*.

**Geller, J.L.** (in press). Firesetting: A burning issue. In R. Kocsis (Ed.), *Criminal profiling and serial violent crimes*. Totowa, NJ: Humana Press.

**Grudzinskas, A.J. & Saleh, F.** (2007). Gun control and mental illness: The shootings at Virginia Tech bring the gun control lobby and the National Rifle Association together on an issue. *Worcester Medicine Magazine*, Worcester Medical Society.

Jones, D., Macias, L.R., Gold, P.B., Barriera, P., & **Fisher, W.H.** (in press). When parents with severe mental illness lose contact with their children: Are psychiatric symptoms or substance abuse to blame? *Journal of Trauma and Loss*.

Lawlor, T., **Grudzinskas, A.J., Geller, J.L., & Genovese, M.** (2007). A competency-based approach to managing violence with involuntary outpatient treatment. *Administration and Policy in Mental Health & Mental Health Policy Research*, 34(3), 315-318.

**Lidz, C., Banks, S., Simon, L., Schubert, C., & Mulvey, E.P.** (2006). Violence and mental illness: A new analytic approach. *Law and Human Behavior*, 31(1).

Monahan, J., Steadman, H.J., Appelbaum, P.S., **Grisso, T.**, Mulvey, E.P., Roth, L.H., Robbins, P.C., **Banks, S.**, & Silver, E. (2006). The classification of violence risk. *Behavioral Sciences and the Law*, 24(6):721-3.

Murrie, D.C., Marcus, D.K., Salekin, R., **Vincent, G.M.**, Douglas, K.S., & Lee, Z. (2007). Youth with psychopathy features are not a discrete class: A taxometric analysis. *Journal of Child Psychology and Psychiatry*, 48, 714-723.

Saleh, F.M., **Grudzinskas, A.J.**, Bradford, J., & Brodskey, D. (in press). *Sex offenders: A multi-disciplinary approach to risk assessment, treatment, and litigation*. New York, New York: Oxford University Press.

Spidel, A., **Vincent, G.**, Winters, J., Thomas, L., & Dutton D.G. (in press). Psychopathy: Subtyping perpetrators of domestic violence. In H. F. Herve & J. C. Yuille (Eds.), *Psychopathy: Theory, research, and implications for society*. New York, NY: Guilford Press.

**Vincent, G.M.** (2006). Psychopathy and violence risk assessment in youth. *Child Psychiatry Clinics of North America*, 15(2), 407-428.

**Vincent, G.M., Grisso, T., & Terry, A.** (in press). Mental health screening and assessment in juvenile. In C. Kessler (Ed.), *Mental health needs of young offenders*. Cambridge University Press.

**Vincent, G. M. & Hart, S.D.** (in press). Legal uses and assessment of psychopathy. In D. Faust & M. Ziskin (Eds.), *Ziskin's coping with psychiatric and psychological testimony*.

**Vincent, G.M., Maney, S., & Hart, S.D.** (in press). The use of actuarial risk assessment instruments with sex offenders. In F. Saleh, A. Grudzinskas, J. Bradford, & D. Brodsky (Eds.), *Sex offenders: A multi-disciplinary approach to risk assessment, treatment, and litigation*. New York, NY: Oxford University Press.

# **Features of the Environment (External Influences)**

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- **Grants/Contracts**

## AN OBSERVATIONAL DESCRIPTIVE STUDY OF IRB PRACTICES

<i>PI:</i>	Charles Lidz, Ph.D.
<i>Co-I:</i>	Paul Appelbaum, M.D.
<i>Personnel:</i>	Steven Banks, Ph.D., Suzanne Garverich, B.A., Jill Rosenbaum, B.A., Theresa Roach, M.A., Chris Jackson, M.A., Kim Trettel Smith, M.A., Norman Fost, M.D., & Kelly Fryer-Edwards
<i>Funding:</i>	NIH
<i>Budget:</i>	\$3,323,617
<i>Time Frame:</i>	06/01/2006 - 04/30/2011
<i>Project Status:</i>	Ongoing
<i>IRB Number:</i>	H-11767

This is a multi-site descriptive study of Institutional Review Board decision making at ten top academic medical research institutions across the nation.

## COMPETITION BETWEEN SCIENCE & CARE IN CLINICAL TRIALS

<i>PI:</i>	Charles Lidz, Ph.D.
<i>Co-I:</i>	Paul Appelbaum, M.D.
<i>Personnel:</i>	Lorna Simon, M.S. & Steven Banks, Ph.D.
<i>Funding:</i>	NINDS, R01
<i>Budget:</i>	\$680,728
<i>Time Frame:</i>	09/01/2004 - 08/31/2008
<i>Project Status:</i>	Ongoing
<i>IRB Number:</i>	H-10375

In implementing clinical trials, clinician researchers face two competing sets of expectations: that they will faithfully implement the research protocol, on which the validity of the research depends and provide the clinical care that "patients" deserve. The study examines the relationship between these two commitments in clinical trials.

## RESEARCH DECISION-MAKING IN MULTIPLE SCLEROSIS

<i>PI:</i>	Phillip Candilis, M.D.
<i>Funding:</i>	NIMH
<i>Time Frame:</i>	09/01/2005 - 08/31/2008
<i>Project Status:</i>	Ongoing

This study examines capacity assessment and conducts neuropsychological testing of MS subjects responding to educational cuing strategies.

- **Presentations**

## AN OBSERVATIONAL DESCRIPTIVE STUDY OF INSTITUTIONAL REVIEW BOARDS: REVIEW DYNAMICS

<i>Presenter:</i>	Chris Jackson, M.A.
<i>Time Frame:</i>	August 2006
<i>Forum:</i>	Group Processes Meeting 2007, New York, NY.
<i>Number Attended:</i>	100

**FAUX REFUSAL AND PSEUDO COOPERATION: CLINICAL QUAGMIRES OF ADDRESSING CONSENT, ASSENT, COOPERATION AND REFUSAL. WHEN, WHEN NOT & WHY**

**Presenter:** Jeffrey Geller, M.D., MPH  
**Time Frame:** April 2007  
**Forum:** University of Iowa/Carver College of Medicine Iowa Psychiatric Society, Ames, IO.  
**Number Attended:** 58

**OUTPATIENT COMMITMENT: A COMPETENCY-BASED JUSTIFICATION**

**Presenters:** Albert Grudzinskas, Jr., J.D., William Fisher, Ph.D., & Jonathan Clayfield, M.A.  
**Time Frame:** June 2007  
**Forum:** World Congress of Psychiatry, Dresden, Germany.  
**Number Attended:** 25

**THE AAPL ETHICS REVISION**

**Presenters:** Phillip Candilis, M.D., Kenneth Applebaum, M.D., Debra Pinals, P. Merideth, & H. Zonana  
**Time Frame:** October 2006  
**Forum:** AAPL national meetings, Chicago, IL.  
**Number Attended:** 30

• **Publications**

Appelbaum, P.S. & **Lidz, C.W.** (2006). Clinical ethics versus clinical research. *American Journal of Bioethics*, 6, 53-55.

**Candilis, P., Lidz, C.W.,** & Arnold, R. (2006). The need to understand IRB deliberations. *IRB: Ethics and Human Research*, 28(1), 1-5.

Gudjonsson, G. & **Grisso, T.** (in press). Legal competencies in relation to confession evidence. In A. Felthous and H. Sass (Eds.), *International handbook on psychopathic disorders and the law*. New York: Wiley.

LeBourgeois, H. W., Pinals, D. A., **Williams, V. F.**, & Appelbaum, P. S. (2007). Hindsight bias among psychiatrists. *Journal of the American Academy of Psychiatry and the Law*, 35, 67-73.

**Lidz, C.** (2006). Therapeutic misconception and our models of competency and informed consent. *Behavioral Sciences and the Law*, 24(4), 535-546.

Melnick, G., Wexler, H.K., Chaple, M., & **Banks, S.** (2006). The contribution of consensus within staff and client groups as well as concordance between staff and clients to treatment engagement. *Journal of Substance Abuse Treatment*, 31(3), 277-85.

# Forensic Services

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## Juvenile Justice & Mental Health

### • Grants and Contracts

#### ARREST PATTERNS AMONG YOUTH IN MENTAL HEALTH SYSTEMS

<i>PI:</i>	<b>Maryann Davis, Ph.D.</b>
<i>Co-I's:</i>	<b>Steven Banks, Ph.D., William Fisher, Ph.D., &amp; Albert Grudzinskas, Jr., J.D.</b>
<i>Personnel:</i>	<b>Bernice Gershenson, MPH, Thomas Grisso, Ph.D., Edward Mulvey, Ph.D., &amp; Daniel Nagin, Ph.D.</b>
<i>Funding:</i>	<b>NIMH/R01</b>
<i>Budget:</i>	<b>\$641,826</b>
<i>Time Frame:</i>	<b>03/01/2004 - 02/01/2007</b>
<i>Project Status:</i>	<b>Ongoing</b>
<i>IRB Number:</i>	<b>H-11166</b>

This project examines patterns of juvenile and adult criminal offending of youths identified as receiving services from the Massachusetts Department of Mental Health as children/adolescents and who “aged out” of that system.

#### DEVELOPING A PRACTICE GUIDE FOR JUVENILES' COMPETENCE TO STAND TRIAL

<i>PI:</i>	<b>Thomas Grisso, Ph.D.</b>
<i>Co-I:</i>	<b>Albert Grudzinskas, Jr., J.D.</b>
<i>Personnel:</i>	<b>Gina Vincent, Ph.D. &amp; Anna Terry, B.A.</b>
<i>Funding:</i>	<b>Temple University</b>
<i>Budget:</i>	<b>\$350,000</b>
<i>Time Frame:</i>	<b>10/01/2004 - 12/31/2006</b>
<i>Project Status:</i>	<b>Completed</b>

The goal of this project is to develop and disseminate a “state of the art” assessment system for performing evaluations of youths’ adjudicative competence. With the assistance of several local and national experts, we designed a “manualized” assessment procedure and have been using it to train juvenile court clinicians and lawyers across several regional training sessions organized by region. This project is expected to improve the quality of data for judicial decision-making, leading to improved mental health and juvenile justice procedures for juveniles with mental disorders or limited capacities.

#### ITEM RESPONSE THEORY AND EARLY PSYCHOLOGICAL SYMPTOMS

<i>PI:</i>	<b>Gina Vincent, Ph.D.</b>
<i>Co-I:</i>	<b>Thomas Grisso, Ph.D.</b>
<i>Funding:</i>	<b>NIMH R03</b>
<i>Budget:</i>	<b>\$79,500</b>
<i>Time Frame:</i>	<b>01/01/2005 - 12/01/2006</b>
<i>Project Status:</i>	<b>Completed</b>
<i>IRB Number:</i>	<b>H-11075</b>

This is a secondary data analysis of the MAYSI-2 National Norm study database. Item Response Theory will be used to determine whether there are gender or race-related biases in the measure of psychological disturbances among juveniles.

## **MODELS FOR CHANGE: MENTAL HEALTH SCREENING IN JUVENILE JUSTICE**

<b><i>PI:</i></b>	<b>Thomas Grisso, Ph.D.</b>
<b><i>Co-I's:</i></b>	<b>Gina Vincent, Ph.D. &amp; Valerie Williams, M.A., M.S.</b>
<b><i>Personnel:</i></b>	<b>Richard Rondeau, M.A. &amp; Anna Terry, B.A.</b>
<b><i>Funding:</i></b>	Temple University (from the John D. and Catherine T. MacArthur Foundation)
<b><i>Budget:</i></b>	\$185,000
<b><i>Time Frame:</i></b>	05/01/2007 - 04/30/2008
<b><i>Project Status:</i></b>	Ongoing

This grant funds the process of designing a research study to evaluate the effects of mental health screening programs when used in juvenile pretrial detention centers, and to develop a plan for creating a tool to screen for the risk of institutional violence when youth enter juvenile detention centers.

## **NATIONAL YOUTH SCREENING ASSISTANCE PROJECT**

<b><i>PI:</i></b>	<b>Thomas Grisso, Ph.D.</b>
<b><i>Personnel:</i></b>	<b>Gina Vincent, Ph.D., Valerie Williams, M.A., M.S., Shannon Maney, M.A. &amp; Anna Terry, B.A.</b>
<b><i>Funding:</i></b>	John D. and Catherine T. MacArthur Foundation
<b><i>Budget:</i></b>	\$975,000
<b><i>Time Frame:</i></b>	12/01/2005 - 11/30/2008
<b><i>Project Status:</i></b>	Ongoing

This project will continue nationwide assistance to juvenile justice systems in their application of the Massachusetts Youth Screening Instrument for screening mental health needs of youths entering juvenile justice facilities. In addition, this project provides focused and integrated assistance to the MacArthur Foundation's "Models of Change" initiative by developing and improving screening and assessment practices in each of the four states targeted by the Foundation's initiative. The project also provides technical assistance nationwide to states seeking to improve their policies and practices pertaining to youths' competence to stand trial.

## **RESISTANCE AND DESISTANCE OF ADJUDICATED YOUTH: A QUALITATIVE STUDY**

<b><i>PI:</i></b>	<b>Stephanie Hartwell, Ph.D.</b>
<b><i>Funding:</i></b>	Department of Youth Services
<b><i>Time Frame:</i></b>	01/01/2007 - 06/01/2008
<b><i>Project Status:</i></b>	Ongoing

The primary aim of this project is an analysis of previously adjudicated youth using semi-structured interviews with juvenile offenders and their case managers. The goal is to provide a comparison of the characteristics and experiences of youth who have been rearrested within a year of release and youth who have not been rearrested within one year of release. Descriptive data will be collected on the youths' experiences and perspectives and triangulated with corresponding interviews with their case managers.

## **RESISTANCE AND DESISTANCE TO RE-OFFENDING FOR ADJUDICATED YOUTH: A QUALITATIVE STUDY**

<b><i>PI:</i></b>	<b>Stephanie Hartwell, Ph.D.</b>
<b><i>Co-I:</i></b>	Robert McMacin & Robert Tansi
<b><i>Funding:</i></b>	Department of Youth Services

*Budget:* \$6,200  
*Time Frame:* 07/01/2007 - 06/30/2008  
*Project Status:* Funding pending

This project plans an analysis of previously adjudicated youth focusing on qualitative interviews with re-commitments (n=15) and youth who have not been recommitted within one year of release (n=15). We will be collecting narratives from the youths' perspective on why they re-offended or not, and triangulate this information with interviews with their case managers.

## **VALIDATING TOOLS FOR INSTITUTIONAL SAFETY FOR JUVENILE JUSTICE**

*PI:* Gina Vincent, Ph.D.  
*Co-PI:* Yvonne Sparling, Ph.D.  
*Co-I's:* Kenneth Fletcher, Ph.D. & Thomas Grisso, Ph.D.  
*Personnel:* Amy Seeherman, Ph.D.  
*Funding:* University of Massachusetts Internal Grant  
*Budget:* \$319,872  
*Time Frame:* 03/01/2008 – 02/28/2010  
*Project Status:* Funding Pending

A study designed to test the psychometric properties of the Institutional Safety Screen for Youth (ISSY) and Clinical Attitudes Battery (CAB) on a large sample of male and female juveniles detained in Massachusetts. The project is in collaboration with the MA Department of Youth Services and will include the SISI's prediction of institutional violence and the CAB's responsivity to measuring treatment progress.

- **Presentations**

### **ARREST PATTERNS AMONG YOUTH IN MENTAL HEALTH SYSTEMS**

*Presenters:* Maryann Davis, Ph.D., William Fisher, Ph.D., Thomas Grisso, Ph.D., & Albert Grudzinskas, Jr., J.D.  
*Time Frame:* September 2006  
*Forum:* Symposium for stakeholders who provide services to youth in the juvenile justice and social services delivery systems, Natick, MA.  
*Number Attended:* 120

### **CLINICAL EVALUATION FOR JUVENILES' COMPETENCE: A GUIDE FOR JUDGES & LAWYERS**

*Presenters:* Thomas Grisso, Ph.D. & Gina Vincent, Ph.D.  
*Time Frame:* September 2006  
*Forum:* MacArthur Foundation Research Network of Adolescent Development and Juvenile Justice, Washington, DC.  
*Number Attended:* 15

### **IMPACT OF MAYSI-2 MENTAL HEALTH SCREENING IN JUVENILE DETENTION**

*Presenters:* Valerie Williams, M.A., M.S. & Thomas Grisso, Ph.D.  
*Time Frame:* March 2007  
*Forum:* 20th Annual Research Conference - A System of Care for Children's Mental Health: Expanding the Research Base, Tampa, FL.  
*Number Attended:* 200

## **IMPACT OF MENTAL HEALTH SCREENING ON JUVENILE JUSTICE PROGRAMS**

**Presenters:** Valerie Williams, M.A., M.S. & Thomas Grisso, Ph.D.  
**Time Frame:** March 2007  
**Forum:** UMMS Academic and Health Policy Conference on Correctional Health, Quincy, MA.  
**Number Attended:** 40

## **JUVENILES ON SEX OFFENDER REGISTRIES: GOOD OR BAD IDEA?**

**Presenters:** G. Dwyer, M.D., Albert Grudzinskas, Jr., J.D., R. Frierson, M.D., & D. Laufersweiler-Dwyer, J.D.  
**Time Frame:** March 2007  
**Forum:** Academy of Criminal Justice Science, Seattle, WA.  
**Number Attended:** 70

## **MENTAL HEALTH & JUVENILE JUSTICE: USING SCREENING TO IDENTIFY YOUTHS WITH MENTAL DISORDERS**

**Presenters:** Gina Vincent, Ph.D. & Thomas Grisso, Ph.D.  
**Time Frame:** March 2007  
**Forum:** UMMS Academic and Health Policy Conference on Correctional Health, Quincy, MA.  
**Number Attended:** 40

## **MENTAL HEALTH PROBLEMS IN JUVENILE JUSTICE: MAYSI-2 NATIONAL NORM STUDY**

**Presenters:** Gina Vincent, Ph.D., Thomas Grisso, Ph.D., & Anna Terry, B.A.  
**Time Frame:** October 2006  
**Forum:** 53rd Annual Conference of the American Academy of Child & Adolescent Psychiatry, San Diego, CA.  
**Number Attended:** 30

## **MENTAL HEALTH SCREENING WITH THE MAYSI-2**

**Presenter:** Thomas Grisso, Ph.D.  
**Time Frame:** March 2007  
**Forum:** UMMS Academic and Health Policy Conference on Correctional Health, Quincy, MA.  
**Number Attended:** 40

## **MENTAL HEALTH SYSTEMS IN JUVENILE JUSTICE: RESULTS OF THE NATIONAL NORM STUDY FOR THE MAYSI-2**

**Presenters:** Gina Vincent, Ph.D., Thomas Grisso, Ph.D., Anna Terry, B.A., & Steven Banks, Ph.D.  
**Time Frame:** June 2007  
**Forum:** 30th International Congress on Law and Mental Health, Padua, Italy.  
**Number Attended:** 50

## **PSYCHOPATHY IN ADOLESCENT OFFENDERS, INVITED PRESENTATION AT YALE INSTITUTE OF LIVING**

**Presenter:** Gina Vincent, Ph.D.  
**Time Frame:** July 2006

*Forum:* Neuropsychiatry Research Center, Institute of Living, Yale University, New Haven, CT.

*Number Attended:* 15

- **Trainings**

**ADOLESCENT DEVELOPMENT & LEGAL ISSUES**

*Trainer:* Thomas Grisso, Ph.D.

*Time Frame:* January 2007

*Forum:* Mass Cont Legal Education, Boston, MA.

*Number Trained:* 125

**EVALUATIONS FOR JUVENILES' COMPETENCE TO STAND TRIAL**

*Trainer:* Thomas Grisso, Ph.D.

*Time Frame:* January 2007

*Forum:* AZ Superior Court Training for Certified Clinicians, Tucson, AZ.

*Number Trained:* 100

**JUVENILES' COMPETENCE TO STAND TRIAL**

*Trainer:* Thomas Grisso, Ph.D.

*Time Frame:* January 2007

*Forum:* Annual Training, Georgia Juvenile Court Judges, Savannah, GA.

*Number Trained:* 80

**JUVENILES' COMPETENCE TO STAND TRIAL**

*Trainer:* Thomas Grisso, Ph.D.

*Time Frame:* January 2007

*Forum:* Juvenile Judges Annual Training, Lenox, MA.

*Number Trained:* 60

**MAYSI-2 TRAINING**

*Trainer:* Valerie Williams, M.A., M.S.

*Time Frame:* 2 trainings; January 2007

*Forum:* Cook County Temporary Juvenile Detention Center, Chicago, IL.

*Number Trained:* 45

**MENTAL DISORDERS AMONG ADOLESCENT OFFENDERS**

*Trainer:* Thomas Grisso, Ph.D.

*Time Frame:* January 2007

*Forum:* Superior court of Pima Count, Pima County Juvenile Court Center, Tucson, AZ.

*Number Trained:* 50

**MENTAL HEALTH SCREENING & ASSESSMENT IN JUVENILE JUSTICE**

*Trainer:* Thomas Grisso, Ph.D.

*Time Frame:* January 2007

*Forum:* Louisiana Dept of Juvenile Justice, Baton Rouge, LA.

*Number Trained:* 100

## **MENTAL HEALTH SCREENING & ASSESSMENT IN JUVENILE JUSTICE**

**Trainer:** Thomas Grisso, Ph.D.

**Time Frame:** January 2007

**Forum:** Mental Health Partners, Northwestern University, Chicago, IL.

**Number Trained:** 100

### • **Publications**

Cauffman, E., Lexcen, F., Goldweber, A., Shulman, E., & **Grisso, T.** (in press). Gender differences in mental health symptoms among delinquent and community youths. *Youth Violence and Juvenile Justice*.

Edens, J. & **Vincent, G. M.** (in press). Juvenile psychopathy: A clinical construct in need of restraint. Invited article. *Journal of Forensic Psychology Practice*.

**Grisso, T.** (2006). Foreword. In S. Sparta & G. Koocher (Eds.), *Forensic mental health assessment of children and adolescents*. New York: Oxford University Press.

**Grisso, T.** (2007). Progress and perils in the juvenile justice and mental health movement. *Journal of the American Academy of Psychiatry and the Law*, 35, 158-167.

Poythress, N., Lexcen, F., **Grisso, T.**, & Steinberg, L. (2006). The competence-related abilities of adolescent defendants in criminal court. *The Juvenile and Family Court Journal*, 56(4), 9-20.

Viljoen, J. & **Grisso, T.** (in press). Prospects for remediating juveniles' adjudicative incompetence. *Psychology, Public Policy and Law*.

Viljoen, J., **Vincent, G. M.** & Roesch, R. (2006). Assessing juvenile adjudicative competence with the fitness interview test: Interrater reliability and factor structure. *Criminal Justice and Behavior*, 33, 467-487.

**Vincent, G.M., Grisso, T., & Terry, A.** (in press). Mental health screening and assessment of juvenile justice. In C. Kessler (Ed.), *Mental health needs of young offenders*. Cambridge University Press.

Weijers, I. & **Grisso, T.** (in press). Criminal responsibility of adolescents: Youth as a junior citizenship. In J. Junger-Tas & F. Dunkel (Eds.), *Reforming juvenile justice*. Springer Publishing Company.

# Forensic Services

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## Adult Criminal Justice & Mental Health

### • Grants and Contracts

#### THE BOSTON POLICE STUDY

<i>PI:</i>	Albert Grudzinskas, Jr. J.D.
<i>Co-I's:</i>	William Fisher, Ph.D. & Kenneth Fletcher, Ph.D.
<i>Personnel:</i>	Jonathan Clayfield, M.A., Kristen Roy-Bujnowski, M.A., Lorna Simon, M.S., James McDonald, Jr., & Hon. Maurice Richardson, J.D. (ret.)
<i>Funding:</i>	Sidney R. Baer, Jr. Foundation
<i>Budget:</i>	\$550,000
<i>Time Frame:</i>	10/01/2007 – 09/30/2010
<i>Project Status:</i>	Funding Pending

The project, to which we apply the umbrella term “Boston Police Study,” has two primary components. The first, which we term the “Boston Police Training Initiative” entails the development and manualization of a police training protocol for management of encounters with persons with mental illness and persons in crisis and implements it alongside the highly touted “Memphis Crisis Intervention Team” model in two comparable police districts in the City of Boston with a third district serving as a control. The second, which we term the “Boston Police Evaluation,” will compare outcomes of the two protocols on a range of attitudinal, dispositional, operational, systemic and human resource factors. Our ultimate goal is to expand the range of choices available to police departments wishing to enhance their capacity in this area, and to provide evaluative information to standardize the training and to guide such choices.

#### CENTER FOR MENTAL HEALTH SERVICES & CRIMINAL JUSTICE RESEARCH

<i>PI:</i>	William Fisher, Ph.D.
<i>Personnel:</i>	Albert Grudzinskas, Jr., J.D. & Jeffrey Draine, Ph.D.
<i>Funding:</i>	NIMH, PO1
<i>Budget:</i>	\$92,944
<i>Time Frame:</i>	09/01/2002 - 08/01/2007
<i>Project Status:</i>	Ongoing

This Developing Center brings together investigators from a diverse array of specialties, encompassing mental health services research, criminal justice/criminology, and law. Its purpose is to create a multi-disciplinary infrastructure for examining critical questions regarding the interface between the mental health and criminal justice systems at the individual, organizational and systemic levels.

#### FORENSIC TRAINING PROGRAM (BRIDGEWATER STATE HOSPITAL)

<i>PI:</i>	Maurice Richardson, Judge (ret.)
<i>Personnel:</i>	Albert Grudzinskas, Jr., J.D., Ira Packer, Ph.D., & Thomas Grisso, Ph.D.
<i>Funding:</i>	University of Massachusetts Correctional Health
<i>Time Frame:</i>	07/01/2006 - 06/30/2007
<i>Project Status:</i>	Completed

Provides funds for evaluator training and mental health legal updates for Bridgewater State Hospital clinicians.

### **HOME & HEALTHY FOR GOOD**

**PI:** William Fisher, Ph.D.  
**Personnel:** Lorna Simon, M.A. & Tom Brigham  
**Time Frame:** 1/1/2007 - 12/31/2007  
**Project Status:** Ongoing

This study evaluates a statewide, "housing first" initiative. The housing first perspective rejects the notion that individuals must be treatment compliant or sober before they are offered housing, and assumes that stable housing will not only enhance these individuals' likelihood of becoming more treatment compliant, but will also save the Commonwealth significant sums as a consequence of the tenants' reduced use of emergency medical, jail and other public entities.

### **MENTAL HEALTH ATTITUDE SURVEY FOR POLICE (MHASP)**

**PI:** Jonathan Clayfield, M.A.  
**Co-I's:** Albert Grudzinskas, Jr. J.D. & William Fisher, Ph.D.  
**Personnel:** Kenneth Fletcher, Ph.D. & Elizabeth Aaker, B.A.  
**Time Frame:** 07/01/2005 – 06/30/2008  
**Project Status:** Ongoing  
**IRB Number:** H-12071

This survey measures attitudes of police toward mentally ill persons (a.k.a. emotionally disturbed persons or EDPs in police terminology). The survey will be used as a pre and post measure to determine changes in attitude before and after crisis intervention and risk management trainings and help determine the effectiveness of these trainings.

### **UNIVERSITY OF MASSACHUSETTS POLICE DEPARTMENT**

**PI:** Albert Grudzinskas, Jr., J.D.  
**Co-I's:** Jonathan Clayfield, M.A.  
**Personnel:** James McDonald. Jr.  
**Time Frame:** 09/27/2006 - 09/27/2008  
**Project Status:** Ongoing

This contract is an ongoing partnership between the UMMS Police Department and CMHSR Law & Psychiatry Program to provide specialized training to the UMMS Police Department on issues of mental health, substance abuse, elder issues, juvenile issues, etc., every six months as part of their in-service training.

- **Presentations**

### **ARREST IN A MENTAL HEALTH SERVICE USE COHORT: IMPLICATIONS FOR DIVERSION & MENTAL HEALTH SERVICE NEEDS AMONG OFFENDERS WITH MENTAL ILLNESS IN THE U.S.**

**Presenters:** William Fisher, Ph.D., Albert Grudzinskas, Jr., J.D., Kristen Roy-Bujnowski, M.A., & Jonathan Clayfield, M.A.  
**Time Frame:** September 2006  
**Forum:** North London Forensic Service Ninth International Conference, Cambridge, United Kingdom.  
**Number Attended:** 50

## **REENTRY MODELS FOR INDIVIDUALS WITH PSYCHIATRIC DISABILITIES & THEIR COMMUNITIES**

**Presenter:** Stephanie Hartwell, Ph.D.

**Time Frame:** February 2007

**Forum:** Forensic Fellow Seminar Series, Worcester, MA.

**Number Attended:** 16

## **WHOM SHOULD WE DIVERT AND TO WHERE? LOOKING AT ARRESTS IN A 10-YEAR COHORT STUDY OF PERSONS WITH MENTAL ILLNESS**

**Presenters:** William Fisher, Ph.D., Albert Grudzinskas, Jr., J.D., Kristen Roy-Bujnowski, M.A., Jonathan Clayfield, M.A., & Steven Banks, Ph.D.

**Time Frame:** June 2007

**Forum:** World Psychiatric Association Thematic Conference, Dresden, Germany.

**Number Attended:** 50

### **• *Trainings***

#### **BOSTON MUNICIPAL COURT DEPARTMENT CENTRAL DIVISION MENTAL HEALTH INITIATIVE TRAINING FOR PROSECUTORS & DEFENSE COUNSEL**

**Trainers:** Albert Grudzinskas, Jr., J.D., Jonathan Clayfield, M.A., Maurice Richardson, Judge (ret), Gregg Belle, Ph.D., Doug Goldman, Ph.D., Chad E. Tillbrook, Ph.D., & Jodie Shapiro, Psy.D.

**Time Frame:** November 2006

**Forum:** John Adams Courthouse, Boston, MA.

**Number Trained:** 32

#### **FORENSIC TRAINING & RESEARCH, POST DOCTORAL PSYCHOLOGY & PSYCHIATRY FELLOWSHIP**

**Trainers:** Albert Grudzinskas, Jr., J.D., Thomas Grisso, Ph.D., Ira Packer, Ph.D., & Debra Pinals, M.D.

**Time Frame:** 52 trainings; ongoing

**Forum:** Worcester State Hospital, Worcester, MA.

**Number Trained:** 9

**DMH Involvement:** DMH Co-trainers

#### **LANDMARK CASES SEMINAR**

**Trainers:** Albert Grudzinskas, Jr., J.D., Thomas Grisso, Ph.D., Ira Packer, Ph.D., & Debra Pinals, M.D.

**Time Frame:** 52 trainings; ongoing

**Forum:** UMMS, Worcester, MA.

**Number Trained:** 9

**DMH Involvement:** DMH Co-trainers

#### **MEASURING POLICE ATTITUDES TOWARD PERSON WITH MENTAL ILLNESS TO INFORM FIRST RESPONDER TRAINING**

**Presenters:** Albert Grudzinskas, Jr., J.D., Jonathan Clayfield, M.A., Kenneth Fletcher, Ph.D., William Fisher, Ph.D., & Elizabeth Aaker, B.A.

**Time Frame:** February 2007

**Forum:** National Association of State Mental Health Program Directors Research Institute Conference, Baltimore, MD.  
**Number Attended:** 70

**MEASURING POLICE ATTITUDES TOWARD PERSONS WITH MENTAL ILLNESS TO INFORM FIRST RESPONDER TRAINING**

**Presenters:** **Jonathan Clayfield, M.A., Kenneth Fletcher, Ph.D., William Fisher, Ph.D., & Albert Grudzinskas, Jr., J.D.**  
**Time Frame:** March 2007  
**Forum:** NASMHPD Research Institute's 17th Annual Conference, Washington, DC.  
**Number Attended:** 50

**MENTAL HEALTH TRAINING FOR PERSONNEL OF THE BOSTON MUNICIPAL COURT DEPARTMENT**

**Trainers:** **Albert Grudzinskas, Jr., J.D., Jonathan Clayfield, M.A., Maurice Richardson, Judge (ret), Doug Goldman, Ph.D., Chad E. Tillbrook, Ph.D., Jodie Shapiro, Psy.D., & Jeffrey Miner**  
**Time Frame:** October 2006  
**Forum:** Edward W. Brooke Courthouse, Boston, MA.  
**Number Trained:** 32

**OVERVIEW OF THE CRIMINAL JUSTICE SYSTEM: COMMUNICATION AND PRIVACY ISSUES**

**Trainer:** **Albert Grudzinskas, Jr., J.D.**  
**Time Frame:** December 2006  
**Forum:** Worcester State Hospital Chapel, Worcester, MA.  
**Number Trained:** 100

**OVERVIEW OF THE CRIMINAL JUSTICE SYSTEM: COMMUNICATION AND PRIVACY ISSUES**

**Trainer:** **Albert Grudzinskas, Jr., J.D.**  
**Time Frame:** December 2006  
**Forum:** Northampton DMH Area Office, Northampton, MA.  
**Number Trained:** 62

**SIGNS & SYMPTOMS OF MENTAL ILLNESS AND APPLIED RISK MANAGEMENT TRAINING**

**Trainers:** **Albert Grudzinskas, Jr., J.D., Jonathan Clayfield, M.A., & Chad E. Tillbrook, Ph.D.**  
**Time Frame:** 3 trainings; September 2006  
**Forum:** University of Massachusetts Police Department, Worcester, MA.  
**Number Trained:** 17

**SIGNS & SYMPTOMS OF MENTAL ILLNESS AND APPLIED RISK MANAGEMENT**

**Trainers:** **Albert Grudzinskas, Jr., J.D., Jonathan Clayfield, M.A., Maurice Richardson, Judge (ret), James McDonald, Andrea Hall, L.I.C.S.W., Peter Evers, L.I.C.S.W., & Sidney Gelb**

**Time Frame:** 7 trainings; January 2007  
**Forum:** Brookline Police Department, Brookline, MA.  
**Number Trained:** 129

### **WORKING WITH EMOTIONALLY DISTURBED PERSONS (EDPS): CRISIS INTERVENTION, RISK MANAGEMENT & CONFLICT RESOLUTION**

**Trainers:** **Albert Grudzinskas, Jr., J.D., Jonathan Clayfield, M.A., Maurice Richardson, Judge (ret), Kristen Roy-Bujnowski, M.A., & James McDonald**

**Time Frame:** September 2006  
**Forum:** Worcester Police Academy, Worcester, MA.  
**Number Trained:** 35

### **• Publications**

**Candilis, P. & Layde, J.** (2007). Professional development in forensic psychiatry. *Academic Psychiatry, 31*(2), 110-111.

**Fisher, W.H. & Drake, R.E.** (2007). Forensic mental illness and other policy misadventures. Commentary on "Extending Assertive Community Treatment to criminal justice settings: Origins, current evidence and future directions." *Community Mental Health Journal, 43*, 545-548.

**Fisher, W.H., Roy-Bujnowski, K., Grudzinskas, A.J., Clayfield, J.C., Banks, S.M., & Wolff, N.** (in press). Arrest in a mental health service use cohort. *Psychiatric Services*.

**Fisher, W.H., Roy-Bujnowski, K., Grudzinskas, A.J., Clayfield, J.C., Banks, S.M., & Wolff, N.** (2006). Patterns and prevalence of arrest in a statewide cohort of mental health care consumers. *Psychiatric Services, 57*(11), 1623-28.

**Geller, J., Fisher, W., Grudzinskas, Jr., A., Clayfield, J. & Lawlor, T.** (2006). Involuntary outpatient treatment as "Deinstitutionalized Coercion": The net-widening concerns. *International Journal of Law & Psychiatry, 29*(6), 551-562.

**Grudzinskas, A.J.,** Editor (2007) Clinical aspects of mental illness and treatment: *Attorneys' handbook. Annual resource guide for Committee for Public Counsel Services and the University of Massachusetts Medical School's Law and Psychiatry Program*.

**Grudzinskas, A.J.,** Brodsky, D.J., & **Clayfield, J.C.** (2007). Evidentiary considerations and trial related issues. In F.M. Saleh, **A.J. Grudzinskas, Jr., J.M. Bradford, & D.J. Brodsky** (Eds). *Sex offenders: Identification, risk assessment, treatment, and legal issues*. New York, NY: Oxford University Press.

**Hartwell, S.W.** (in press). Homelessness and crack use: A case study in historical, environmental and social context. In R. H. McNamara (Ed.), *Homeless in America*. Westport CT: Greenwood Publishing Group.

**Hartwell, S.W.** (2007). More of a danger to myself: Community re-entry of dually diagnosed females involved in the criminal justice system. In T. Anderson (Ed.), *Neither*

*villains nor victims: Toward an empowered approach to women and drugs.* Philadelphia, PA: Rutgers University Press.

**Hartwell, S.W.** & Orr, K. (2007). Reentry needs of female mentally ill offenders. In R. Gidio & P. Hall (Eds.), *The mental health needs of women in the criminal justice system*.

McKendrick, K., Sullivan, C.J., **Banks, S.**, & Sacks, S. (2006). Modified therapeutic community treatment for offenders with MICA disorders: Antisocial personality disorder and treatment outcomes. *Journal of Offender Rehabilitation*, 44(2/3), 133-159.

Saleh, F.M., Dwyer, R.G., & **Grudzinskas, A.J.** (2006). An integrated look at dually diagnosed female sex offenders and the law. *Journal of Dual Diagnosis*, 3(1), 23-32.

Viljoen, J., Odgers, C., **Grisso, T.**, & Tillbrook, C. (in press). Teaching adolescents and adults about adjudicative proceedings: A comparison of pre- and post-teaching scores on the MacCAT-CA. *Law and Human Behavior*.

Zapf, P. & **Grisso, T.** (in press). Use and misuse of forensic assessment instruments. In D. Faust (ed.), *Coping with psychiatric and psychological testimony (6th edition)*. New York: Oxford.

# **Child, Adolescent & Family Mental Health**

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## **• Grants and Contracts**

### **FAMILY CARE MANAGEMENT FOR PARENTS WITH MENTAL ILLNESS & THEIR CHILDREN**

<i>PI:</i>	Joanne Nicholson, Ph.D.
<i>Co-PI:</i>	Kathleen Biebel, Ph.D.
<i>Co-I's:</i>	Steven Banks, Ph.D. & Valerie Williams, M.A., M.S.
<i>Personnel:</i>	Karen Albert, M.S., Bernice Gershenson, M.P.H., Katherine Woolsey, B.A., & Elizabeth Aaker, B.A.
<i>Funding:</i>	AstraZeneca
<i>Budget:</i>	\$1,930,077
<i>Time Frame:</i>	09/01/2005 - 12/31/2007
<i>Project Status:</i>	Ongoing
<i>IRB Number:</i>	H-11837

The objective of this project is to test an innovative model of family care management for families living with parental mental illness, and to study the process of implementation at the agency and community levels.

### **NETWORK ANALYSIS OF PARTNERSHIPS FOR YOUTH TRANSITION**

<i>PI:</i>	Maryann Davis, Ph.D.
<i>Funding:</i>	SAMHSA/CMHS
<i>Budget:</i>	\$5,004
<i>Time Frame:</i>	01/01/2006 - 09/30/2006
<i>Project Status:</i>	Completed
<i>IRB Number:</i>	H-11048

This contract funds the submission for OMB approval for a transition grant site network analysis project to be conducted in the Fall of 2006.

### **NETWORK ANALYSIS OF TRANSITION SYSTEM CHANGE**

<i>PI:</i>	Maryann Davis, Ph.D.
<i>Co-I:</i>	Matthew Johnsen, Ph.D.
<i>Personnel:</i>	Charles Lidz, Ph.D.
<i>Funding:</i>	SAMHSA/CMHS
<i>Budget:</i>	\$58,004
<i>Time Frame:</i>	01/01/2006 - 01/01/2008
<i>Project Status:</i>	Ongoing

This follow-up study, using a methodology known as Network Analysis, describes relationships between organizations, and measures change between organizations that serve transition age youth in a site that received CMHS funding to improve the transition system.

### **PREVENTING HOMELESSNESS IN THE DOUBLED-UP POPULATION**

<i>PI:</i>	Linda Weinreb, M.D.
<i>Co-I:</i>	Joanne Nicholson, Ph.D.
<i>Funding:</i>	Tufts Foundation
<i>Budget:</i>	\$180,000
<i>Time Frame:</i>	01/01/2004 - 12/31/2007
<i>Project Status:</i>	Completed

Grant provides support for services at Family Health Center, Inc. in Worcester for homeless mothers and children who are doubled-up living with friends or relatives.

## **TRANSFORMING THE CHILD WELFARE SYSTEM TO IMPROVE OUTCOMES FOR CHILDREN & YOUTH WHOSE PARENTS HAVE MENTAL ILLNESS**

*PI:* Joanne Nicholson, Ph.D.

*Funding:* WT Grant Foundation

*Budget:* \$224,259

*Time Frame:* 07/01/2005 - 09/30/2007

*Project Status:* Ongoing

Fellowship objectives are: (1) to learn about internal strategies of a policy-implementing organization (MA/DSS) for system transformation; and (2) to learn about external strategies for stimulating system change, as facilitated by the Bazelon Center for Mental Health Law.

- **Presentations**

### **BRIDGING SYSTEMS & STAKEHOLDER GROUPS FOR FAMILIES LIVING WITH PARENTAL MENTAL ILLNESS**

*Presenter:* Joanne Nicholson, Ph.D.

*Time Frame:* March 2007

*Forum:* The Mental Health Network, Perth, Australia.

*Number Attended:* 20

### **COMMUNICATING WITH CHILDREN ABOUT PARENTAL MENTAL ILLNESS**

*Presenter:* Joanne Nicholson, Ph.D.

*Time Frame:* November 2006

*Forum:* Cenzor, GGz, Groningen, the Netherlands.

*Number Attended:* 50

### **COPING WITH PARENTAL MENTAL ILLNESS**

*Presenter:* Joanne Nicholson, Ph.D.

*Time Frame:* March 2007

*Forum:* Rockingham Kwinana Mental Health, Rockingham, Australia.

*Number Attended:* 50

### **COPING WITH PARENTAL MENTAL ILLNESS**

*Presenter:* Joanne Nicholson, Ph.D.

*Time Frame:* March 2007

*Forum:* TVW Telethon Institute of Child Health, Subiaco, Australia.

*Number Attended:* 150

### **THE EXPERIENCES & NEEDS OF PARENTS WITH MENTAL ILLNESS: CREATING OPTIONS FOR PARENTS WITH MENTAL ILLNESS**

*Presenter:* Joanne Nicholson, Ph.D.

*Time Frame:* November 2006

*Forum:* The Academie voor Sociale Studies, Hanzehogeschool, Groningen, the Netherlands.

*Number Attended:* 100

### **FAMILIES LIVING WITH PARENTAL MENTAL ILLNESS**

*Presenter:* **Joanne Nicholson, Ph.D.**

*Time Frame:* March 2007

*Forum:* Ruah Community Services, Perth, Australia.

*Number Attended:* 25

### **IMPLEMENTATION CHALLENGES IN WRAPPING INTERVENTIONS**

#### **AROUND FAMILIES LIVING WITH PARENTAL MENTAL ILLNESS**

*Presenters:* Beth R. Hinden, Ph.D., **Joanne Nicholson, Ph.D.**, & Joan Mikula

*Time Frame:* March 2007

*Forum:* 20th Annual Research Conference. A System of Care for Children's Mental Health: Expanding the Research Base, Tampa, FL.

*Number Attended:* 40

### **INNOVATION IN ACTION: THE MASSACHUSETTS RESPONSE TO PARENTS WITH MENTAL ILLNESS**

*Presenters:* **Kathleen Biebel, Ph.D.**, Toni Wolf, & Sharon Durgess

*Time Frame:* January 2007

*Forum:* DMH Statewide Commissioner's Meeting, Tauton, MA.

*Number Attended:* 100

### **OUTCOME MEASURES FOR COPMI PROGRAMS**

*Presenter:* **Joanne Nicholson, Ph.D.**

*Time Frame:* March 2007

*Forum:* Children of Parents with Mental Illness Technical Workshop, Sydney, Australia.

*Number Attended:* 50

### **THE PARENTING ROLE OF ADULTS WITH MENTAL ILLNESS: RECOGNIZING & SUPPORTING PARENTS' ABILITIES**

*Presenter:* **Joanne Nicholson, Ph.D.**

*Time Frame:* November 2006

*Forum:* Ouderschap en psychiatrische problematiek, Amersfoort, the Netherlands.

*Number Attended:* 200

### **PARENTS WITH MENTAL ILLNESS: OPPORTUNITIES FOR SUPPORT**

*Presenter:* **Kathleen Biebel, Ph.D.**

*Time Frame:* November 2006

*Forum:* Representing Children and Parents with Mental Illness West of Worcester Conference, Mental Health Legal Advisors Committee, Springfield, MA

*Number Attended:* 75

### **PROMOTING CHILD WELL-BEING WHEN PARENTS HAVE MENTAL ILLNESS**

*Presenter:* **Joanne Nicholson, Ph.D.**

*Time Frame:* May 2007

*Forum:* Boston Medical Center, Department of Pediatrics, Boston, MA.

*Number Attended:* 75

## **STRATEGIES TO MAXIMIZE MEDICAID WITHIN SYSTEMS OF CARE**

**Presenters:** Judith Katz-Leavy, M.Ed., **Kathleen Biebel, Ph.D.**, Rich Weisgal, M.A., & Jane Kallal  
**Time Frame:** July 2006  
**Forum:** 2006 National Technical Assistance Center for Children's Mental Health Training Institutes, Orlando, FL.  
**Number Attended:** 150

## **SUPPORTING PARENTS WITH A SERIOUS MENTAL ILLNESS & THEIR FAMILIES: FAMILY OPTIONS**

**Presenters:** **Kathleen Biebel, Ph.D.** & Betsy Hinden, Ph.D.  
**Time Frame:** September 2006  
**Forum:** UPENN Collaborative on Community Integration National State of the Knowledge Conference on Increasing Community Integration of Individuals with Psychiatric Disabilities, Philadelphia, PA.  
**Number Attended:** 40

## **USA PERSPECTIVE--COPMI EVALUATION**

**Presenter:** **Joanne Nicholson, Ph.D.**  
**Time Frame:** March 2007  
**Forum:** Conference on Evaluation Strategies and Procedures for Children of Parents with Mental Illness, Sydney, Australia.  
**Number Attended:** 100

## **WORKING WITH PARENTS WITH MENTAL ILLNESS & THEIR FAMILIES**

**Presenter:** **Joanne Nicholson, Ph.D.**  
**Time Frame:** November 2006  
**Forum:** The Organization for Sheltered Accommodations, Apeldoorn, the Netherlands.  
**Number Attended:** 150

### **• Publications**

**Davis, M.** (in press). On your own without a net: The transition to adulthood for vulnerable populations. *Psychiatric Services*.

**Davis, M.** & Koroloff, N. (in press). The great divide: How public mental health policy fails young adults. In W.H. Fisher (Ed.), *Community based mental health services for children and adolescents*. Vol. 13. Oxford, UK: Elsevier Sciences.

**Fisher, W.H.** (Ed.) (2006). Research on community based mental health services for children and adolescents. *Research in community and mental health* Vol. 14, Oxford, UK. Elsevier Sciences.

Monsalve, M.H., **Nicholson, J.**, Mas, J., Rullas, M., & Del Alamo, C. (2006) Parenting in women and men suffering schizophrenic disorders and other mental illness. *Acta Psychiatrica Scandinavica*, 114 Suppl., 431, 24.

**Nicholson, J.**, Cooper, J., Freed, R., & Isaacs, M. (in press). Children of parents with mental illness. In Blau, G. & Gullotta, T. (Eds.) *Handbook of child behavioral issues: Evidence-based approaches*.

**Nicholson, J.**, Hinden, B., **Biebel, K.**, Henry, A., & Katz-Leavy, J. (2007). A qualitative study of programs for parents with serious mental illness and their children: Building practice-based evidence. *The Journal of Behavioral Health Services and Research*, 34(4), 395-413.

**Nicholson, J.** & Miller, L.J. (in press) Parenting with schizophrenia. In Mueser, K. & Jeste, D.V. (Eds.), *The clinical handbook of schizophrenia*. New York: Guilford Press.

Wagner, M. & **Davis, M.** (2006). How are we preparing students with emotional disturbances for the transition to young adulthood? Findings from the National Longitudinal Transition Study-2. *Journal of Emotional and Behavioral Disorders*, 14, 86-98.

Weinreb, L., **Nicholson, J.**, & **Williams, V.** (2007). Integrating services for homeless mothers and their children into the primary care setting. *American Journal of Orthopsychiatry*, 77(1), 142-152.

# Integration of Care, Trauma & Medical Treatment

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- **Grants/Contracts**

## PRENATAL EMOTIONAL HEALTH SCREENING (PEHS) FOR WOMEN IN CENTRAL MASSACHUSETTS

*PI:* Rebecca Lundquist, M.D.  
*Co-I:* Kenneth Fletcher, Ph.D.  
*Personnel:* Tara Zandi, B.S.  
*Funding:* Commonwealth Medicine Internal Grants Initiative  
*Budget:* \$50,000  
*Time Frame:* 09/01/2006 - 06/30/2007  
*Project Status:* Ongoing

This study will implement a two-level Prenatal Emotional Health Screening (PEHS) in two Worcester-area OB/GYN clinics serving low-income, underserved women. The PEHS will consist of a standardized screening and subsequent referral for pre and post-natal depression, anxiety, trauma related symptoms, general mental health distress, and biological risk factors for pregnancy and birth related mental health problems. In addition to offering needed health services, we anticipate this study will also contribute to the general knowledge base about the rates of co-occurring mental health disorders, and non-depressive mental health disorders, in this population.

- **DMH Funded Projects**

## DMH Mortality Report 2002-2005

*PI:* Mary Ellen Foti, M.D.  
*Personnel:* Kristen Roy-Bujnowski, M.A. & Catherine Fullerton, M.D., MPH,  
*State Agencies:* DMH, DPH, Chief Medical Examiner's Office  
*Time Frame:* 08/01/2005 – 03/01/08  
*Project Status:* Ongoing

Investigators are analyzing DMH client mortality data for the period of 2002-2005 to create a new report with data comparable to previous DMH mortality reports.

- **Presentations**

## A STUDY TO DETERMINE THE EFFECTIVENESS OF PRENATAL EMOTIONAL HEALTH SCREENING

*Presenters:* Rebecca Lundquist, M.D., Tara Zandi, B.S., Kenneth Fletcher, Ph.D., & Jennifer Taub, Ph.D.  
*Time Frame:* May 2007  
*Forum:* Emory University School of Medicine, Atlanta, GA.  
*Number Attended:* 200

## PARENT AND CHILD PERCEPTIONS OF A DISTRESS REDUCTION PROGRAM FOR ONCOLOGY PATIENTS

*Presenters:* Martin Young, Ph.D. & Kenneth Fletcher, Ph.D.  
*Time Frame:* October 2006

*Forum:* Kansas Conference in Clinical Child and Adolescent Psychology,  
Kansas City, KS.

*Number Attended:* 2500

**PSYCHOMETRICS OF THE DIMENSIONS OF STRESSFUL EVENTS (DOSE)  
SCALE FOR CHILDREN EXPOSED TO VIOLENCE**

*Presenters:* Kenneth Fletcher, Ph.D., J.C. Spilsbury, Ph.D., R. Creedon, M.S.W.,  
& S. Friedman, Ph.D.

*Time Frame:* November 2007

*Forum:* 22nd Annual Conference of the International Society for Traumatic  
Stress Studies, Hollywood, CA.

*Number Attended:* 1000

# **Mental Health Services Use**

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- Grants and Contracts**

## **MASSACHUSETTS MENTAL HEALTH DIVERSION & INTEGRATION PROGRAM**

*PI:* Albert Grudzinskas, Jr., J.D.  
*Personnel:* Jonathan Clayfield, M.A., Maurice Richardson, Judge (ret), William Fisher, Ph.D., & Kristen Roy-Bujnowski, M.A.  
*Funding:* Jessie B. Cox Charitable Trust  
*Budget:* \$80,000  
*Time Frame:* 04/01/2006 - 03/31/2007  
*Project Status:* Ongoing

Funding for a full-time case management position for our downtown Boston Crisis Triage Unit (CTU) initiative. The CTU case management position will provide outreach, coordination, and connection to needed care for persons triaged at the CTU. Part of this funding will pay for the evaluation of this CTU case management position.

- DMH Funded Projects**

## **PREADMISSION SCREENING & RESIDENT REVIEW (PASRR)**

*PI:* Mary Ellen Foti, M.D.  
*Personnel:* Kristen Roy-Bujnowski, M.A., Ted Chemlow, Darlene O'Connor, & Richard Beaman  
*State Agencies:* DMH, DPH  
*Time Frame:* 05/01/2005 – 06/27/2007  
*Project Status:* Completed

This group is working to implement the Community First initiative in which DPH, Mass Elders Affairs and DMH are looking to find common clients, profile them, and develop care plans.

## **STATE PHARMACY REPORT ANALYSIS**

*PI:* Mary Ellen Foti, M.D.  
*Personnel:* Kristen Roy-Bujnowski, M.A.  
*State Agencies:* DMH  
*Time Frame:* 01/01/2005 - 11/01/2007  
*Project Status:* Completed

Monthly analysis of state hospitals' pharmacy reports regarding usage of medications and their costs.

- Presentations**

## **MENTAL HEALTH SERVICES & PATTERNS OF ARREST: IMPLICATIONS FOR PLANNING DIVERSION SERVICES**

*Presenters:* William Fisher, Ph.D., Kristen Roy-Bujnowski, M.A., Albert Grudzinskas, Jr., J.D., Jonathan Clayfield, M.A., & Steven Banks, Ph.D.

**Time Frame:** November 2006  
**Forum:** Annual Meeting of the American Public Health Association, Boston, MA.  
**Number Attended:** 60

**SOFT VOICES CAN SPEAK LOUDLY: LISTENING TO PSYCHIATRIC PATIENTS TO AVOID ADVERSE & COSTLY OUTCOMES**

**Presenter:** Jeffrey Geller, M.D., MPH  
**Time Frame:** March 2007  
**Forum:** Mental Health in Development, World Psychiatric Association, Nairobi, Kenya.  
**Number Attended:** 42

• **Publications**

**Fisher, W.H.** (2007). Review of better but not well: Mental health policy since 1950 by R.A Frank and C. Gleid. *Psychiatric Services*, 58, 881.

**Fisher, W.H., Silver, E., & Wolff, N.** (in press). Beyond criminalization: Toward a criminologically informed mental health policy and services research. *Mental Health Services Research*.

**Geller, J.L.** (2006). Avoiding extinction: Successful private psychiatric hospitals in the opening decade of the twenty-first century. *Psychiatric Quarterly*, 77, 189-201.

**Geller, J. & Biebel, K.** (2006). The premature demise of public child and adolescent inpatient psychiatric beds. Part I: Overview and current conditions. *Psychiatric Quarterly*, 77(3), 251-271.

**Geller, J. & Biebel, K.** (2006). The premature demise of public child and adolescent inpatient psychiatric beds. Part II: Challenges and implications. *Psychiatric Quarterly*, 77(4), 273-291

**Vincent, G.M., Grisso, T., & Terry, A.** (in press). Mental health screening and assessment in juvenile justice. In C. Kessler (Ed.), *Mental Health needs of young offenders*. Cambridge, UK: Cambridge University Press.

## Promotion of Healthy Lifestyles

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- **Publications**

**Fletcher, K.E.**, Clemow, L., Lemon, S.C., Peterson, B.A., Estabrook, B., & Zapka, J.G. (2006). A path analysis of factors associated with distress among first-degree female relatives of women with breast cancer diagnosis. *Health Psychology, 25*, 413-424.

**Grisso, T.** & Appelbaum, P. (2006). Appreciating anorexia: Decisional capacity and the role of values. *Philosophy, Psychology and Psychiatry, 13*, 293-297

Lemon, S.C., Zapka, J.G., Clemow, L., Estabrook, B., & **Fletcher, K.** (2006). Mammography screening after breast cancer diagnosis in a first degree female relative: Age group differences. *Cancer Causes and Control, 17*, 1053-1065.

Pandiani, J.A., Boyd, M.M., **Banks, S.M.**, & Johnson, A.T. (2006). Elevated cancer incidence among adults with serious mental illness. *Psychiatric Services, 57*(7), 1032-4.

Pbert, L., **Fletcher, K.E.**, Flint, A.J., Young, M.H., Druker, S., & DiFranza, J. (2006). Smoking prevention and cessation intervention delivery by pediatric providers as assessed by patient exit interviews. *Pediatrics, 118*, 810-824.

# Racial & Ethnic Disparities

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- **Grants and Contracts**

## HISPANIC LOW INCOME PARENTS' ATTITUDES TOWARD TREATMENT OF ATTENTION-DEFICIT HYPERACTIVITY DISORDER IN A COMMUNITY HEALTH CENTER

*PI:* Kenneth Fletcher, Ph.D.

*Funding:* Commonwealth Medicine

*Budget:* \$38,806

*Time Frame:* 07/01/2006 - 12/31/2007

*Project Status:* Completed

This pilot study will offer a systematic analysis of potential differences in parental attitudes by Hispanic parents in a low income urban setting. It will also shed light on the usefulness of a survey tool that has been utilized with Caucasian and African American populations but not Latinos. The qualitative interpretative aspects of the research will suggest potential venues for developing guidelines to work collaboratively with Hispanic parents in the case of ADHD treatment. It is anticipated that further comparative studies and the testing of these guidelines with randomized samples will be designed after the conclusion of this study.

- **Publications**

**Candilis, P.** (in press). The MacArthur competence Assessment Tool for Treatment (Mac-CAT-T). In B. Cutler (Ed.) *Encyclopedia of psychology and law*. Sage Publishing.

**Candilis, P.**, Geppert, C., **Fletcher, K.**, **Lidz, C.**, & Applebaum, P. (2006) Willingness of subjects with thought disorder to participate in research. *Schizophrenia Bulletin*, 32(1), 159-165.

Dunn, L.B., **Candilis, P.**, & Roberts, L.W. (2006). Emerging empirical evidence on the ethics of schizophrenia research. *Schizophrenia Bulletin*, 32(1), 47-68.

**Kim, S.S., Ziedonis, D., & Chen, K.W.** (2007). Tobacco use and dependence in Asian Americans: A review of the literature. *Nicotine & Tobacco Research*, 9, 169-184.

# **Rehabilitation & Recovery**

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- Grants and Contracts**

## **MASSACHUSETTS CLUBHOUSE COALITION EMPLOYMENT EXPANSION PROJECT**

*PI:* Colleen McKay, M.A., C.A.G.S.  
*Funding:* Massachusetts Clubhouse Coalition  
*Budget:* \$32,000  
*Time Frame:* 07/01/2005 - 06/30/2008  
*Project Status:* Ongoing  
*IRB Number:* H-11915

The goals of the Massachusetts Clubhouse Coalition (MCC) Employment Expansion Project are to expand employment opportunities for clubhouse members in Massachusetts and in at least five other states, and to build the capacity of the MCC as an organization.

- Presentations**

## **ASSISTED OUTPATIENT TREATMENT: A PSYCHIATRIST'S PERSPECTIVE**

*Presenter:* Jeffrey Geller, M.D., M.P.H.  
*Time Frame:* June 2007  
*Forum:* National Alliance on Mental Illness Annual Meeting, San Diego, CA.  
*Number Attended:* 50

## **CONCEPTUALIZING ACCESS TO PSYCHIATRIC CARE: DOD AND VA**

*Presenter:* Jeffrey Geller, M.D., M.P.H.  
*Time Frame:* May 2007  
*Forum:* APA Annual Meeting, San Diego, CA.  
*Number Attended:* 60

## **HEARING THEIR VOICES: A RESIDENT'S FIRST PSYCHOTHERAPY CASE THREE DECADES LATER**

*Presenter:* Jeffrey Geller, M.D., M.P.H.  
*Time Frame:* January 2007  
*Forum:* University of Vermont College of Medicine, Department of Psychiatry Grand Rounds, Burlington, VT.  
*Number Attended:* 39

## **THE CATIE STUDY: USE, MISUSE, & ABUSE**

*Presenters:* Phillip Candalis, M.D., G. Glancy, N. Kaye, & H.S. Levine  
*Time Frame:* October 2006  
*Forum:* AAPL national meetings, Chicago, IL.  
*Number Attended:* 50

- **Publications**

**Fisher, W. H.** (2006). Book Review: Everything I'm cracked up to be: A rock & roll fairy tale. *Psychiatric Services*, 57, 748-749.

**Geller, J.L.** (2007). Book Review: Clinical manual of impulse control disorders. *American Journal of Psychiatry*, 164, 352.

**Geller, J.L.** (2007). Book Review: Madhouse: A tragic tale of megalomania and modern medicine. *Psychiatric Services*. 57, 1054-1055.

**Geller, J.L.** (2007). Invisible on judgment day. *Psychiatric Services*, 58, 177-178.

Henry, A. D., Hooven, F., Hashemi, L., **Banks, S.**, Clark, R., & Himmelstein, J. (2006). Disabling conditions and work outcomes among enrollees in a Medicaid buy-in program. *Journal of Vocational Rehabilitation*, 25(2), 107-117.

# Service Delivery Models

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- **Grants and Contracts**

## FAMILY NETWORKS IMPLEMENTATION STUDY

*PI:* Joanne Nicholson, Ph.D.  
*Personnel:* Nicole Dube, M.A., Jodi Adams, M.A., & Susan Maciolek, M.P.P.  
*Funding:* EOHHS/Commonwealth Medicine  
*Budget:* \$610,000  
*Time Frame:* 01/01/2007 - 12/31/2008  
*Project Status:* Ongoing  
*IRB Number:* H-12498

This is an implementation study of MA/DSS Family Networks, a \$320 million systems of care initiative in 29 MA/DSS areas in the Commonwealth.

- **DMH Funded Projects**

## INTERAGENCY TASKFORCE ON CHRONIC HOMELESSNESS

*Personnel:* William Fisher, Ph.D.  
*State Agencies:* DMH, Department of Transitional Assistance  
*Time Frame:* 10/01/2004 - present  
*Project Status:* Ongoing

The taskforce focuses on chronic unaccompanied homelessness. The Data Working Group is attempting to use a number of different sources of data to get a count of the chronically homeless population as well as a sense of their needs. There is also a sub-group looking into methodology to explore shelter usage in this population.

- **Presentations**

## MASSACHUSETTS MENTAL HEALTH & CHILD WELFARE SYSTEMS COLLABORATION FOR PARENTS WITH MENTAL ILLNESS & THEIR FAMILIES

*Presenters:* Joanne Nicholson, Ph.D., Marion Freedman-Gurspan, & Joan Mikula  
*Time Frame:* September 2006  
*Forum:* UPENN Collaborative National State of the Knowledge Conference, Philadelphia, PA.  
*Number Attended:* 30

## PROMOTING QUALITY THROUGH EFFECTIVE SUPERVISION: BRIDGING DATA & PRACTICE

*Presenters:* William Deveney, Ph.D., Joanne Nicholson, Ph.D., & Jan Nisenbaum, M.S.W.  
*Time Frame:* April 2007  
*Forum:* National Conference on Child Abuse and Neglect, Portland, OR.  
*Number Attended:* 100

- **Publications**

**Biebel, K. & Geller, J.** (2006). Challenges for systems of care. Research on community-based mental health services for children and adolescents. In W. Fisher (Ed.), *WHO: Research in Community and Mental Health* (vol. 13).

**Davis, M., Geller, J., & Hunt, B.** (in press). State mental health authorities' capacities to help youth and young adults enter adulthood. *Psychiatric Services*.

Deveney, W. & **Nicholson, J.** (in press). Using organizational data to create the essential context for system transformation in child welfare. In C. Newman, C.J. Liberton, K. Kutash & R. Friedman, (Eds.), *19th Annual Research Conference for children's Mental Health Proceedings: Expanding the Research Base*. Tampa, Florida: University of South Florida.

Katz-Leavy, J., **Biebel, K.**, Stroul, B., & Pires, S. (in press). Maximizing Medicaid for children with serious emotional disturbance. In C. Newman, C.J. Liberton, K. Kutash & R. Friedman, (Eds.), *19th Annual Research Conference for children's Mental Health Proceedings: Expanding the Research Base*. Tampa, Florida: University of South Florida.

## **Stakeholder Satisfaction**

- **Grants and Contracts**

### **CONSUMER SATISFACTION SURVEY**

*PI:* Charles Lidz, Ph.D.

*Personnel:* Kim Trettel Smith, M.A., Jonathan Clayfield, M.A., Kenneth Fletcher, Ph.D., Elizabeth Aaker, B.A., & Lorna Simon, M.S.

*Funding:* Amendment to DMH Center Grant

*Budget:* \$141,237

*Time Frame:* 04/01/2007 - 09/30/2007

*Project Status:* Ongoing

Survey of DMH consumers receiving adult case management and supported housing, as well as families of child/adolescent receiving case management and/or using child/adolescent residential programs using a combination of telephone interviewing, survey mailings, and face-to-face interviews. This project also includes the analysis of the BRFSS PHQ-module.

## Other

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- **Grants and Contracts**

**NATIONAL INSTITUTES OF HEALTH CLINICAL RESEARCH LOAN REPAYMENT PROGRAM AWARD**

*PI:* Gina Vincent, Ph.D.  
*Personnel:* Thomas Grisso, Ph.D.  
*Funding:* NIH LRP  
*Budget:* \$11,500  
*Time Frame:* 09/01/2005 - 08/01/2006  
*Project Status:* Completed

Mentored research grant award for young investigators conducting clinical research.

- **DMH Funded Projects**

**DECEASED PATIENT PROFILE**

*PI:* Mary Ellen Foti, M.D., DMH  
*Personnel:* Kristen Roy-Bujnowski, M.A.  
*State Agencies:* DMH  
*Time Frame:* 01/01/2005 - present  
*Project Status:* Ongoing

Investigators collected, analyzed and reported to individual DMH areas on Deceased Client Profile data from all DMH sites. Project will lead to the revision of report forms and the implementation of a statewide reporting system on DMH client deaths.

**DMH MORTALITY REPORT 2002-2005**

*PI:* Mary Ellen Foti, M.D.  
*Personnel:* Kristen Roy-Bujnowski, M.A. & Catherine Fullerton, M.D., M.P.H.  
*State Agencies:* DMH, DPH, Chief Medical Examiner's Office  
*Time Frame:* 08/1/2005 - present  
*Project Status:* Ongoing

Investigators worked with DMH Internal Affairs to obtain and analyze DMH client mortality data for the period of 2002-2005 and create a new report with data comparable to previous DMH mortality reports.

- **Presentations**

**HEALTH DISPARITIES IN THE SEVERELY, MENTALLY ILL: A COMPARISON OF MORTALITY RATES**

*Presenters:* Kristen Roy-Bujnowski, M.A., Mary Ellen Foti, M.D., & Catherine Fullerton, M.D., M.P.H.  
*Time Frame:* November 2006  
*Forum:* APHA, Boston, MA.  
*Number Attended:* 1000

## **THE ROAD TO BRFSS: AN ANALYST'S TALE**

**Presenter:** Kristen Roy-Bujnowski, M.A.  
**Time Frame:** May 2007  
**Forum:** Joint National Conference on Mental Health Block Grant and Mental Health Statistics, Washington, DC.  
**Number Attended:** 100

### • **Publications**

Becker, M., Andel, R., Rohrer, L., & **Banks, S.M.** (2006). The effect of cholinesterase inhibitors on risk of nursing home placement among medicaid beneficiaries with dementia. *Alzheimer Disease and Associated Disorders*, 20(3), 147-52.

Christopher, P., Foti, M.E., **Roy-Bujnowski, K.**, & Appelbaum, P. (2007). Disparities between the readability of consent forms and educational levels of potential participants in mental health research. *Psychiatric Services*, 58, 227-232.

Kalil, A.C., Sevransky, J.E., Myers, D.E., Esposito, C., Vandivier, R.W., Eichacker, P., Susla, G.M., Solomon, S.B., Csako, G., Costello, R., Sittler, K.J., **Banks, S.**, Natanson, C., & Danner, R.L. (2006). Preclinical trial of L-arginine monotherapy alone or with N-acetylcysteine in septic shock. *Critical Care Medicine* 34(11), 2719-28.

Li, Y., Li, X., Haley, M., Fitz, Y., Gerstenberger, E., **Banks, S.M.**, Eichacker, P.Q., & Cui, X. (2006). DTPA Fe(III) decreases cytokines and hypotension but worsens survival with Escherichia coli sepsis in rats. *Intensive Care Medicine*, 32(8), 1263-70.

Tsemberis, S., McHugo, G., **Williams, V.**, Hanrahan, P., & Stefanic, A. (2007). Measuring homelessness and residential stability: The Residential Time-Line Follow-Back Inventory. *American Journal of Community Psychology*, 35(1), 29-42.